

Rational Cuspidal Curves

by

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Thesis for the degree of

Master in Mathematics

(Master of Science)



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May 2008

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Preface

This thesis is presented for the degree of Master in Mathematics at the Department of Mathematics, University of Oslo. It is the product of my playing with beautiful geometric objects called *rational cuspidal curves* over the past two years.

I would like to thank everyone who has contributed to this thesis. I owe so much to everyone who has ever taught me mathematics. Thank you for inspiring me and for providing me with the skills necessary to complete this thesis. To my friends and fellow students at Abel, thank you for sharing joy, hopes, dreams, disappointments, nervousness and cakes. I could not have done this without you. I would also like to thank everyone in the Algebra group at the University of Oslo for including me in their work and social events. In particular, I am very grateful to Professor Kristian Ranestad and Ph.D. student Heidi Mork for their important contributions concerning Cremona transformations and projections.

To my friends and family, thank you for being there for me. Especially, I would like to thank my husband Kjartan Moe, who has driven 100km every day to bring in the money, who patiently has been playing *Bach* and *Civilization* while I have been playing with curves, and who has saved me from mathematical monsters more than once.

Finally, I would like to thank Professor Ragni Piene for her excellent supervision and guidance. Thank you for introducing me to algebraic geometry and rational cuspidal curves, and thank you for two wonderful, unforgettable, playful and slightly insane years.

Torgunn Karoline Moe
Oslo, May 2008.

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Chapter 1

Introduction

A classical problem in algebraic geometry is the question of how many and what kind of singularities a plane curve of a given degree can have. This problem is interesting in itself. Additionally, the problem is interesting because it appears in other contexts, for example in the classification of open surfaces.

A curve in the projective plane is called rational if it is birational to a projective line. Furthermore, if all its singularities are cusps, we call the curve cuspidal. In this thesis we will investigate the above problem for rational cuspidal curves.

How many and what kind of cusps can a rational cuspidal curve have?

This problem has been boldly attacked with a variety of methods by a number of mathematicians. Some fundamental properties of rational cuspidal curves can be deduced from well known results in algebraic geometry. Additionally, very powerful results have been discovered recently. Rational cuspidal curves of low degree have been classified by Namba in [25] and Fenske in [7]. Series of rational cuspidal curves have been discovered and constructed by Fenske in [7] and [8], Orevkov in [26], Tono in [30], and Flenner and Zaidenberg in [11] and [12]. New properties of rational cuspidal curves have been found by Flenner and Zaidenberg in [11], Matsuoka and Sakai in [21], Orevkov in [26], Fernández de Bobadilla et al. in [9], and Tono in [31].

Although a lot of technical tools have been developed, a definite answer to the above question has not been found. However, a vague contour of a partial, mysterious and intriguing answer has appeared.

Conjecture 1.0.1. *A rational cuspidal curve can not have more than four cusps.*

CHAPTER 1. INTRODUCTION

In this thesis we present some of the results given in the mentioned works and give an overview of most known rational cuspidal curves. One very important tool in the mentioned works is Cremona transformations. We will therefore give a thorough definition of Cremona transformations and use them to construct some rational cuspidal curves of low degree. Moreover, a rational cuspidal curve in the plane can be viewed as a resulting curve of a projection of a curve in a higher-dimensional projective space. This represents a new and interesting way to approach such curves. In this thesis we will therefore also investigate the rational cuspidal curves from this point of view.

In Chapter 2 we set notation and give an overview of the theoretical tools used in this thesis in the analyzation of rational cuspidal curves.

In Chapter 3 we use some of the theoretical background to argue for the existence of the rational cuspidal cubic and quartic curves. We briefly introduce these curves by giving some essential properties of each curve.

In Chapter 4 we give a general description of how rational cuspidal curves can be constructed from the rational normal curve in a projective space. We will also analyze the cubic and quartic curves and the particular projections by which they can be constructed.

In Chapter 5 we define Cremona transformations. We use these transformations to construct and also investigate the construction of rational cuspidal cubic and quartic curves. In this process we encounter some issues concerning inflection points, which will be briefly discussed. Last in this chapter we present a conjecture linked to both rational cuspidal curves and Cremona transformations.

In Chapter 6 we construct all rational cuspidal quintic curves with Cremona transformations and prove that they are the only rational cuspidal curves of this degree.

In Chapter 7 we present a few series of rational cuspidal curves, some of which are just recently discovered.

In Chapter 8 we address the question of how many cusps a rational cuspidal curve can have, and we present the most recent discoveries on the problem. Two particular curves draw our attention, and these curves will be investigated in great detail. We additionally view the question from the perspective of projections.

In Chapter 9 we present miscellaneous results which are closely related to rational cuspidal curves. First, we discuss whether all cusps on a cuspidal curve can have real coordinates. Second, we propose and investigate a conjecture concerning the intersection multiplicity of a curve and its Hessian curve. Third, we present an example of a reducible toric polar Cremona transformation.

The work in this thesis has led to neither a confirmation nor a contradiction of Conjecture 1.0.1. The thesis presents an overview of rational cuspidal curves of low degree and explains how they can be constructed by Cremona transformations. Nothing new concerning cusps of a curve has been discovered in this work, but questions concerning the construction of inflection points have arisen. We have additionally shown that viewing rational cuspidal curves from the perspective of projection might introduce some new possibilities, but there are great obstacles blocking the way of new results, which we have not been able to step over.

A possibly interesting subject for further investigations is how Cremona transformations can restrict the number of cusps of a rational cuspidal curve. Although there is no apparent way of attacking this problem generally, it seems to be strongly dependent on properties of rational cuspidal curves of low degree and the Coolidge–Nagata problem concerning the rectifiability of rational curves.

All explicit information concerning the rational cuspidal curves presented in this thesis have been found using the computer programs *Maple* [33] and *Singular* [15]. For examples of code and calculations, see Appendix A.

The figures in this thesis are made in *Maple* or drawn in *GIMP* [14]. Note that the illustrations only represent the real images of the curves and that there sometimes are properties of the curves which we can not see.

Chapter 2

Theoretical background

Quite a lot of definitions, notations and results concerning algebraic curves are needed in order to explain what a *rational cuspidal curve* actually is. Not surprisingly, explaining known and finding new properties of such curves demand even more of the above. This chapter is devoted to the mentioned tasks and presents most of the theoretical background material upon which this thesis is based.

2.1 Rational cuspidal curves

Let \mathbb{P}^2 be the projective plane over \mathbb{C} , and let $(x : y : z)$ denote the coordinates of a *point* in \mathbb{P}^2 . Furthermore, let $\mathbb{C}[x, y, z]$ be the ring of polynomials in x, y and z over \mathbb{C} . Let $F(x, y, z) \in \mathbb{C}[x, y, z]$ be a homogeneous irreducible polynomial, and let $\mathcal{V}(F)$ denote the zero set of F . Then $C = \mathcal{V}(F) \subset \mathbb{P}^2$ is called a *plane algebraic curve*. By convention, when F is a polynomial of degree d , we say that C has degree d . Furthermore, if $F = F_1 \cdot \dots \cdot F_\nu$ is a reducible polynomial and all F_i are distinct, then the zero set of F defines a union of curves $\mathcal{V}(F) = \mathcal{V}(F_1) \cup \dots \cup \mathcal{V}(F_\nu)$. If F is a reducible polynomial and some of the factors F_i are multiple, i.e., $F = F_1^{w_1} \cdot \dots \cdot F_\nu^{w_\nu}$, then we define $\mathcal{V}(F)$ to be the zero set of the reduced polynomial $F = F_1 \cdot \dots \cdot F_\nu$.

A curve C is *rational* if it is birationally equivalent to \mathbb{P}^1 and hence admits a parametrization.

A point $p = (p_0 : p_1 : p_2)$ of C is called a *singularity* or, equivalently, a *singular point* if the partial derivatives F_x, F_y and F_z satisfy

$$F_x(p) = F_y(p) = F_z(p) = 0. \quad (2.1)$$

Otherwise, we call p a *smooth point*. The set of singularities of a curve C is usually referred to as $\text{Sing } C$, and this is a finite set of points [10, Cor. 3.6., pp.45–46].

Given C , to each point $p \in \mathbb{P}^2$ we assign an integer value m_p , called the *multiplicity* of p on C . If $p \notin C$, we define $m_p = 0$. If $p \in C$, we move p to $(0 : 0 : 1)$ using a linear change of coordinates. We write

$$\begin{aligned} F(x, y, 1) &= f(x, y) \\ &= f_{(m)}(x, y) + f_{(m+1)}(x, y) + \dots + f_{(d)}(x, y), \end{aligned}$$

where each $f_{(i)}(x, y)$ denotes a homogeneous polynomial in x and y of degree i . We define $m_p = m$. For a point p of an irreducible algebraic curve C , $0 < m_p < d$, since $F(x, y, 1) = f_{(d)}(x, y)$ contradictorily implies that F is reducible. Additionally, it follows from the definition (2.1) that p is a singularity if and only if $m_p > 1$ and that p is a smooth point if and only if $m_p = 1$.

The *tangent* to a curve C at a point $p = (p_0 : p_1 : p_2)$ is denoted by $T_p C$, or simply T_p if there is no ambiguity. If p is a smooth point, then there exists a unique tangent T_p to C at p , given by [10, Prop. 3.6., pp.45–46]

$$T_p = p_0 F_x + p_1 F_y + p_2 F_z.$$

If p is a singularity, this definition fails. Relocating p to $(0 : 0 : 1)$, we have that

$$f_{(m)}(x, y) = \prod_{i=1}^m L_i(x, y),$$

where $L_i(x, y)$ are linear polynomials, not necessarily distinct. For the reduced polynomial

$$f_{(m)}(x, y) = \prod_{i=1}^k L_i(x, y),$$

where the k , $1 \leq k \leq m$, polynomials $L_i(x, y)$ are distinct, let $T_i = \mathcal{V}(L_i(x, y))$. Then $\mathcal{V}(f_{(m)}(x, y))$ is a union of k lines T_i through p ,

$$\mathcal{V}(f_{(m)}(x, y)) = \bigcup_{i=1}^k T_i.$$

The k lines T_i are called the *tangents* to C at p [10, pp.41–42]. In the particular case that $k = 1$ and C only has one branch through p , p is called a *cusp*.

If the set of singular points of C only consists of cusps, we call the curve *cuspidal*.

Definition 2.1.1 (Rational cuspidal curve). A *rational cuspidal curve* is a plane algebraic curve which is birational to \mathbb{P}^1 and is such that all its singularities are cusps.

Note that since all curves in this thesis are rational, we often refer to these curves as merely *cuspidal curves*.

2.2 Invariants and conditions

Now that we have defined a rational cuspidal curve, we add new, and further investigate the previously defined, properties of particular points on a curve.

Linear change of coordinates

A *linear change of coordinates* in \mathbb{P}^2 , given by a map τ , will in the following be represented by an invertible 3×3 matrix $\mathcal{T} \in PGL_3(\mathbb{C})$.

$$\begin{array}{ccc} \tau : & \mathbb{P}^2 & \longrightarrow & \mathbb{P}^2 \\ & \Psi & & \Psi \\ & (x : y : z) & \longmapsto & (x : y : z) \cdot \mathcal{T}^{-1}. \end{array}$$

Observe that we may easily trace points under the transformation. The rows in \mathcal{T} , representing points in \mathbb{P}^2 , are moved to the respective coordinate points. The first row is moved to the point $(1 : 0 : 0)$, the second row to $(0 : 1 : 0)$ and the third row to $(0 : 0 : 1)$.

Two curves C and D are called *projectively equivalent* if there exists a linear change of coordinates such that C is mapped onto D .

Monoidal transformations

Let Y be a nonsingular surface and p a point of Y . A *monoidal transformation* is the operation of *blowing-up* Y at p [17, p.386]. We denote this by $\sigma : \bar{Y} \longrightarrow Y$. The transformation σ induces an isomorphism of $\bar{Y} \setminus \sigma^{-1}(p)$ onto $Y \setminus p$. The inverse image of p is a curve E , which is isomorphic to \mathbb{P}^1 and is called the *exceptional line*.

If C is a curve in Y , we define the *strict transform* \bar{C} of C as the closure in \bar{Y} of $\sigma^{-1}(C \cap (Y \setminus p))$.

We will refer to a monoidal transformation as a blowing-up of a point, and the inverse operation will be referred to as a blowing-down of an exceptional line.

Multiplicity sequence

Let (C, p) denote an irreducible analytic plane curve germ $(C, p) \subset (\mathbb{C}^2, 0)$. Furthermore, let

$$\begin{array}{ccccccc} \mathbb{C}^2 = & Y & \xleftarrow{\sigma_1} & Y_1 & \xleftarrow{\sigma_2} & \dots & \xleftarrow{\sigma_n} & Y_n \\ & \cup & & \cup & & & & \cup \\ (C, p) = & C & \longleftarrow & C_1 & \longleftarrow & \dots & \longleftarrow & C_n, \end{array}$$

be a sequence of blowing-ups over p , where $C = C_0$, and C_{i+1} is the strict transform of C_i . Let the sequence of blowing-ups be such that it resolves the

singularity p on C . Moreover, let the sequence be such that it additionally ensures that the reduced total inverse image $D = \sigma_n^{-1} \circ \dots \circ \sigma_1^{-1}(C)$ is a simple normal crossing divisor, but $\sigma_{n-1}^{-1} \circ \dots \circ \sigma_1^{-1}(C)$ is not. Then this sequence of blowing-ups is called the *minimal embedded resolution* of the cusp.

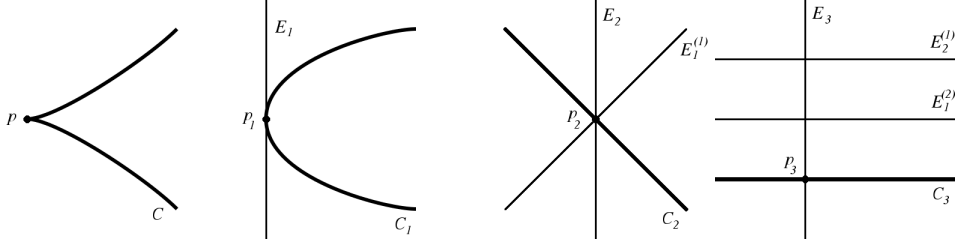


Figure 2.1: Minimal embedded resolution of a cusp with multiplicity sequence (2).

For every i denote by p_i the point corresponding to $p \in C$ on the curve C_i . The points p_i are *infinitely near points* of p on C , and they are referred to as the *strict transforms* of p on C . Furthermore, let $m_{p,i}$ denote the multiplicity of the point $p_i \in C_i$. Then we define the *multiplicity sequence* of p as

$$\overline{m}_p = (m_{p,0}, m_{p,1}, \dots, m_{p,n}).$$

The index p will be omitted whenever the reference point is clear from the context, and we write $m_{p,i} = m_i$. Note that $m_0 = m_p$, which by the previous convention often is written merely m .

There are many important results concerning the multiplicity sequence of a point. First of all, the multiplicity sequence of a cusp p has the property that [11, p.440]

$$m_0 \geq m_1 \geq \dots \geq m_n = 1.$$

We also have the following important result [11, Prop. 1.2., p.440].

Proposition 2.2.1 (On multiplicity sequences). *Let \overline{m} be the multiplicity sequence of a cusp p .*

- For each $i = 1, \dots, n$ there exists $k \geq 0$ such that

$$m_{i-1} = m_i + \dots + m_{i+k},$$

where

$$m_i = m_{i+1} = \dots = m_{i+k-1}.$$

- The number of ending 1's in the multiplicity sequence equals the smallest $m_i > 1$.

In order to simplify notation, we introduce two conventions. First, whenever there are k_i subsequent identical terms m_i in the sequence, we compress the notation by writing $\overline{m}_p = (m, m_1, \dots, (m_i)_{k_i}, \dots, 1)$. We usually also omit the ending 1's in the sequence. For example, if a cusp has multiplicity sequence $(4, 2, 2, 2, 1, 1)$, we write merely $(4, 2_3)$.

We define the *delta invariant* δ_p of any point p of C by

$$\delta_p = \sum \frac{m_q(m_q - 1)}{2},$$

where the sum is taken over all infinitely near points q lying over p , including p [17, Ex. 3.9.3., p.393].

For a cusp p with multiplicity sequence \overline{m}_p we have [11, p.440],

$$\delta_p = \sum_{i=0}^n \frac{m_i(m_i - 1)}{2}.$$

Let C be a rational cuspidal curve with cusps p, q, r, \dots . Then the curve can be described by the multiplicity sequences of the cusps. We write $[(\overline{m}_p), (\overline{m}_q), (\overline{m}_r), \dots]$ and call this the cuspidal configuration of the curve.

We define the *genus* g of a curve [10, Thm. 9.9, p.180],

$$g = \frac{(d-1)(d-2)}{2} - \sum_{p \in \text{Sing } C} \delta_p.$$

Furthermore, a rational curve has genus $g = 0$. From the above definition we derive a formula which is valid for rational cuspidal curves.

Theorem 2.2.2 (Genus formula for a rational cuspidal curve). *Let d be the degree of a rational cuspidal curve C with singularities p_j , $j = 1, \dots, s$, and let $m_{j,i}$ be the multiplicity of p_j after i blowing-ups. Let n_j be the number of blowing-ups required to resolve the singularity p_j . Let δ_j be the delta invariant of p_j . Then*

$$\frac{(d-1)(d-2)}{2} = \sum_{j=1}^s \delta_j = \sum_{j=1}^s \sum_{i=0}^{n_j} \frac{m_{j,i}(m_{j,i} - 1)}{2}.$$

The multiplicity sequence is often used to describe a cusp. Sometimes, however, it is convenient to use a different notation. In this thesis we will inconsistently refer to a cusp by either the multiplicity sequence, the Arnold classification or simply a common name. Customary notations for some of the more frequently encountered cusps are given in Table 2.1.

Common name	Multiplicity sequence	Arnold type
Simple cusp of multiplicity 2	(2)	A_2
Double cusp	(2 ₂)	A_4
Ramphoid cusp ($(k-1)$ th type)	(2 _k)	A_{2k}
Simple cusp of multiplicity 3	(3)	E_6
Fibonacci cusp (k th type)	$(\varphi_k, \varphi_{k-1}, \dots, 1, 1)^1$	

1. φ_k is the k th Fibonacci number, see Chapter 7.

Table 2.1: What will you call a beautiful cusp?

The multiplicity and the multiplicity sequence serve as two very important invariants of a cusp. If two cusps have the same multiplicity sequence, then they are called *topologically equivalent*. This classification is, most of the time, sufficient to give a good description of a cuspidal curve. We sometimes do, however, need a finer classification of singularities. The *intersection multiplicity* of a cusp with its tangent appears to be an essential invariant in this context.

Intersection multiplicity

Let $C = \mathcal{V}(F)$ and $D = \mathcal{V}(G)$ be algebraic curves which do not have any common components. If a point p is such that $p \in C$ and $p \in D$, we say that C and D intersect at p . The point p is called an *intersection point*. For an intersection point $p = (0 : 0 : 1)$, the *intersection multiplicity* $(C \cdot D)_p$ is defined as

$$(C \cdot D)_p = \dim_{\mathbb{C}} \mathbb{C}[x, y]_{(x, y)} / (f, g),$$

where $f = F(x, y, 1)$ and $g = G(x, y, 1)$ [13, pp.75–76].

The intersection multiplicity can be calculated directly by

$$(C \cdot D)_p = \sum m_{p_i}^C m_{p_i}^D,$$

where $p_i \in C_i \cap D_i$ are infinitely near points of p , and $m_{p_i}^C$ and $m_{p_i}^D$ denote the multiplicities of the points p_i with respect to the curves C_i and D_i respectively.

When working implicitly with curves, we are not able to calculate $(C \cdot D)_p$ directly. We can, however, estimate $(C \cdot D)_p$.

First of all, we have Bézout's theorem [10, Thm 2.7., p.31]. It provides a powerful global result on the intersection of two curves and hence an upper bound for an intersection multiplicity of two curves at an intersection point.

Theorem 2.2.3 (Bézout's theorem). *For plane algebraic curves C and D of degree $\deg C$ and $\deg D$ which do not have any common component, we have*

$$\sum_{p \in C \cap D} (C \cdot D)_p = \deg C \cdot \deg D.$$

In particular, for the intersection between a curve C of degree d and a line L , we have

$$\sum_{p \in C \cap L} (C \cap L)_p = d.$$

By Bézout's theorem, the set of intersection points of two curves C and D with no common component is finite. Let p_j , $j = 1, \dots, s$, denote the intersection points of C and D . Then we write

$$C \cdot D = (C \cdot D)_{p_1} \cdot p_1 + \dots + (C \cdot D)_{p_s} \cdot p_s.$$

Second, if L is a line and $p \in C \cap L$, then [10, Prop. 3.4, p.41]

$$m_p \leq (C \cdot L)_p.$$

Furthermore, for the tangent line T_p , the inequality is strict,

$$m_p < (C \cdot T_p)_p. \quad (2.2)$$

Hence, we have the inequality

$$\sum_{p \in C \cap L} m_p \leq d.$$

Note that the inequality is strict if and only if L is tangent to C at one or more of the intersection points.

Moreover, if C is smooth at p , then $(C \cdot T_p)_p \geq 2$. If $(C \cdot T_p)_p = 2$, we call T_p a *simple tangent*. If $(C \cdot T_p)_p \geq 3$, we call T_p an *inflectional tangent*. In the latter case we call the smooth point p an *inflection point*. Note that we refine the definition of inflection points by calling p an inflection point of type $t = (C \cdot T_p)_p - 2$.

Third, we have a lemma linking multiplicity sequences and intersection multiplicities [11, Lemma 1.4., p.442]. For this lemma we change the notation and define the multiplicity sequence to be infinite, setting $m_\nu = 1$ for all $\nu \geq n$. Note that in this notation a smooth point has multiplicity sequence $(1, 1, \dots)$.

Lemma 2.2.4. *Let (C, p) be an irreducible germ of a curve, and let p have multiplicity sequence \overline{m}_p . Then there exists a germ of a smooth curve (Γ, p) through p with $(\Gamma \cdot C)_p = k$ if and only if k satisfies the condition*

$$k = m_0 + m_1 + \dots + m_a \text{ for some } a > 0 \text{ with } m_0 = m_1 = \dots = m_{a-1}.$$

All the above results can be used to estimate $(C \cdot T_p)_p$ for a cusp. We will frequently use the letter r for this invariant, i.e., $r_p = (C \cdot T_p)_p$. Bézout's theorem (2.2.3) provides an upper bound for $(C \cdot T_p)_p$, while Lemma 2.2.4 combined with inequality (2.2) provides a lower bound.

$$m_0 + m_1 \leq (C \cdot T_p)_p \leq d. \quad (2.3)$$

Lemma 2.2.4 additionally provides information about the possible values between the upper and lower bound.

$$\begin{aligned} (C \cdot T_p)_p &= \sum_{i=0}^a m_i \\ &= a \cdot m_0 + m_a \text{ for some } a \geq 1. \end{aligned}$$

Puiseux parametrization

In order to investigate a point on a curve in more detail, we will occasionally parametrize the curve locally. Since smooth points and cusps are unbranched, each point on a cuspidal curve can be given a local parametrization by power series, a *Puiseux parametrization*. Let (C, p) be the germ of a cuspidal curve C at the point $p = (0 : 0 : 1)$, and let $\mathcal{V}(y)$ be the tangent to C at p . With $m = m_p \geq 1$ and $r = (C \cdot T_p)_p > m$, the germ (C, p) can be parametrized by [10, Cor. 7.7, p.135]

$$\begin{aligned} x &= t^m, \\ y &= c_r t^r + \dots, \\ z &= 1, \end{aligned} \quad (2.4)$$

where \dots denotes higher powers of t , the coefficients of t^i in the power series expansion of y are $c_i \in \mathbb{C}$, and $c_r \neq 0$.

Observe that, in this form, the Puiseux parametrization reveals both the multiplicity of p and the intersection multiplicity of the curve and the tangent at the point. So far, the Puiseux parametrization seems like a straightforward matter. There are, however, some subtleties involved.

Example 2.2.5. Cusps of type A_{2k} can topologically be represented by the normal form [20, Table 2.2., p.219]

$$y^2 + x^{2k+1}.$$

The normal form implies the parametrization

$$(t^2 : t^{2k+1} : 1).$$

We frequently need to describe the A_{2k} -cusps in more detail. For example, if the curve has degree $d = 4$, then the tangent intersects the curve at the

A_{2k} -cusp with multiplicity 4 for $k > 1$. The cusp can then be parametrized by

$$(t^2 : c_4 t^4 + (\text{even powers of } t) + c_{2k+1} t^{2k+1} + \dots : 1), \quad c_4, c_{2k+1} \neq 0.$$

Type	Puiseux parametrization
A_2	$(t^2 : c_3 t^3 + c_4 t^4 + \dots : 1)$
A_4	$(t^2 : c_4 t^4 + c_5 t^5 + \dots : 1)$
A_6	$(t^2 : c_4 t^4 + c_6 t^6 + c_7 t^7 + \dots : 1)$

Table 2.2: Puiseux parametrization for cusps of type A_{2k} , $k = 1, 2, 3$, on a curve of degree $d = 4$.

If the curve has degree $d = 5$, the picture gets even more complicated. For example, if p is an A_4 -cusp of a quintic curve, then the tangent may intersect the curve with multiplicity 4 or 5. The value of r must be determined by other methods.

The example reveals that the multiplicity sequence does not determine the full complexity of the Puiseux parametrization. We are, however, able to calculate the multiplicity sequence from the Puiseux parametrization [3, Thm. 12., p.516][21, p.234].

Given (C, p) and a Puiseux parametrization on the form (2.4), let the *characteristic terms* of the Puiseux parametrization be the terms $c_{\beta_\ell} t^{\beta_\ell}$ of the power series expansion of y defined by

- $m > \gcd(m, \beta_1) > \dots > \gcd(m, \beta_1, \dots, \beta_g) = 1$,
- $c_{\beta_\ell} \neq 0$ for $\ell = 1, \dots, g$,
- if $\beta_1, \dots, \beta_{\ell-1}$ have been defined and if $\gcd(m, \beta_1, \dots, \beta_{\ell-1}) > 1$, then β_ℓ is the smallest β such that $c_{\beta_\ell} \neq 0$ and $\gcd(m, \beta_1, \dots, \beta_{\ell-1}) > \gcd(m, \beta_1, \dots, \beta_{\ell-1}, \beta_\ell)$.

Let (D, q) be a germ given by the Puiseux parametrization of (C, p) in such a way that the power series expansion of y only consists of characteristic terms,

$$\begin{aligned} x &= t^m \\ y &= c_{\beta_1} t^{\beta_1} + c_{\beta_2} t^{\beta_2} + \dots + c_{\beta_g} t^{\beta_g} \\ z &= 1. \end{aligned}$$

Although Example 2.2.5 reveals that there potentially are many differences between (C, p) and (D, q) , the point p of the germ (C, p) has the same multiplicity sequence as the point q of the germ (D, q) . Furthermore, we can calculate the multiplicity sequence.

Theorem 2.2.6. *Let q be a point of an irreducible germ (D, q) where the Puiseux parametrization only consists of characteristic terms. Then the multiplicity sequence of q is determined by a chain of Euclidian algorithms. Let $\gamma_\ell = \beta_\ell - \beta_{\ell-1}$, and $\beta_0 = 0$. For each ℓ , let*

$$\begin{aligned} \gamma_\ell &= a_{\ell,1}m_{\ell,1} + m_{\ell,2} & (0 < m_{\ell,2} < m_{\ell,1}) \\ m_{\ell,1} &= a_{\ell,2}m_{\ell,2} + m_{\ell,3} & (0 < m_{\ell,3} < m_{\ell,2}) \\ &\dots & \dots \\ m_{\ell,q_\ell-1} &= a_{\ell,q_\ell}m_{\ell,q_\ell}, \end{aligned}$$

where $m_{1,1} = m$, $m_{\ell+1,1} = m_{\ell,q_\ell}$, and $m_{g,q_g} = 1$. The multiplicity sequence of the point q on D is given by

$$\bar{m}_q = (\overbrace{m_{1,1}, \dots, m_{1,1}}^{a_{1,1}}, \dots, \overbrace{m_{\ell,k}, \dots, m_{\ell,k}}^{a_{\ell,k}}, \dots, \overbrace{1, \dots, 1}^{a_{g,q_g}}).$$

Properties of the blowing-up process

The blowing-up process has certain elementary properties that will be invaluable in the later study of curves.

First of all, we have the *self-intersection* of the exceptional line E on \bar{Y} . We will use, but not define, self-intersection here, see Hartshorne [17, pp.360–361] for a formal definition. For any monoidal transformation we have that the self-intersection of E on \bar{Y} is $E^2 = -1$ [17, p.386].

Second, we have the following important lemma from Flenner and Zaidenberg [11, Lemma 1.3., pp.440–441].

Lemma 2.2.7. *Let \bar{m}_p be the multiplicity sequence of a point p on a curve C as defined prior to Lemma 2.2.4. Let σ_i be a sequence of blowing-ups and let Y_i be the corresponding surfaces. Denote by $E_i^{(k)}$ the strict transform of the exceptional divisor E_i of σ_i at the surface Y_{i+k} . Then*

$$\begin{aligned} (E_i \cdot C_i)_{p_i} &= m_{i-1}, \\ (E_i^{(k)} \cdot C_{i+k})_{p_{i+k}} &= \max\{0, m_{i-1} - m_i - \dots - m_{i+k-1}\}, \quad k > 0, \\ (E_i^{(1)} \cdot C_{i+1})_{p_{i+1}} &= m_{i-1} - m_i. \end{aligned}$$

Third, note that we may calculate intersection multiplicities of strict transforms of curves. Since

$$(C \cdot D)_p = \sum m_{p_i}^C m_{p_i}^D,$$

for points $p_i \in C_i \cap D_i$, we see that for a fixed $k \geq 0$,

$$\begin{aligned} (C_k \cdot D_k)_{p_k} &= \sum_{i \geq k} m_{p_i}^C m_{p_i}^D \\ &= (C \cdot D)_p - \sum_{i < k} m_{p_i}^C m_{p_i}^D. \end{aligned}$$

We will frequently use the fact that

$$(C_1 \cdot D_1)_{p_1} = (C \cdot D)_p - m_p^C m_p^D.$$

2.3 Derived curves

There are a number of associated curves which are useful in the analysis of a curve C .

The polar curves

The *polar* $P_p C$ of a curve C with respect to a point $p = (p_0 : p_1 : p_2) \in \mathbb{P}^2$ is defined as

$$P_p C = \mathcal{V}(p_0 F_x + p_1 F_y + p_2 F_z).$$

This curve has degree $d - 1$.

The points in the intersection $P_p C \cap C$ are the points p_j , $j = 1, \dots, s$, for which the tangents T_{p_j} to C at p_j go through p , and additionally the singularities of C [10, Thm. 4.3., p.64].

The dual curve

The dual space \mathbb{P}^{2*} consists of all lines in \mathbb{P}^2 . Since smooth points of a curve $C = \mathcal{V}(f)$ have a unique tangent, we define the rational map ζ ,

$$\begin{array}{ccc} \zeta : C \setminus \text{Sing } C \subset \mathbb{P}^2 & \longrightarrow & \text{Im}(\zeta) \subset \mathbb{P}^{2*} \\ \downarrow \Psi & & \downarrow \Psi \\ p = (p_0 : p_1 : p_2) & \longmapsto & (F_x(p) : F_y(p) : F_z(p)) \end{array}$$

We define the *dual curve* C^* as the closure of $\text{Im}(\zeta)$,

$$C^* = \text{Cl}(\text{Im}(\zeta)).$$

Furthermore, C and C^* has the same genus [10, p.179].

We may describe the dual germ (C^*, p^*) of a germ (C, p) . Let (C, p) be given by its Puiseux parametrization,

$$(C, p) = (t^m : c_r t^r + c_\alpha t^\alpha + \dots : 1), \quad c_i \neq 0, \alpha > r > m.$$

Then (C^*, p^*) can be found by calculating the minors of the matrix [10, pp.73–94],

$$\begin{bmatrix} x(t) & y(t) & 1 \\ x'(t) & y'(t) & 0 \end{bmatrix}.$$

$$(C^*, p^*) = (a^* t^{r-m} + \dots : 1 : c_r^* t^r + c_\alpha^* t^\alpha + \dots).$$

We have that

$$a^* = -\frac{c_r r}{m}, \quad c_r^* = c_r \left(\frac{r}{m} - 1 \right), \quad c_\alpha^* = c_\alpha \left(\frac{\alpha}{m} - 1 \right).$$

Since $c_i \neq 0$ and $\alpha > r > m$, the constants a^* , c_r^* , $c_\alpha^* \neq 0$. As a consequence of the calculation, the power series $c_r^* t^r + c_\alpha^* t^\alpha + \dots$ contains precisely the same powers of t as the power series $c_r t^r + c_\alpha t^\alpha + \dots$.

Using properties of the Puiseux parametrization, we may determine important invariants, like the multiplicity sequence, of the dual point p^* on C^* . In particular, observe that we can find the multiplicity m^* of the dual point p^* on C^* ,

$$m^* = r - m.$$

Additionally, a classical Plücker formula gives the degree d^* of the dual curve C^* [7, p.316].

Theorem 2.3.1. *Let C be a curve of genus g and degree d having j singularities p_j with multiplicities $m_{p_j} = m_j$. Let b_j denote the number of branches of the curve at p_j . Then the degree d^* of the dual curve is given by*

$$d^* = 2d + 2g - 2 - \sum_{p_j \in \text{Sing } C} (m_j - b_j).$$

Corollary 2.3.2. *For rational cuspidal curves we have*

$$d^* = 2d - 2 - \sum_{p_j \in \text{Sing } C} (m_j - 1).$$

The Hessian curve

Let \mathcal{H} be the matrix given by

$$\mathcal{H} = \begin{bmatrix} F_{xx} & F_{xy} & F_{xz} \\ F_{yx} & F_{yy} & F_{yz} \\ F_{zx} & F_{zy} & F_{zz} \end{bmatrix},$$

where F_{ij} denote the double derivatives of F with respect to i and j for $i, j \in \{x, y, z\}$.

Define a polynomial H_F ,

$$H_F = \det \mathcal{H}.$$

Then the *Hessian curve*, H_C of degree $3(d-2)$, is given by

$$H_C = \mathcal{V}(H_F).$$

By Bézout's theorem,

$$\sum_{p \in C \cap H_C} (C \cdot H_C)_p = 3d(d-2). \quad (2.5)$$

Moreover, H_C and C intersect at the singular points and the inflection points of C [10, p.67].

We have an interesting formula relating several invariants regarding the cuspidal configuration of a curve C to the total intersection number between C and its Hessian curve H_C . The below formula is given for rational cuspidal curves, but a similar result is valid for more general curves [3, Thm. 2., pp.586–597].

Theorem 2.3.3 (Inflection point formula). *Let C be a rational cuspidal curve. Let s be the number of inflection points on C , counted such that an inflection point of type t accounts for t inflection points. Let p_j be the cusps of C with multiplicity sequences \bar{m}_j , delta invariants δ_j and tangent intersection multiplicities r_j at p_j . Let m_j^* denote the multiplicities of the dual points p_j^* on the dual curve C^* . Then the number of inflection points, counted properly, is given by*

$$\begin{aligned} s &= 3d(d-2) - 6 \sum_{p_j \in \text{Sing } C} \delta_j - \sum_{p_j \in \text{Sing } C} (2m_j + m_j^* - 3) \\ &= 3d(d-2) - 6 \sum_{p_j \in \text{Sing } C} \delta_j - \sum_{p_j \in \text{Sing } C} (m_j + r_j - 3). \end{aligned}$$

Using a few identities, we can rewrite this formula. For an inflection point q , we have that $m_q = 1$, which means that $\delta_q = 0$. Additionally, the type t is a function of m_q and m_q^* ,

$$\begin{aligned} t &= (C \cdot T_q)_q - 2 \\ &= m_q + m_q^* - 2 \\ &= 2m_q + m_q^* - 3. \end{aligned}$$

Moreover, if q_i are the inflection points of C , then $s = \sum t_i$.

We substitute for s and use identity (2.5) in the inflection point formula. Then we obtain the following corollary.

Corollary 2.3.4. *Let C be a rational cuspidal curve. Let p_j denote the set of both inflection points and cusps on C . Let \overline{m}_j be their respective multiplicity sequences, let $r_j = (C \cdot T_{p_j})_{p_j}$, and let δ_j be the delta invariant of the points. Let m_j^* denote the multiplicities of the dual points p_j^* on the dual curve C^* . Then*

$$\begin{aligned} \sum_{p_j \in C \cap H_C} (C \cdot H_C)_{p_j} &= \sum_{p_j \in C \cap H_C} (6\delta_j + 2m_j + m_j^* - 3) \\ &= \sum_{p_j \in C \cap H_C} (6\delta_j + m_j + r_j - 3). \end{aligned}$$

2.4 Other useful results

Euler's identity

There is a fundamental dependency between a homogeneous polynomial F and its partial derivatives [10, p.45].

Theorem 2.4.1 (Euler's identity). *If $F \in \mathbb{C}[x, y, z]$ is homogeneous of degree d , then*

$$xF_x + yF_y + zF_z = d \cdot F.$$

The ramification condition

We have another condition on the multiplicities of points on a rational cuspidal curve C , which is based on the Riemann–Hurwitz formula [11, Lemma 3.1., p.446].

Lemma 2.4.2 (From Riemann–Hurwitz). *Let $C \subset \mathbb{P}^2$ be a rational cuspidal curve of degree d with a cusp $p \in C$ of multiplicity m_p with multiplicity sequence $\overline{m}_p = (m_p, m_{p.1}, \dots, m_{p.n})$. Then the rational projection map $\pi_p : C \rightarrow \mathbb{P}^1$ from p has at most $2(d - m_p - 1)$ ramification points. Furthermore, if p_1, \dots, p_s are the other cusps of C and $m_{p_j} = m_j$, then*

$$\sum_{j=1}^s (m_j - 1) + (m_{p.1} - 1) \leq 2(d - m_p - 1).$$

On the maximal multiplicity

Let C be a rational cuspidal curve with cusps p_j , $j = 1, \dots, s$. Let m_{p_j} denote the multiplicities of the cusps. Let μ denote the largest multiplicity of any cusp on the curve,

$$\mu = \max_{p_j} \{m_{p_j}\}.$$

For every rational cuspidal curve there has to be at least one cusp with a multiplicity that is quite large [21, Thm., p.233].

Theorem 2.4.3 (Matsuoka–Sakai). *Let C be a rational cuspidal plane curve of degree d . Let μ denote the maximum of the multiplicities of the cusps. Then*

$$d < 3\mu.$$

For $\mu \geq 9$ we have a better estimate [26, Thm. A., p.657].

Theorem 2.4.4 (Orevkov). *Let C be a rational cuspidal plane curve of degree d . Let $\alpha = \frac{3+\sqrt{5}}{2}$. Then*

$$d < \alpha(\mu + 1) + \frac{1}{\sqrt{5}}.$$

2.5 Getting an overview

The theoretical background in this chapter provides powerful tools for the study of rational cuspidal curves. In the next chapters we will explore and apply this theory to cuspidal curves of low degree. Before we go on with this analysis, we will give an overview of the invariants directly involved in the study and description of a particular rational cuspidal curve.

Starting out with either a parametrization or a homogeneous defining polynomial, we may investigate a rational cuspidal curve in depth. The first thing we are interested in is finding the number cusps of the curve. Next we want to study each cusp in detail. We first find its multiplicity and its multiplicity sequence, which gives us the cuspidal configuration of the curve. We then find the tangent of each cusp and the intersection multiplicity of the tangent and the curve at the point. This enables us to distinguish cusps with identical multiplicity sequences.

The above gives us the necessary overview of the cusps of a cuspidal curve. There is, however, more to a rational cuspidal curve than its cusps. For example, two curves with identical cuspidal configurations are not necessarily projectively equivalent. They may have different number and types of inflection points. In some of the descriptions of rational cuspidal curves in this thesis, we will therefore include a discussion of the inflection points of the curve.

Since we have a restriction on the total intersection multiplicity, and because we discuss the local intersection multiplicity of a curve and its Hessian curve in Section 9.2, we also provide the intersection multiplicity of the Hessian curve and the curve at cusps and inflection points when we present the curves.

Chapter 3

Rational cuspidal cubics and quartics

In this chapter we will use the results of Chapter 2 to obtain a list of possible rational cuspidal cubics and quartics. Furthermore, in order to get an overview of the curves, we briefly describe all cuspidal curves of mentioned degrees up to projective equivalence.

3.1 Rational cuspidal cubics

Let C be a rational cuspidal cubic. Substituting $d = 3$ in Theorem 2.2.2 gives

$$\frac{(3-1)(3-2)}{2} = 1 = \sum_{p \in \text{Sing } C} \sum_{i=0}^{n_p} \frac{m_i(m_i-1)}{2}.$$

We see from this formula that C can only have one cusp. In particular, the cusp must have multiplicity sequence $\overline{m} = (2)$. Hence, we have only one possible cuspidal configuration for a cubic curve, $[(2)]$.

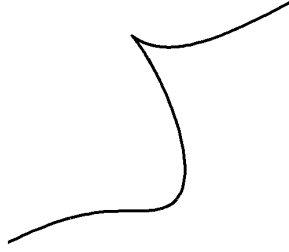
The cuspidal cubic – $[(2)]$

The cuspidal cubic can be given by the parametrization

$$(s^3 : st^2 : t^3).$$

An illustration of the cuspidal cubic and a brief summary of its properties are given in Table 3.1.

Using *Singular* and the code given in Appendix A, we find that the defining polynomial of this curve is $F = y^3 - xz^2$. The partial derivatives of F vanish at $p = (1 : 0 : 0)$, hence this point is the cusp. C has tangent $T_p = \mathcal{V}(z)$ at p , and T_p intersects C at p with multiplicity $(C \cdot T_p)_p = 3$.



$(s^3 : st^2 : t^3)$		
# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2)	3	8
# Inflection points = 1		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1

Table 3.1: Cuspidal cubic – [(2)]

The Hessian curve H_C is given by $H_F = 24yz^2$. Since $(0 : 0 : 1)$ is a smooth point and

$$H_C \cap C = \{(1 : 0 : 0), (0 : 0 : 1)\},$$

C has an inflection point at $q = (0 : 0 : 1)$. Indeed, we have the tangent at q given by $T_q = \mathcal{V}(x)$, and this line intersects C at q with multiplicity $(C \cdot T_q)_q = 3$.

The parametrization of C can be studied locally. Setting $s = 1$, we find the germ of the curve at the cusp p ,

$$(C, p) = (1 : t^2 : t^3).$$

Similarly, setting $t = 1$, we find the germ of the curve at the inflection point q ,

$$(C, q) = (s^3 : s : 1).$$

3.2 Rational cuspidal quartics

Let C be a rational cuspidal quartic. Since $d = 4 > m_p \geq 2$, any cusp on C must have multiplicity $m = 3$ or $m = 2$. Additionally, substituting $d = 4$ in Theorem 2.2.2 gives

$$\frac{(4-1)(4-2)}{2} = 3 = \sum_{p \in \text{Sing } C} \sum_{i=0}^{n_p} \frac{m_i(m_i-1)}{2}. \quad (3.1)$$

Assume that C has a cusp with $m = 3$. By (3.1), C can not have any other cusps. Moreover, the cusp must have multiplicity sequence (3).

3.2. RATIONAL CUSPIDAL QUARTICS

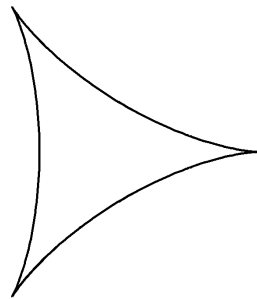
Assume that C has a cusp with $m = 2$. By (3.1), C can not have more than three cusps. If there are three cusps on C , each cusp must have multiplicity sequence (2). If there are two cusps on C , then one cusp must have multiplicity sequence (2_2) , while the other cusp must have multiplicity sequence (2). If there is just one cusp on C and $m = 2$, then this cusp must have multiplicity sequence (2_3) .

For each of the possible cuspidal configurations there exists at least one quartic curve, up to projective equivalence. The classification of rational cuspidal quartic curves up to projective equivalence is given by Namba in [25, pp.135,146]. The cuspidal quartic curves with maximal multiplicity $m = 2$ are unique up to projective equivalence. For the curve with a cusp with multiplicity $m = 3$, however, there are two possibilities. An overview of all existing rational cuspidal quartic curves up to projective equivalence is given in Table 3.2.

# Cusps	Curve	Cuspidal configuration	# Curves
3	C_1	$(2), (2), (2)$	1
2	C_2	$(2_2), (2)$	1
1	C_3	(2_3)	1
	C_4	(3)	2 – AB

Table 3.2: Rational cuspidal quartic curves.

C_1 – **Tricuspidal quartic** – $[(2), (2), (2)]$

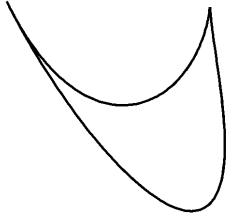


$$(s^3t - \frac{1}{2}s^4 : s^2t^2 : t^4 - 2st^3)$$

# Cusps = 3			
Cusp	p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2)		3	8
(2)		3	8
(2)		3	8
# Inflection points = 0			

C_2 – Bicuspidal quartic – $[(2_2), (2)]$

$$(s^4 + s^3t : s^2t^2 : t^4)$$

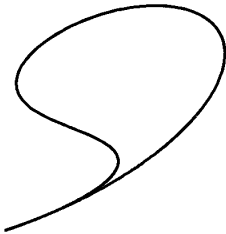


# Cusps = 2		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2_2)	4	15
(2)	3	8

# Inflection points = 1		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1

C_3 – Unicuspidal ramphoid quartic – $[(2_3)]$

$$(s^4 + st^3 : s^2t^2 : t^4)$$

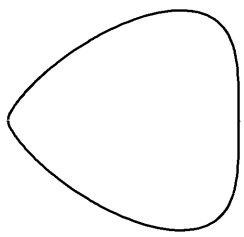


# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2_3)	4	21

# Inflection points = 3		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1
q_2	3	1
q_3	3	1

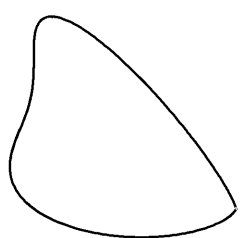
3.2. RATIONAL CUSPIDAL QUARTICS

C_{4A} – **Ovoid quartic A** – [(3)]



$(s^4 : st^3 : t^4)$		
# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(3)	4	22
# Inflection points = 1		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	4	2

C_{4B} – **Ovoid quartic B** – [(3)]



$(s^3t - s^4 : st^3 : t^4)$		
# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(3)	4	22
# Inflection points = 2		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1
q_2	3	1

Chapter 4

Projections

Projection is a method by which it is possible to construct curves in general and, particularly, cuspidal curves [23] [25] [19] [27]. In this thesis we will not use projections to construct cuspidal curves. Rather, we will use known properties of a particular cuspidal curve and the projection map to a posteriori analyze how this curve was constructed.

In this chapter we will first give an outline of the method of projection in general. Then we will define the necessary tools to analyze a curve. Last, we will take a closer look at the construction of the cuspidal cubics and quartics.

4.1 The projection map

Let $(x_0 : x_1 : \dots : x_n)$ denote the coordinates of a point in the n -dimensional projective space \mathbb{P}^n . Let X be a projective variety of dimension $r - 1$ in \mathbb{P}^n . Furthermore, let $V \subset \mathbb{P}^n$ be a linear subspace of dimension $n - r - 1$. V is called the *projection center*, and it can be given by the zero set

$$V = \mathcal{V}(H_0, \dots, H_r),$$

where $H_i \in \mathbb{C}[x_0, \dots, x_n]$, $i = 0, \dots, r$, are linearly independent linear polynomials,

$$H_i = \sum_{k=0}^n a_{ik} x_k.$$

Let A_V be the $(r + 1) \times (n + 1)$ coefficient matrix of the linear polynomials H_i ,

$$A_V = \begin{bmatrix} a_{00} & \dots & a_{0n} \\ \vdots & \ddots & \vdots \\ a_{r0} & \dots & a_{rn} \end{bmatrix}.$$

With a variety X and a projection center V in \mathbb{P}^n we define the *projection map* ρ_V ,

$$\begin{array}{ccc} \rho_V : & X & \longrightarrow \mathbb{P}^r \\ & \Downarrow & \Downarrow \\ & (p_0 : \dots : p_n) & \longmapsto (H_0 : \dots : H_r) \\ & & \parallel \\ & & (\sum a_{0k}p_k : \dots : \sum a_{rk}p_k). \end{array}$$

In the language of matrices, this is nothing more than the matrix product

$$\rho_V : \begin{bmatrix} p_0 \\ \vdots \\ p_n \end{bmatrix} \longmapsto \begin{bmatrix} a_{00} & \dots & a_{0n} \\ \vdots & \ddots & \vdots \\ a_{r0} & \dots & a_{rn} \end{bmatrix} \cdot \begin{bmatrix} p_0 \\ \vdots \\ p_n \end{bmatrix}.$$

Since H_i are linearly independent, we have that the kernel K_V of the map ρ_V , $K_V = \ker(A_V)$, is a linear subspace of A_V . K_V can be given by $n - r$ linearly independent basis vectors,

$$\vec{b}_i = [b_{i0} \quad \dots \quad b_{in}], \quad i = 1, \dots, n - r.$$

Furthermore, K_V will frequently be given by a $(n - r) \times (n + 1)$ matrix where the rows are given by the basis vectors,

$$K_V = \begin{bmatrix} b_{10} & \dots & b_{1n} \\ \vdots & \ddots & \vdots \\ b_{(n-r)0} & \dots & b_{(n-r)n} \end{bmatrix}.$$

Moreover, the rows of the matrix K_V span the projection center V , and we will therefore often describe V by K_V . Note that we have the relations $K_V = \ker(A_V)$ and, conversely, $A_V = \ker(K_V)$.

4.2 The rational normal curve

All rational cuspidal curves in \mathbb{P}^2 are the resulting curves of different projections from a particular curve in \mathbb{P}^n . In this section we define the *rational normal curve* C_n and some associated varieties of this curve.

The rational normal curve

Let γ be the map

$$\begin{array}{ccc} \gamma : & \mathbb{P}^1 & \longrightarrow \mathbb{P}^n \\ & \Downarrow & \Downarrow \\ & (s : t) & \longmapsto (s^n : s^{n-1}t : \dots : st^{n-1} : t^n). \end{array}$$

The *rational normal curve* C_n is a 1-dimensional variety in \mathbb{P}^n , given by $\text{Im}(\gamma(s, t))$. It can be described in vector notation by

$$\vec{\gamma} = [s^n \quad s^{n-1}t \quad \dots \quad st^{n-1} \quad t^n].$$

Additionally, the rational normal curve is given by the common zero set of

$$x_i x_j - x_{i-1} x_{j+1}, \quad 1 \leq i \leq j \leq n-1.$$

The tangent and the tangent developable

For every point $\gamma(s, t)$ of C_n we define the *tangent* $T(s, t)$,

$$T(s, t) = a_{00}\gamma(s, t) + a_{10}\frac{\partial}{\partial s}(\gamma(s, t)) + a_{11}\frac{\partial}{\partial t}(\gamma(s, t)), \quad a_{ij} \in \mathbb{C}.$$

By Euler's identity, the three terms above are linearly dependent. Hence, the tangent can be rewritten in matrix form as the row space of the matrix T_M ,

$$\begin{aligned} T_M &= \begin{bmatrix} \vec{\gamma}_s \\ \vec{\gamma}_t \end{bmatrix} \\ &= \begin{bmatrix} ns^{n-1} & (n-1)s^{n-2}t & \dots & t^{n-1} & 0 \\ 0 & s^{n-1} & \dots & (n-1)st^{n-2} & nt^{n-1} \end{bmatrix}. \end{aligned}$$

We define the *tangent developable* T_n of C_n as the union of all the tangents $T(s, t)$. It is a 2-dimensional surface in \mathbb{P}^n which, by the homogeneity of the rational normal curve, has similar properties for all values $(s : t)$. We observe that $C_n \subset T_n$. The tangent developable T_n is smooth outside C_n , but the rational normal curve constitutes a cuspidal edge on T_n .

The tangent developable in \mathbb{P}^n can be given by defining polynomials in $\mathbb{C}[x_0, x_1, \dots, x_n]$ by elimination of s and t , see Appendix A.

Example 4.2.1. For degree $d = 4$, the tangent developable T_4 is given by

$$\begin{aligned} [1] &= 3x_2^2 - 4x_1x_3 + x_0x_4, \\ [2] &= 2x_1x_2x_3 - 3x_0x_3^2 - 3x_1^2x_4 + 4x_0x_2x_4, \\ [3] &= 8x_1^2x_3^2 - 9x_0x_2x_3^2 - 9x_1^2x_2x_4 + 14x_0x_1x_3x_4 - 4x_0^2x_4^2. \end{aligned}$$

Osculating k -planes

For every point $\gamma(s, t)$ of the rational normal curve C_n we define the *osculating k -plane* $O^k(s, t)$,

$$\begin{aligned} O^k(s, t) &= a_{00}\gamma + a_{10}\frac{\partial \gamma}{\partial s} + a_{11}\frac{\partial \gamma}{\partial t} + \dots \\ &\quad + a_{k0}\frac{\partial^k \gamma}{\partial s^k} + a_{k1}\frac{\partial^k \gamma}{\partial s^{(k-1)}\partial t} + \dots + a_{k(k-1)}\frac{\partial^k \gamma}{\partial s\partial t^{(k-1)}} + a_{kk}\frac{\partial^k \gamma}{\partial t^k}, \end{aligned}$$

where $a_{ij} \in \mathbb{C}$, $i = 0, \dots, k$, $j = 0, \dots, i$. Note that $T(s, t) = O^1(s, t)$.

The terms of $O^k(s, t)$ are linearly dependent, hence the k -dimensional osculating k -plane can be rewritten in matrix form as the row space of the $(k+1) \times (n+1)$ matrix O_M^k ,

$$O_M^k = \begin{bmatrix} \vec{\gamma}_{s^k} \\ \vec{\gamma}_{s^{k-1}t} \\ \vdots \\ \vec{\gamma}_{st^{k-1}} \\ \vec{\gamma}_{t^k} \end{bmatrix}.$$

Observe that we have obvious relations between the rational normal curve, the tangents and the osculating k -planes. For every value of $(s : t)$, hence for every point $\gamma(s, t) \in \mathbb{P}^n$, we have the chain

$$\gamma(s, t) \subset T(s, t) \subset O^2(s, t) \subset O^3(s, t) \subset \dots \subset O^{n-1}(s, t) \subset O^n(s, t) = \mathbb{P}^n.$$

Secant variety

The *secant variety* S_n of the rational normal curve can be given as the ideal generated by all 2×2 minors of the matrix \mathcal{S}_α for any α such that $n - \alpha$, $\alpha \geq 2$ [16, Prop. 9.7., p.103],

$$\mathcal{S}_\alpha = \begin{bmatrix} x_0 & x_1 & x_2 & \dots & x_{n-\alpha} \\ x_1 & x_2 & x_3 & \dots & x_{n-\alpha+1} \\ \vdots & & & & \\ x_\alpha & x_{\alpha+1} & x_{\alpha+2} & \dots & x_n \end{bmatrix}.$$

The secant variety is a subspace of \mathbb{P}^n with the property

$$C_n \subset T_n \subset S_n.$$

4.3 Cuspidal projections from C_n

With a few exceptions there are so far not known *sufficient* conditions which can be imposed on the projection center V , such that the resulting curve C' of a projection from C_n is rational of degree n and cuspidal, with cusps of a particular type. However, we do have some *necessary* conditions on the projection center so that the resulting curve is cuspidal.

Let ρ_V be the projection map mapping $C_n \subset \mathbb{P}^n$ to a curve $C' \subset \mathbb{P}^2$. Counting dimensions, the projection center V of ρ_V must be a $n - 3$ -dimensional

linear subspace of \mathbb{P}^n . Furthermore, V can be given by the intersection of the zero sets of three linearly independent linear polynomials,

$$V = \mathcal{V}(H_0, H_1, H_2),$$

$$A_V = \begin{bmatrix} a_{00} & \dots & a_{0n} \\ a_{10} & \dots & a_{1n} \\ a_{20} & \dots & a_{2n} \end{bmatrix}.$$

The projection center V can also be given by a $(n-2) \times (n+1)$ matrix K_V , where the rows consist of the $(n-2)$ linearly independent basis vectors \vec{b}_i for the kernel of A_V .

In the language of matrices, the projection map ρ_V can be given by

$$\begin{array}{ccc} \rho_V : & \mathbb{P}^n & \longrightarrow \mathbb{P}^2 \\ & \cup & \\ & C_n & \longrightarrow C' \\ & \cup & \\ & \Psi & \end{array}$$

$$\begin{bmatrix} s^n \\ s^{n-1}t \\ \vdots \\ st^{n-1} \\ t^n \end{bmatrix} \longmapsto \begin{bmatrix} a_{00} & \dots & a_{0n} \\ a_{10} & \dots & a_{1n} \\ a_{20} & \dots & a_{2n} \end{bmatrix} \cdot \begin{bmatrix} s^n \\ s^{n-1}t \\ \vdots \\ st^{n-1} \\ t^n \end{bmatrix}.$$

If the resulting curve C' of a projection map ρ_V of C_n is rational and cuspidal of degree n , we say that ρ_V is a *cuspidal projection*. If V fulfills the following criteria, then ρ_V is a cuspidal projection [19, pp.89–90] [27, pp.95–97].

- V can not intersect C_n . If it did, C' would not be of degree n . Using the matrices above, we find that this is equivalent to the criterion

$$\text{rank } C_n V = n - 1,$$

where $C_n V$ is the $(n-1) \times (n+1)$ matrix

$$C_n V = \begin{bmatrix} s^n & s^{n-1}t & \dots & st^{n-1} & t^n \\ & & K_V & & \end{bmatrix}.$$

- V must intersect the tangent developable T_n . If V intersects T_n at $T(s_0, t_0)$, then the image of the point $\gamma(s_0, t_0)$ will be a cusp on C' . This is easily seen by looking at the Puiseux parametrization of a cusp, which is on the form

$$(t^m : c_r t^r + \dots : 1), \quad r > m > 1.$$

Getting j cusps on a curve requires that V intersects the tangent developable T_n in j points. This is equivalent to

$$\text{rank } T_n V = n - 1$$

for j values $(s : t)$, where $T_n V$ is the $n \times (n + 1)$ matrix

$$T_n V = \begin{bmatrix} ns^{n-1} & (n-1)s^{n-2}t & \dots & t^{n-1} & 0 \\ 0 & s^{n-1} & \dots & (n-1)st^{n-2} & nt^{n-1} \\ & & K_V & & \end{bmatrix}.$$

- V can not intersect the secant variety S_n of C_n outside T_n . If V did intersect $S_n \setminus T_n$, C' would not be purely cuspidal. How to impose this restriction is unknown. However, given V and using the matrix representation of S_n on page 30, it can be checked that V does not intersect $S_n \setminus T_n$.

Remark 4.3.1. Observe that since $T(s_0, t_0) \subset O^k(s_0, t_0)$ for all $k > 1$, any projection center V which intersects $T(s_0, t_0)$ will intersect $O^k(s_0, t_0)$ as well.

If V fulfills the above criteria, then we get a rational cuspidal curve with j cusps from the projection map ρ_V of C_n . However, we do not know how different choices of V give different kinds of cusps on C' . Although it is possible to give qualified suggestions for V in order to get cusps with relatively simple multiplicity sequences on C' , finding general patterns for more complex cases seems difficult. To illustrate this problem, we will briefly explore the subject for the quartic curves later in this chapter.

Although not directly involved in the discussion of the number of or types of cusps on a curve, inflection points represent important properties of a curve. We have criteria on V so that an inflection point is produced by the projection map.

If V intersects $O^2(s_0, t_0)$, but it intersects neither the curve C_n nor the tangent $T(s_0, t_0)$, then the image of the point $\gamma(s_0, t_0)$ will be an inflection point on C' . This follows from the Puiseux parametrization of an inflection point, which generally is on the form

$$(t : c_r t^r + \dots : 1), \quad r \geq 3.$$

Furthermore, getting j inflection points on a curve requires that, for j values $(s : t)$,

$$\det O_n^2 V = 0 \quad \text{and} \quad V \cap T(s, t) = \emptyset,$$

where $O_n^2 V$ is the $(n+1) \times (n+1)$ matrix

$$O_n^2 V = \begin{bmatrix} \vec{\gamma}_{s^2} \\ \vec{\gamma}_{st} \\ \vec{\gamma}_{t^2} \\ K_V \end{bmatrix}.$$

Imposing the above restrictions and finding the appropriate projection centers so that we may construct curves by projection is quite hard. For maximally inflected curves of degree $d = 4$, this was done by Mork in [23, pp.45–62]. But generally there are many restrictions and many unknown parameters which have to be determined. Therefore, even with the help of computer programs, it is difficult to find suitable projection centers.

Since we, by for example [25, pp.135,146,179–182] and [7, pp.327–328], explicitly know some cuspidal curves, we will use the parametrization of the curves and essentially read off the associated projection centers V instead of determining it based on the restrictions. For some curves the projection centers will be investigated closely for the purpose of finding out more about the geometry of cuspidal projections. This will involve intersecting the projection center with different osculating k -planes.

4.4 Cuspidal projections from C_3

A projection of the rational normal curve C_3 in \mathbb{P}^3 to a curve C' in \mathbb{P}^2 must have as projection center a 0-dimensional linear variety, a point P ,

$$K_P = [b_0 \quad b_1 \quad b_2 \quad b_3].$$

Because T_3 is smooth outside C_3 , there is no point on the surface $T_3 \setminus C_3$ where two or more tangents intersect. Hence, we can maximally have one cusp on the curve C' .

The cuspidal cubic curve can be represented by the parametrization

$$(s^3 : st^2 : t^3).$$

We read off the parametrization that P is given by

$$P = \mathcal{V}(x_0, x_2, x_3),$$

$$A_P = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad K_P = \begin{bmatrix} 0 & 1 & 0 & 0 \end{bmatrix}.$$

With this information on the projection center we observe, with the help of *Singular*, that

$$- P \cap C_3 = \emptyset.$$

For all $(s : t)$ we have

$$\text{rank} \begin{bmatrix} s^3 & s^2t & st^2 & t^3 \\ 0 & 1 & 0 & 0 \end{bmatrix} = 2.$$

```
ring r=0, (s,t),dp;
matrix C[2][4]=s3,s2t,st2,t3,0,1,0,0;
ideal I=(minor(C,2));
solve(std(I));
```

```
[1]:
[1]:
0
[2]:
0
```

$$- P \cap T_3 = \{p_1\}.$$

For $(s : t) = \{(1 : 0)\}$, we have

$$\text{rank} \begin{bmatrix} 3s^2 & 2st & t^2 & 0 \\ 0 & s^2 & 2st & 3t^2 \\ 0 & 1 & 0 & 0 \end{bmatrix} = 2.$$

```
matrix T[3][4]=3s2,2st,t2,0,0,s2,2st,3t2,0,1,0,0;
ideal I=(minor(T,3));
ideal Is=I,s-1;
ideal It=I,t-1;
solve(std(Is));
```

```
[1]:
[1]:
1
[2]:
0
```

```
solve(std(It));
```

```
? ideal not zero-dimensional
```

This corresponds to precisely one cusp on the curve.

– $P \cap O^2(s, t) = \{p_1, p_2\}$.

For $(s : t) = \{(1 : 0), (0 : 1)\}$, we have

$$\det \begin{bmatrix} 3s & t & 0 & 0 \\ 0 & 2s & 2t & 0 \\ 0 & 0 & s & 3t \\ 0 & 1 & 0 & 0 \end{bmatrix} = 0.$$

```
matrix O_2[4][4]=3s,t,0,0,0,2s,2t,0,0,0,s,3t,0,1,0,0;
ideal I=(det(O_2));
ideal Is=I,s-1;
ideal It=I,t-1;
solve(std(Is));
```

```
[1]:
[1]:
  1
[2]:
  0
```

```
solve(std(It));
```

```
[1]:
[1]:
  0
[2]:
  1
```

Only one of these values, $(s : t) = (0 : 1)$, additionally fulfill the restriction $P \cap T(s, t) = \emptyset$. Hence, we have one inflection point on the curve.

We conclude that the cuspidal cubic has one cusp and one inflection point.

4.5 Cuspidal projections from C_4

The projection center of a projection of the rational normal curve C_4 in \mathbb{P}^3 to a curve C' in \mathbb{P}^2 must be a 1-dimensional linear variety, a line L , given by

$$K_L = \begin{bmatrix} b_{10} & b_{11} & b_{12} & b_{13} & b_{14} \\ b_{20} & b_{21} & b_{22} & b_{23} & b_{24} \end{bmatrix}.$$

In order to give a cuspidal projection, the following conditions must always be fulfilled by L .

– $L \cap C_4 = \emptyset$.

$$\text{rank} \begin{bmatrix} s^4 & s^3t & s^2t^2 & st^3 & t^4 \\ b_{10} & b_{11} & b_{12} & b_{13} & b_{14} \\ b_{20} & b_{21} & b_{22} & b_{23} & b_{24} \end{bmatrix} = 3 \text{ for all } (s : t).$$

$$- L \cap T_4 = \{p_j\}.$$

$$\text{rank} \begin{bmatrix} 4s^3 & 3s^2t & 2st^2 & t^3 & 0 \\ 0 & s^3 & 2s^2t & 3st^2 & 4t^3 \\ b_{10} & b_{11} & b_{12} & b_{13} & b_{14} \\ b_{20} & b_{21} & b_{22} & b_{23} & b_{24} \end{bmatrix} = 3 \text{ for } j \text{ values of } (s:t).$$

In [29, pp.55,65] it is explained that after a projection of C_4 to a curve $C' \subset \mathbb{P}^3$ from a point in \mathbb{P}^4 , there exist points in \mathbb{P}^3 where tangents of C' meet. The maximal number of tangents that intersect in a point in \mathbb{P}^3 , regardless of the projection center of the initial projection, is three. This translates to the fact that any line $L \subset \mathbb{P}^4$ can intersect the tangent developable T_4 in maximally three points in \mathbb{P}^4 . Hence, the maximal number of cusps of a rational quartic curve is three, which we have already seen is true.

We have have j inflection points on the curve if, for j pairs $(s:t)$,

$$L \cap T(s, t) = \emptyset \quad \text{and} \quad L \cap O^2(s, t) = \{p_j\}.$$

The last requirement is equivalent to

$$\det \begin{bmatrix} 6s^2 & 3st & t^2 & 0 & 0 \\ 0 & 3s^2 & 4st & 3t^2 & 0 \\ 0 & 0 & s^2 & 3st & 6t^2 \\ b_{10} & b_{11} & b_{12} & b_{13} & b_{14} \\ b_{20} & b_{21} & b_{22} & b_{23} & b_{24} \end{bmatrix} = 0.$$

We may investigate the position of L in further detail. We know that $L \subset O^2(s, t)$ if

$$\text{rank} \begin{bmatrix} 6s^2 & 3st & t^2 & 0 & 0 \\ 0 & 3s^2 & 4st & 3t^2 & 0 \\ 0 & 0 & s^2 & 3st & 6t^2 \\ b_{10} & b_{11} & b_{12} & b_{13} & b_{14} \\ b_{20} & b_{21} & b_{22} & b_{23} & b_{24} \end{bmatrix} = 3.$$

Additionally, we know that $L \subset O^3(s, t)$ if

$$\text{rank} \begin{bmatrix} 4s & t & 0 & 0 & 0 \\ 0 & 3s & 2t & 0 & 0 \\ 0 & 0 & 2s & 3t & 0 \\ 0 & 0 & 0 & s & 4t \\ b_{10} & b_{11} & b_{12} & b_{13} & b_{14} \\ b_{20} & b_{21} & b_{22} & b_{23} & b_{24} \end{bmatrix} = 4.$$

The importance of this will become apparent when we next discuss the projection centers of the rational cuspidal quartic curves. See Appendix A for the code used in Singular to produce these results.

Tricuspidal quartic – $[(2), (2), (2)]$

The cuspidal quartic curve with three A_2 cusps is given by the parametrization

$$(s^3t - \tfrac{1}{2}s^4 : s^2t^2 : t^4 - 2st^3).$$

This parametrization corresponds to the projection center L , described by

$$L = \mathcal{V}(x_1 - \tfrac{1}{2}x_0, x_2, x_4 - 2x_3),$$

$$A_L = \begin{bmatrix} -\frac{1}{2} & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -2 & 1 \end{bmatrix}, \quad K_L = \begin{bmatrix} 2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 2 \end{bmatrix}.$$

With this information on the projection center we observe that

- $L \cap C_4 = \emptyset$.
- $L \cap T_4 = \{p_1, p_2, p_3\}$ for $(s : t) = \{(1 : 0), (1 : 1), (0 : 1)\}$.
- $L \cap O^2(s, t) = \{p_1, p_2, p_3\}$ for $(s : t) = \{(1 : 0), (1 : 1), (0 : 1)\}$.
- $L \not\subset O^2(s, t)$ for any $(s : t)$.
- $L \not\subset O^3(s, t)$ for any $(s : t)$.

The results imply well known properties of this curve. It has three cusps and no inflection points. We furthermore observe that we get an A_2 -cusp when L intersects T_4 , but is not contained in any other osculating k -plane. This is consistent with the standard Puiseux parametrization of an A_2 -cusp, i.e., $(t^2 : t^3 + \dots : 1)$, and the results of Mork in [23, p.47].

Bicuspidal quartic – $[(2_2), (2)]$

The cuspidal quartic curve with two cusps, one A_4 -cusp and one A_2 -cusp, and one inflection point of type 1 is given by the parametrization

$$(s^4 + s^3t : s^2t^2 : t^4).$$

This parametrization corresponds to the projection center L , described by

$$L = \mathcal{V}(x_0 + x_1, x_2, x_4),$$

$$A_L = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad K_L = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ -1 & 1 & 0 & 0 & 0 \end{bmatrix}.$$

With this information on the projection center we observe that

- $L \cap C_4 = \emptyset$.
- $L \cap T_4 = \{p_1, p_2\}$ for $(s : t) = \{(1 : 0), (0 : 1)\}$.
- $L \cap O^2(s, t) = \{p_1, p_2, p_3\}$ for $(s : t) = \{(1 : 0), (0 : 1), (1 : -\frac{8}{3})\}$.
- $L \not\subset O^2(s, t)$ for any $(s : t)$.
- $L \subset O^3(s, t)$ for $(s : t) = \{(1 : 0)\}$.

The first three observations are consistent with the fact that we have two cusps and one inflection point on this quartic. Interestingly, the last observation reveals that the two cusps are different. We see that $L \subset O^3(s, t)$ for $(s : t) = (1 : 0)$. Although the below analysis of the cuspidal quartic with one ramphoid cusp of type A_6 reveals that this is not a sufficient condition, it apparently accounts for the A_4 -type of the cusp corresponding to this value of $(s : t)$. This is consistent with the parametrization of the A_4 -cusp given in Table 2.2 on page 13 and the results of Mork in [23, p.50].

Unicuspidal ramphoid quartic – $[(2_3)]$

The cuspidal quartic curve with one ramphoid cusp, an A_6 -cusp, and three inflection points of type 1 is given by the parametrization

$$(s^4 + st^3 : s^2t^2 : t^4).$$

This parametrization corresponds to the projection center L , described by

$$L = \mathcal{V}(x_0 + x_3, x_2, x_4),$$

$$A_L = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad K_L = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ -1 & 0 & 0 & 1 & 0 \end{bmatrix}.$$

With this information on the projection center we observe that

- $L \cap C_4 = \emptyset$.
- $L \cap T_4 = \{p_1\}$ for $(s : t) = \{(1 : 0)\}$.
- $L \cap O^2(s, t) = \{p_1, p_2, p_3, p_4\}$ for
$$(s : t) = \{(1 : 0), (1 : -2), (1 : 1 - i\sqrt{3}), (1 : 1 + i\sqrt{3})\}.$$
- $L \not\subset O^2(s, t)$.
- $L \subset O^3(s, t)$ for $(s : t) = \{(1 : 0)\}$.

The first three observations confirm that we have one cusp and three inflection points on the quartic curve. Furthermore, we observe that $L \subset O^3(s, t)$ for $(s : t) = (1 : 0)$, which seems to be the reason why the cusp is of type A_6 . This is consistent with the parametrization of the A_6 -cusp given in Table 2.2 on page 13, where there is no term with t^3 .

Remark 4.5.1. Note that the above discussion reveals that we do not have sufficient criteria for the formation of A_4 - and A_6 -cusps on curves of degree $d = 4$ under projection. We need further restrictions, and several attempts have been made to find these. David and Wall prove in [5, Lemma 4.2., p.558] and [32, p.363] that the production of an A_6 -cusp is a special case of the production of an A_4 -cusp. Translating these results to the language of matrices has so far not been successful.

Ovoid quartic A– [(3)]

The cuspidal quartic curve with one ovoid cusp, an E_6 -cusp, and one inflection point of type 2 is given by the parametrization

$$(s^4 : st^3 : t^4).$$

This parametrization corresponds to the projection center L , described by

$$L = \mathcal{V}(x_0, x_3, x_4),$$

$$A_L = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad K_L = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}.$$

With this information on the projection center we observe that

- $L \cap C_4 = \emptyset$.
- $L \cap T_4 = \{p_1\}$ for $(s : t) = \{(1 : 0)\}$.

- $L \cap O^2(s, t) = \{p_1, p_2\}$ for $(s : t) = \{(1 : 0), (0 : 1)\}$.
- $L \subset O^2(s, t)$ for $(s : t) = \{(1 : 0)\}$.
- $L \subset O^3(s, t)$ for $(s : t) = \{(1 : 0), (0 : 1)\}$.

We observe that L intersects T_4 for the value $(s : t) = (1 : 0)$, and that the image of this point will be a cusp. We also note that L additionally is contained in $O^2(s, t)$ for $(s : t) = (1 : 0)$, which accounts for the multiplicity $m = 3$ of the cusp. This is consistent with the Puiseux parametrization of the cusp, $(t^3 : t^4 : 1)$, and Mork in [23, p.50].

Additionally, L intersects $O^2(s, t)$ for $(s : t) = (0 : 1)$, hence the image of this point is an inflection point. We also note that L is contained in $O^3(s, t)$ for $(s : t) = (0 : 1)$, which ensures that the inflection point is of type 2.

Ovoid quartic B – [(3)]

The cuspidal quartic curve with one ovoid cusp, an E_6 -cusp, and two inflection points of type 1 is given by the parametrization

$$(s^3t - s^4 : st^3 : t^4).$$

This parametrization corresponds to the projection center L , described by

$$L = \mathcal{V}(x_1 - x_0, x_3, x_4),$$

$$A_L = \begin{bmatrix} -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad K_L = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}.$$

With this information on the projection center we observe that

- $L \cap C_4 = \emptyset$.
- $L \cap T_4 = \{p_1\}$ for $(s : t) = \{(1 : 0)\}$.
- $L \cap O^2(s, t) = \{p_1, p_2, p_3\}$ for $(s : t) = \{(1 : 0), (1 : 2), (0 : 1)\}$.
- $L \subset O^2(s, t)$ for $(s : t) = \{(1 : 0)\}$.
- $L \subset O^3(s, t)$ for $(s : t) = \{(1 : 0)\}$.

As above, the first two and the fourth observation accounts for the cusp and its multiplicity $m = 3$. The third observation is consistent with the fact that we have two inflection points on this curve, and these are of type 1 by the last observation.

Chapter 5

Cremona transformations

The concept of *Cremona transformations* provides a powerful tool that makes construction of curves in general, and cuspidal curves in particular, quite simple. Constructing cuspidal curves with Cremona transformations can be approached in two radically different, although entwined, ways. We may regard Cremona transformations algebraically and apply an explicitly given transformation to a polynomial, or we may use geometrical properties of Cremona transformations and implicitly prove the existence of curves. In this chapter we will first define and describe Cremona transformations, with particular focus on quadratic Cremona transformations. Then we will use both given approaches to construct and prove the existence of the cuspidal cubics and quartics.

5.1 Quadratic Cremona transformations

Let ψ be a *birational transformation*,

$$\begin{array}{ccc} \psi : & \mathbb{P}^2 & \dashrightarrow & \mathbb{P}^2 \\ & \cup & & \cup \\ & (x : y : z) & \longmapsto & (G^x(x, y, z) : G^y(x, y, z) : G^z(x, y, z)), \end{array}$$

where G^x , G^y and G^z are linearly independent homogeneous polynomials of the same degree d with no common factor. Then ψ is called a plane *Cremona transformation of order d* . In particular, if ψ is a birational transformation of order 2, then ψ is called a *quadratic Cremona transformation*.

The birational transformations are precisely the maps for which the set $\mathcal{V}(G^x) \cap \mathcal{V}(G^y) \cap \mathcal{V}(G^z)$ consists of exactly $d^2 - 1$ points, counted with multiplicity [22]. These points are called the *base points* of ψ . This immediately implies that any linear change of coordinates is a Cremona transformation. A quadratic Cremona transformation must have 3 base points, counted with multiplicity.

By Hartshorne [17, Theorem 5.5, p.412] it is possible to factor a birational transformation into a finite sequence of monoidal transformations and their inverses. More specifically, a quadratic Cremona transformation acts on \mathbb{P}^2 by blowing up the three base points and blowing down three associated lines. This process will transform any curve $C = \mathcal{V}(F) \subset \mathbb{P}^2$, and we will go through the process in detail in the next sections.

Before we discuss how Cremona transformations can transform curves, we observe that by allowing the base points to be not only proper points in \mathbb{P}^2 , but also infinitely near points of any other base point, we get three apparently different kinds of quadratic Cremona transformations [1, Section 2.8., pp.63–66].

Three proper base points – ψ_3

ψ_3 is a Cremona transformation which has three proper base points, p, q and r , in \mathbb{P}^2 . We will write $\psi_3(p, q, r)$ for this transformation. Note that since we have three base points, orienting curves in \mathbb{P}^2 such that explicit applications of this kind of Cremona transformations have the desired effect, is easily done.

Two proper base points – ψ_2

ψ_2 is a Cremona transformation which has two proper base points, p and q , in \mathbb{P}^2 , and one infinitely near base point, \hat{q} . The latter point is here defined to be the infinitely near point of q lying in the intersection of the exceptional line E of q and the strict transform of a specified line $L \subset \mathbb{P}^2$ through q . We write $\psi_2(p, q, L)$ for this transformation. Note that although the point \hat{q} is not in \mathbb{P}^2 , we are still able to orient a curve such that this kind of Cremona transformation gives the sought after effect. This is because q together with any point $r \in L \setminus q \subset \mathbb{P}^2$ determine L .

One proper base point – ψ_1

ψ_1 is a Cremona transformation which has one proper base point, p , in \mathbb{P}^2 . The last two base points, called \hat{p} and $\hat{\hat{p}}$, are infinitely near points of p . The point \hat{p} is an infinitely near point of p in the intersection of the exceptional line E of p and the strict transform of a line L through p . The point $\hat{\hat{p}}$ is an infinitely near point of both p and \hat{p} , lying somewhere on the exceptional line $E_{\hat{p}}$ of the blowing-up of \hat{p} . We write $\psi_1(p, L, -)$ for this transformation. Note that there is no apparent representative for $\hat{\hat{p}}$ in \mathbb{P}^2 , hence it may be difficult to appropriately orient curves to get the desired effect from explicit applications of Cremona transformations of this kind.

These quadratic Cremona transformations are not that different after all. All of them can be written as a product of linear transformations and quadratic Cremona transformations with three proper base points. The transformation with two proper base points is a product of two, and the transformation with one proper base point is a product of four quadratic Cremona transformations with three proper base points [1, pp.246–247].

5.2 Explicit Cremona transformations

Cremona transformations can be applied to \mathbb{P}^2 , thereby transforming a curve $C = \mathcal{V}(F)$ [13, pp.170–178]. For each kind of quadratic Cremona transformation, we choose an explicit representation of ψ such that $\psi \circ \psi$ is the identity on \mathbb{P}^2 outside the base points. We may apply ψ to the curve C by making the substitutions $x = G^x$, $y = G^y$ and $z = G^z$ in the defining polynomial F . The polynomial $F^Q(x, y, z) = F(G^x, G^y, G^z)$ may be reducible, and $C^Q = \mathcal{V}(F^Q)$ is called the *total transform* of C . Carefully removing linear factors of F^Q , we get a polynomial $F'(x, y, z)$, which is the defining polynomial of the *strict transform* C' of C . Note that the removal of linear factors in F^Q is depending on the particular Cremona transformation. Moreover, if C is irreducible, so is C' . We also have that $(C')' = C$.

The three different types of quadratic Cremona transformations presented in Section 5.1 can be given explicitly by standard maps. By combining these maps with linear changes of coordinates, we can produce all quadratic Cremona transformations.

Three proper base points – ψ_3

After a linear change of coordinates which moves p to $(1 : 0 : 0)$, q to $(0 : 1 : 0)$, and r to $(0 : 0 : 1)$, $\psi_3(p, q, r)$ can be written on standard form. This map is commonly referred to as the standard Cremona transformation.

$$\psi_3 : (x : y : z) \longmapsto (yz : xz : xy).$$

ψ_3 applied to F gives F^Q , the defining polynomial of the total transform, C^Q . To get F' , the defining polynomial of the strict transform C' , remove the factors x , y and z with multiplicity m_p , m_q and m_r respectively [13, p.173].

Two proper base points – ψ_2

After a linear change of coordinates which moves p to $(1 : 0 : 0)$, q to $(0 : 1 : 0)$, and lets L be the line $\mathcal{V}(x)$, $\psi_2(p, q, L)$ can be written on standard form.

$$\psi_2 : (x : y : z) \longmapsto (z^2 : xy : zx).$$

ψ_2 applied to F gives F^Q . To get F' , remove the factors x with multiplicity m_p and z with multiplicity $m_q + m_{\hat{q}}$. Note that $m_{\hat{q}} = 0$ if and only if L is *not* the tangent line to C at q . If $L = T_q$, then $m_{\hat{q}} = m_{q,1}$.

One proper base point – ψ_1

Although we are not able to control the orientation of this kind of Cremona transformation completely, the following explicit map is appropriate in later examples. The base point of this transformation is $(1 : 0 : 0)$.

$$\psi_1 : (x : y : z) \longmapsto (y^2 - xz : yz : z^2).$$

ψ_1 applied to F gives the defining polynomial F^Q of the total transform C^Q . To get F' , remove the factor z with multiplicity $m_p + m_{\hat{p}} + m_{\hat{\hat{p}}}$. These multiplicities are hard to find explicitly. It is easier to use the implicit approach to get the complete picture.

5.3 Implicit Cremona transformations

Explicitly applying Cremona transformations is useful for obtaining defining polynomials of strict transforms of curves. It hides, however, much of the ongoing action in the process of transforming a curve. By describing the Cremona transformation implicitly, much information about the strict transform of a curve, and in particular its singularities, can be deduced directly, i.e., without using the defining polynomial and without needing to worry about explicit orientation in \mathbb{P}^2 . In this section we will first describe how we can find the degree of the strict transform of a curve. Then we will investigate each kind of Cremona transformations and describe them implicitly in order to clarify notation. Examples will be given in the next section.

5.3.1 The degree of the strict transform

Observe that we may estimate the degree d' of the strict transform C' of the curve C of degree d without explicitly calculating the strict transform. F^Q has degree $2 \cdot d$, and to get F' we remove linear factors with a known multiplicity. Abusing notation, we let p, q and r denote the three base points of ψ_j , regardless of the nature of the point. Let m_p denote the multiplicity of the point p with respect to the curve C_i , where $i = 0$ if p is a proper base point, $i = 1$ if p is in the exceptional line of any proper base point, and $i = 2$ if p is in the exceptional line of any non-proper base point. The degree d' of C' is given by

$$d' = 2 \cdot d - m_p - m_q - m_r.$$

5.3.2 Three proper base points

A quadratic Cremona transformation of type $\psi_3(p, q, r)$ can be regarded as nothing more than a simultaneous blowing-up of three points and a blowing-down of the strict transforms of the three lines connecting them. By the properties of monoidal transformations, it is possible to deduce intersection multiplicities and multiplicity sequences of points on the strict transform C' of a curve C under this type of Cremona transformation.

5.3.3 Elementary transformations

Before we discuss the quadratic Cremona transformations with two, respectively one, proper base point, we introduce the concept of *elementary trans-*

formations on ruled surfaces.

In this thesis we adopt the notation of Fenske in [8] and define a *ruled surface* as a surface X isomorphic to a Hirzebruch surface

$$\Sigma_n = \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(n)), \quad \text{for some } n > 0.$$

X has a horizontal section E and vertical fibers F . The fibers F have self-intersection $F^2 = 0$, and the horizontal section has self-intersection $E^2 = -n$. Observe that for $n = 1$ this is equivalent to the surface obtained via a blowing-up of a point p in \mathbb{P}^2 . The horizontal section E , with $E^2 = -1$, is the exceptional line from the blowing-up, and the fibers F are the strict transforms of the lines through p .

An *elementary transformation* of a fiber F at a point $q \in F$ on a ruled surface X is a composition of the blowing-up of X at the point q and the blowing-down of the strict transform of the fiber F . To shorten notation, we say that we have an elementary transformation in q .

The blowing-up of X at q produces a surface which we denote \bar{X} . On this surface we have the strict transforms \bar{E} and \bar{F} of E and F respectively. Additionally we have the new exceptional line of the blowing-up of q , denoted \bar{E}_q .

Blowing down \bar{F} , we obtain a new ruled surface X' , where the fiber F is replaced by the strict transform of the exceptional line \bar{E}_q from the blowing-up of q . Hopefully making notation simpler, we call this fiber F' . Furthermore, the strict transform of the horizontal section \bar{E} is referred to as E' . Note that E' has the property that if $q \in E$, then $E'^2 = E^2 - 1$. If $q \notin E$, then $E'^2 = E^2 + 1$.

By the properties of the blowing-up process on page 14, we are able to calculate intersection multiplicities between the strict transform of a curve and the fibers of the ruled surface throughout an elementary transformation. We are additionally able to predict changes in the multiplicity sequence of a cusp caused by the elementary transformation.

5.3.4 Two proper base points

Let p and q be points in \mathbb{P}^2 . Let L^{pq} be the line between the two points, and let L be another line through q . Then the quadratic Cremona transformation of type $\psi_2(p, q, L)$ can be decomposed into three main steps.

Blowing up at q

The first step is blowing up the point $q \in \mathbb{P}^2$, hence producing a ruled surface X_1 with an exceptional line E_1 . We have $E_1^2 = -1$.

Elementary transformations in p_1 and \hat{q}

The second step is performing two elementary transformations of two fibers at two points on X_1 . The two fibers are the strict transform of the line L^{pq} ,

L_1^{pq} , and the strict transform of the line L , L_1 . The two points are the strict transform of p , $p_1 \in L_1^{pq}$, and the point \hat{q} , which is uniquely determined by the fact that $\hat{q} \in E_1 \cap L_1$.

After performing the elementary transformations, we obtain another ruled surface, X_2 . The horizontal section E_2 of this surface is the strict transform of E_1 under the elementary transformations. On X_2 we have fibers L_2 and L_2^{pq} , the strict transforms of the exceptional lines $E_{\hat{q}}$ and E_{p_1} under the elementary transformation.

Blowing down E_2

By properties of elementary transformations, E_2 satisfies $E_2^2 = -1$. Hence, the third step of the Cremona transformation is blowing down E_2 , which leads us back to \mathbb{P}^2 .

Since the Cremona transformation is a composition of blowing-ups and blowing-downs, we are able to follow the intersection multiplicities and the multiplicity sequences of points of a curve and transformations of the curve in every step of the Cremona transformation. In particular, we can determine the invariants for the strict transform of the curve.

5.3.5 One proper base point

Let p be a point and let L be a line through p in \mathbb{P}^2 . A quadratic Cremona transformation of type $\psi_1(p, L, -)$ can then be decomposed into four main steps.

Blowing up at p

The first step is blowing up the point $p \in \mathbb{P}^2$. This results in a ruled surface X_1 with horizontal section E_1 , where $E_1^2 = -1$. On X_1 we additionally have the fiber L_1 , the strict transform of the line L , and the point \hat{p} , which is given by $\hat{p} = E_1 \cap L_1$.

Elementary transformation in \hat{p}

The second step is performing an elementary transformation of the fiber L_1 at the point \hat{p} on X_1 . This results in a new ruled surface X_2 , with horizontal section E_2 and the fiber L_2 . E_2 denotes the transform of the horizontal section E_1 , and L_2 denotes the transform of the exceptional line $E_{\hat{p}}$ of the elementary transformation. Note that $E_2^2 = -2$.

Elementary transformation in $\hat{\hat{p}}$

The third step is performing an elementary transformation of the fiber L_2 at a point $\hat{\hat{p}}$, where $\hat{\hat{p}} \notin E_2$. This results in a new ruled surface X_3 , in which we have the transform of E_2 , E_3 , where $E_3^2 = -1$. Analogous to the above, we denote by L_3 the transform of the exceptional line $E_{\hat{\hat{p}}}$.

Blowing down E_3

Since $E_3^2 = -1$, blowing down the horizontal section $E_3 \subset X_3$ is the last step in the Cremona transformation, taking us back to \mathbb{P}^2 .

As above, we are able to follow the intersection multiplicities and the multiplicity sequences of points of a curve and transformations of the curve in every step of the Cremona transformation.

5.4 Constructing curves

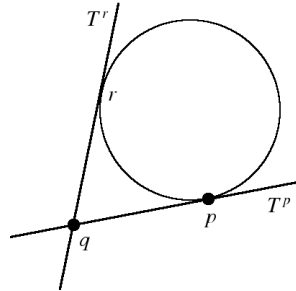
In this section we will make use of the quadratic Cremona transformations described above and construct the cuspidal cubic and quartic curves.

The pictures of the implicit Cremona transformations of curves displayed in this thesis are merely illustrations of the action described in the text, and they do not give a complete overview of the curves and surfaces.

Some of the points and lines are marked with notation in the illustrations. Points which are going to be blown up are marked with name and a black dot. Lines which are going to be blown down are marked with two black dots. The curves C stand out in the images, hence, they are not marked. Furthermore, vertical fibers in the ruled surfaces will be marked by name only when they are exceptional lines of blowing-ups. The other vertical fibers are possible to identify from the context. Moreover, in order to avoid problems with the notation, we will write T^p for the tangent line to C at p .

Cuspidal cubic – [(2)]

We construct the cuspidal cubic with the help of a quadratic Cremona transformation with two proper base points.



Let C be an irreducible conic. Two arbitrary points p and r on C have unique tangents T^p and T^r , which only intersect C at p and r , respectively.

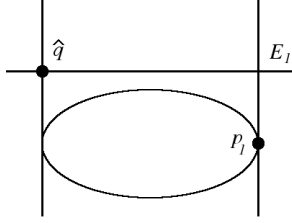
$$T^p \cdot C = 2 \cdot p,$$

$$T^r \cdot C = 2 \cdot r.$$

Let q denote the intersection point $T^p \cap T^r$, and note that $q \notin C$.

Applying the transformation $\psi_2(p, q, T^r)$ to C , we get the desired cubic.

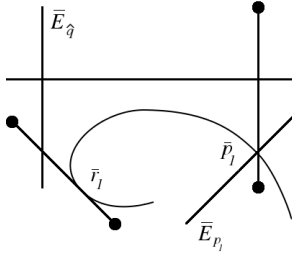
Blowing up at q



Blowing up at q , we get the ruled surface X_1 with horizontal section E_1 and the transformed curve C_1 . No points or intersection multiplicities have been affected by this process. Note that since $q \notin C$, $E_1 \cap C_1 = \emptyset$.

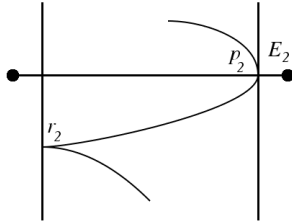
The points $\hat{q} = E_1 \cap T_1^r$ and $p_1 = C_1 \cap T_1^p$ are marked in the figure.

Elementary transformations in \hat{q} and p_1



Blowing up at \hat{q} and p_1 gives on \bar{X}_1 two exceptional lines $\bar{E}_{\hat{q}}$ and \bar{E}_{p_1} . We have the intersections

$$\begin{aligned} \bar{T}_1^r \cdot \bar{C}_1 &= 2 \cdot \bar{r}_1, \\ \bar{T}_1^r \cap \bar{E}_1 &= \emptyset, \\ \bar{T}_1^p \cdot \bar{C}_1 &= ((T_1^p \cdot C_1)_{p_1} - m_{p_1}) \cdot \bar{p}_1 \\ &= 1 \cdot \bar{p}_1, \\ \bar{E}_{p_1} \cdot \bar{C}_1 &= 1 \cdot \bar{p}_1. \end{aligned}$$



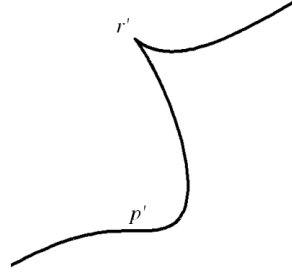
Blowing down \bar{T}_1^r and \bar{T}_1^p gives the surface X_2 . On this surface we have T_2^r , the strict transform of $\bar{E}_{\hat{q}}$, and T_2^p , the strict transform of \bar{E}_{p_1} .

Because of the above intersection concerning \bar{r}_1 , r_2 is a cusp with multiplicity sequence (2). Note that $r_2 \notin E_2$.

The smooth point \bar{p}_1 is transformed into the smooth point p_2 . Furthermore, T_2^p is actually the tangent to C_2 at p_2 , and $p_2 \in E_2$.

$$\begin{aligned} (T_2^p \cdot C_2)_{p_2} &= ((\bar{T}_1^p \cdot \bar{C}_1)_{\bar{p}_1} + (\bar{E}_{p_1} \cdot \bar{C}_1)_{\bar{p}_1}) \\ &= 2, \\ (E_2 \cdot C_2)_{p_2} &= (\bar{T}_1^p \cdot \bar{C}_1)_{\bar{p}_1} \\ &= 1. \end{aligned}$$

Blowing down E_2



Blowing down E_2 gives a curve C' with one cusp r' with multiplicity sequence (2). Additionally, the above intersection multiplicities ensure that the point p' is an inflection point.

$$\begin{aligned} T^{p'} \cdot C' &= ((T_2^p \cdot C_2)_{p_2} + (E_2 \cdot C_2)_{p_2}) \cdot p' \\ &= 3 \cdot p'. \end{aligned}$$

To see that C' really is a cubic, observe that

$$\begin{aligned} d' &= 2 \cdot d - m_q - m_{\hat{q}} - m_{p_1} \\ &= 2 \cdot 2 - 1 \\ &= 3. \end{aligned}$$

We may do this explicitly by using the Cremona transformation ψ_2 to transform the conic C ,

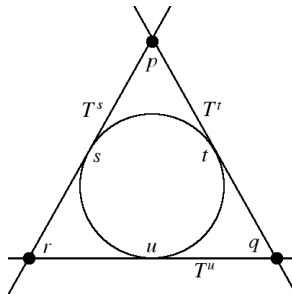
$$C = \mathcal{V}(y^2 - xz).$$

We get the desired cubic curve C' with an A_2 -cusp,

$$C' = \mathcal{V}(xy^2 - z^3).$$

C_1 – Tricuspidal quartic – $[(2), (2), (2)]$

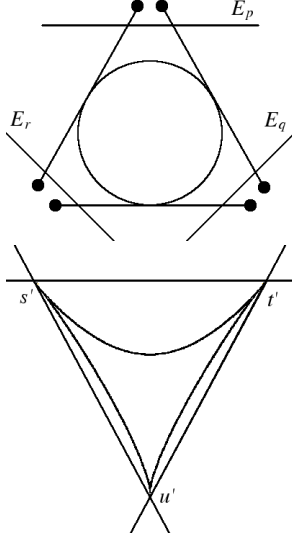
Performing a quadratic Cremona transformation with three proper base points on an appropriately oriented irreducible conic produces the tricuspidal quartic curve.



Let C be an irreducible conic. Choose three points s, t and u on C , and let T^s, T^t and T^u be the respective tangent lines to C at the three points,

$$\begin{aligned} T^s \cdot C &= 2 \cdot s, \\ T^t \cdot C &= 2 \cdot t, \\ T^u \cdot C &= 2 \cdot u. \end{aligned}$$

By Bézout's theorem, the tangent lines intersect in three different points, p, q and r , which can not be on C .



Applying the Cremona transformation $\psi_3(p, q, r)$, we get three exceptional lines E_p , E_q and E_r replacing p , q and r .

Blowing down the tangent lines results in points s' , t' and u' on C' , with multiplicity

$$m_{s'} = m_{t'} = m_{u'} = 2.$$

Since the points s , t and u were smooth points on C , s' , t' and u' are cusps with multiplicity sequence (2).

The degree of C' is $d' = 2 \cdot d = 4$ since the base points were not in C . Hence, we have constructed the tricuspidal quartic.

Explicitly, we choose the appropriately oriented conic C ,

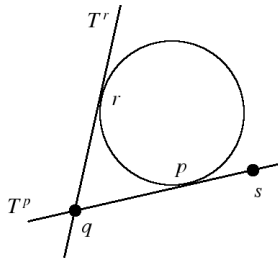
$$C = \mathcal{V}(x^2 + y^2 + z^2 - 2xy - 2xz - 2yz).$$

Applying the Cremona transformation ψ_3 results in the desired tricuspidal quartic curve C' ,

$$C' = \mathcal{V}(y^2z^2 + x^2z^2 + x^2y^2 - 2xyz(x + y + z)).$$

C_2 – Bicuspidal quartic – $[(2_2), (2)]$

We construct the bicuspidal quartic using a quadratic Cremona transformation with two proper base points.



Let C be an irreducible conic. Two arbitrary points p and r on C have unique tangents T^p and T^r , which only intersect C at p and r , respectively.

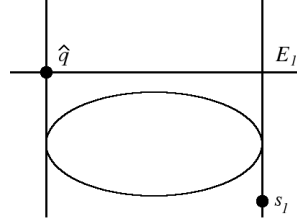
$$T^p \cdot C = 2 \cdot p,$$

$$T^r \cdot C = 2 \cdot r.$$

Let q denote the intersection point $T^p \cap T^r$, and let s denote another point on T^p . Note that $q, s \notin C$.

Applying the transformation $\psi_2(s, q, T^r)$ to C , we get the desired quartic C' .

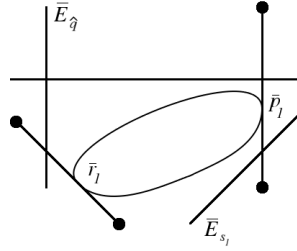
Blowing up at q



Blowing up at q , we get the ruled surface X_1 with horizontal section E_1 , fibers T_1^p and T_1^r , and the transformed curve C_1 . No points or intersection multiplicities have been affected by this process. Since $q \notin C$, we have $E_1 \cap C_1 = \emptyset$.

The points $\hat{q} = E_1 \cap T_1^r$ and $s_1 \in T_1^p$ are marked in the figure.

Elementary transformations in \hat{q} and s_1



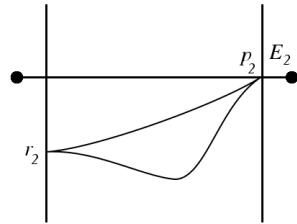
Blowing up at \hat{q} and s_1 gives on \bar{X}_1 two exceptional lines $\bar{E}_{\hat{q}}$ and \bar{E}_{s_1} . We have the intersections

$$\bar{T}_1^r \cdot \bar{C}_1 = 2 \cdot \bar{r}_1,$$

$$\bar{T}_1^r \cap \bar{E}_1 = \emptyset,$$

$$\bar{T}_1^p \cdot \bar{C}_1 = 2 \cdot \bar{p}_1,$$

$$\bar{T}_1^p \cap \bar{E}_1 \neq \emptyset.$$



Blowing down \bar{T}_1^r and \bar{T}_1^p gives the surface X_2 . On this surface we have fibers T_2^r , the strict transform of $\bar{E}_{\hat{q}}$, and T_2^p , the strict transform of \bar{E}_{s_1} .

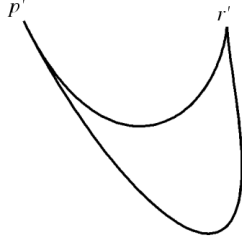
Because of the intersections above, r_2 and p_2 are cusps, both with multiplicity sequence (2). Additionally, $r_2 \notin E_2$, but $p_2 \in E_2$.

We have the intersections

$$T_2^p \cdot C_2 = 2 \cdot p_2,$$

$$E_2 \cdot C_2 = 2 \cdot p_2.$$

Blowing down E_2



Blowing down E_2 gives a curve C' with two cusps r' and p' . The cusp r' is unaltered by the last blowing-down, and it has multiplicity sequence (2). Because of the intersection

$$E_2 \cdot C_2 = 2 \cdot p_2,$$

the cusp p' has multiplicity sequence (2_2) .

To see that C' is a quartic, note that

$$\begin{aligned} d' &= 2 \cdot d - m_q - m_{\hat{q}} - m_{s_1} \\ &= 2 \cdot 2 \\ &= 4. \end{aligned}$$

We may get this curve explicitly by using the Cremona transformation ψ_2 to transform the conic C ,

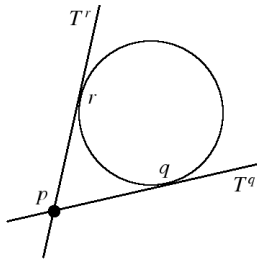
$$C = \mathcal{V}(y^2 - 2xy + x^2 - xz).$$

We get the desired quartic curve C' with an A_2 - and A_4 -cusp,

$$C' = \mathcal{V}(z^4 - xz^3 - 2xyz^2 + x^2y^2).$$

C_3 – Unicuspidal ramphoid quartic – $[(2_3)]$

We construct the unicuspidal ramphoid quartic using a quadratic Cremona transformation with one proper base point.



Let C be an irreducible conic. Choose two arbitrary points q and r on C , and let T^q and T^r be the respective tangent lines to C at these points,

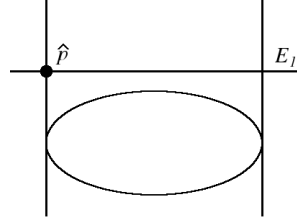
$$T^q \cdot C = 2 \cdot q,$$

$$T^r \cdot C = 2 \cdot r.$$

Let p denote the intersection point $T^q \cap T^r$, and note that $p \notin C$.

Applying the transformation $\psi_1(p, T^r, -)$ to C , we get the desired quartic.

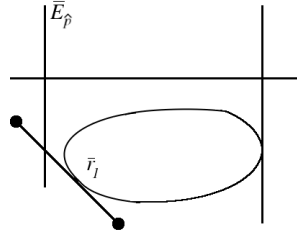
Blowing up at p



Blowing up at p , we get the ruled surface X_1 with horizontal section E_1 , fibers T_1^r and T_1^q , and the transformed curve C_1 . No points or intersection multiplicities have been affected by this process. Note that since $p \notin C$, we have $E_1 \cap C_1 = \emptyset$.

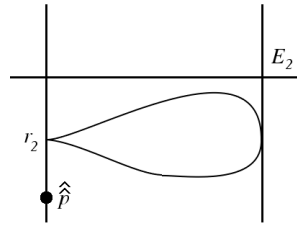
The point $\hat{p} = E_1 \cap T_1^r$ is marked in the figure.

Elementary transformation in \hat{p}



Blowing up at \hat{p} , we get on \bar{X}_1 an exceptional line $\bar{E}_{\hat{p}}$. We have the intersections

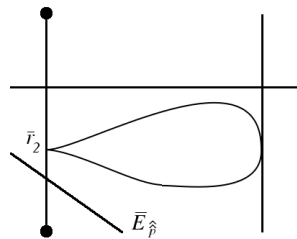
$$\begin{aligned}\bar{T}_1^r \cdot \bar{C}_1 &= 2 \cdot \bar{r}_1, \\ \bar{T}_1^r \cap \bar{E}_1 &= \emptyset.\end{aligned}$$



Blowing down \bar{T}_1^r gives the surface X_2 . On this surface we have horizontal section E_2 and the fiber T_2^r , the strict transform of $\bar{E}_{\hat{p}}$. On T_2^r we have marked the point $\hat{\hat{p}}$.

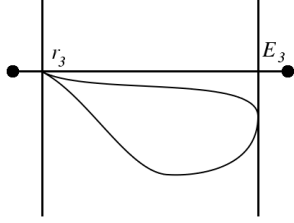
Because of the intersection above, r_2 is a cusp with multiplicity sequence (2). Note that $r_2 \notin E_2$ and that $E_2^2 = -2$.

Elementary transformation in $\hat{\hat{p}}$



Blowing up at $\hat{\hat{p}}$ we get on \bar{X}_2 an exceptional line $\bar{E}_{\hat{\hat{p}}}$. We have the intersections

$$\begin{aligned}\bar{T}_2^r \cdot \bar{C}_2 &= 2 \cdot \bar{r}_2, \\ \bar{T}_2^r \cap \bar{E}_2 &\neq \emptyset.\end{aligned}$$

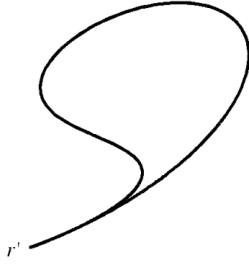


Blowing down \bar{T}_2^r gives the surface X_3 . On this surface we have the fiber T_3^r , the strict transform of $E_{\hat{p}}$. The horizontal section E_3 has self-intersection $E_3^2 = -1$.

Because of the intersection above concerning \bar{r}_2 , r_3 is a cusp with multiplicity sequence (2_2) . Since $\bar{T}_2^r \cap \bar{E}_2 \neq \emptyset$, $r_3 \in E_3$. Furthermore, we have the intersection

$$E_3 \cdot C_3 = 2 \cdot r_3.$$

Blowing down E_3



Blowing down E_3 gives a curve C' with one cusp r' . Because of the above intersection concerning r_3 , the cusp r' has multiplicity sequence (2_3) .

To see that C' is a quartic, note that

$$\begin{aligned} d' &= 2 \cdot d - m_p - m_{\hat{p}} - m_{\hat{p}} \\ &= 2 \cdot 2 \\ &= 4. \end{aligned}$$

We may construct the curve explicitly by using the Cremona transformation ψ_1 to transform the conic C ,

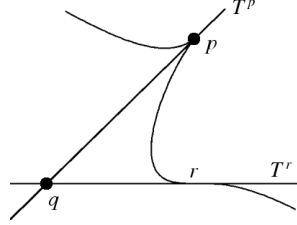
$$C = \mathcal{V}(yz + x^2).$$

We get the desired cuspidal quartic curve C' with an A_6 -cusp,

$$C' = \mathcal{V}(y^4 - 2xy^2z + yz^3 + x^2z^2).$$

C_{4A} – Ovoid quartic $\mathbf{A} - [(3)]$

We construct the ovoid quartic with one inflection point of type 2 using a quadratic Cremona transformation with two proper base points.



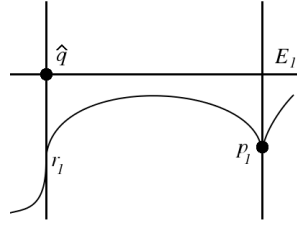
Let C be the cuspidal cubic with a cusp p , $\overline{m}_p = (2)$, and an inflection point r of type 1. The points p and r have tangents T^p and T^r , which only intersect C at p and r respectively.

$$\begin{aligned} T^p \cdot C &= 3 \cdot p, \\ T^r \cdot C &= 3 \cdot r. \end{aligned}$$

Let q denote the intersection point $T^p \cap T^r$,

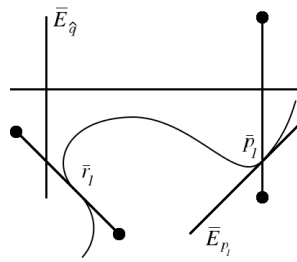
and note that $q \notin C$.

Applying the transformation $\psi_2(p, q, T^r)$ to C , we get the desired quartic.

Blowing up at q


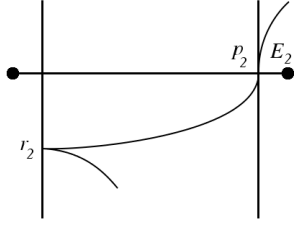
Blowing up at q we get on X_1 a horizontal section E_1 , fibers T_1^p and T_1^r , and the transformed curve C_1 . No points or intersection multiplicities have been affected by this process. Since $q \notin C$, we have $E_1 \cap C_1 = \emptyset$.

The points $\hat{q} = E_1 \cap T_1^r$ and $p_1 \in T_1^p$ are marked in the figure.

Elementary transformations in \hat{q} and p_1


Blowing up at \hat{q} and the cusp p_1 gives on \bar{X}_1 two exceptional lines $\bar{E}_{\hat{q}}$ and \bar{E}_{p_1} . We now have two smooth points, \bar{r}_1 and \bar{p}_1 , and the intersections

$$\begin{aligned} \bar{T}_1^r \cdot \bar{C}_1 &= 3 \cdot \bar{r}_1, \\ \bar{T}_1^r \cap \bar{E}_1 &= \emptyset, \\ \bar{T}_1^p \cdot \bar{C}_1 &= ((T_1^p \cdot C_1)_{p_1} - m_{p_1}) \cdot \bar{p}_1 \\ &= (3 - 2) \cdot \bar{p}_1 \\ &= 1 \cdot \bar{p}_1, \\ \bar{T}_1^p \cap \bar{E}_1 &\neq \emptyset, \\ \bar{E}_{p_1} \cdot \bar{C}_1 &= m_{p_1} \cdot \bar{p}_1 \\ &= 2 \cdot \bar{p}_1. \end{aligned}$$



Blowing down \bar{T}_1^r and \bar{T}_1^p gives the surface X_2 . On this surface we have T_2^r , the strict transform of $\bar{E}_{\hat{q}}$, and T_2^p , the strict transform of \bar{E}_{p_1} .

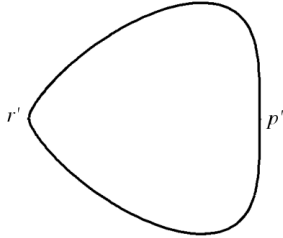
Since $\bar{E}_1 \cap \bar{T}_1^p \neq \emptyset$, $p_2 \in E_2$. Moreover, since $\bar{T}_1^r \cap \bar{E}_1 = \emptyset$, $r_2 \notin E_2$. While p_2 is a smooth point, r_2 is a cusp with multiplicity sequence (3). The latter is a consequence of

$$\bar{T}_1^r \cdot \bar{C}_1 = 3 \cdot \bar{r}_1.$$

We also have the important intersections

$$\begin{aligned} T_2^p \cdot C_2 &= ((\bar{T}_1^p \cdot \bar{C}_1)_{\bar{p}_1} + (\bar{E}_{p_1} \cdot \bar{C}_1)_{\bar{p}_1}) \cdot p_2 \\ &= (1 + 2) \cdot p_2 \\ &= 3 \cdot p_2, \\ E_2 \cdot C_2 &= (\bar{T}_1^p \cdot \bar{C}_1)_{\bar{p}_1} \cdot p_2 \\ &= 1 \cdot p_2. \end{aligned}$$

Blowing down E_2



Blowing down E_2 gives a curve C' with one cusp r' . The cusp is unaltered by the last blowing-down, and it has multiplicity sequence (3). Because of the above intersections concerning p_2 , C has an inflection point p' of type 2.

To see that C' is a quartic, note that

$$\begin{aligned} d' &= 2 \cdot d - m_q - m_{\hat{q}} - m_{p_1} \\ &= 2 \cdot 3 - 2 \\ &= 4. \end{aligned}$$

We may construct the curve explicitly by using the Cremona transformation ψ_2 to transform the cubic C ,

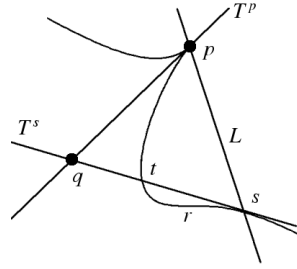
$$C = \mathcal{V}(xz^2 - y^3).$$

We get the desired quartic curve C' with one E_6 -cusp and one inflection point of type 2,

$$C' = \mathcal{V}(z^4 - xy^3).$$

C_{4B} – Ovoid quartic B – [(3)]

We construct the ovoid quartic with two inflection points using a quadratic Cremona transformation with two proper base points. By direct calculation and investigation of the curve and its orientation with respect to the Cremona transformation, we know that this construction is valid. There are, however, some unanswered questions concerning the number of inflection points constructed with Cremona transformations. This issue will be discussed in Section 5.5.



Let C be the cuspidal cubic with a cusp p , $\overline{m}_p = (2)$, and an inflection point r . Choose a smooth point s , $s \neq r$. The tangents T^p and T^s intersect C ,

$$\begin{aligned} T^p \cdot C &= 3 \cdot p, \\ T^s \cdot C &= 2 \cdot s + 1 \cdot t, \end{aligned}$$

where t is a smooth point.

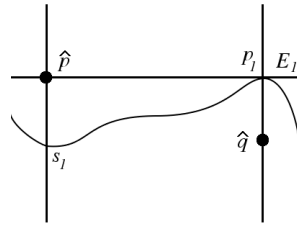
Additionally, we denote by $L = L^{ps}$ the line through the cusp p and the point s . Intersecting L with C gives

$$L \cdot C = 2 \cdot p + 1 \cdot s.$$

Finally, let q denote the intersection point $T^p \cap T^s$.

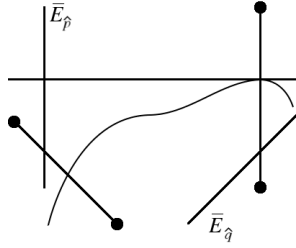
Applying the transformation $\psi_2(q, p, L)$ to C , we get the desired quartic. Note that the inflection point r will not be affected by this process.

Blowing up at p

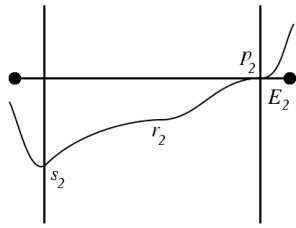


Blowing up at the cusp p , we get X_1 with horizontal section E_1 , fibers L_1 and T_1^p , and the transformed curve C_1 . The points $\hat{p} = E_1 \cap L_1$ and \hat{q} are marked in the figure. Since we blew up at p with multiplicity sequence (2) , p_1 is smooth. Additionally,

$$\begin{aligned} E_1 \cdot C_1 &= 2 \cdot p_1, \\ T_1^p \cdot C_1 &= ((T^p \cdot C)_p - m_p) \cdot p_1 \\ &= (3 - 2) \cdot p_1 \\ &= 1 \cdot p_1, \\ L_1 \cdot C_1 &= 1 \cdot s_1. \end{aligned}$$

Elementary transformations in \hat{p} and \hat{q}


Blowing up at \hat{p} and \hat{q} gives on \bar{X}_1 two exceptional lines $\bar{E}_{\hat{p}}$ and $\bar{E}_{\hat{q}}$, which do not change any points or intersections.



Blowing down \bar{L}_1 and \bar{T}_1^p gives the surface X_2 . On this surface we have the fibers L_2 , the strict transform of $\bar{E}_{\hat{p}}$, and T_2^p , the strict transform of $\bar{E}_{\hat{q}}$.

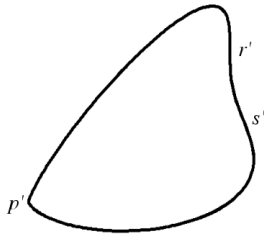
We get the intersection multiplicity

$$\begin{aligned} E_2 \cdot C_2 &= ((\bar{E}_1 \cdot \bar{C}_1)_{\bar{p}_1} + (\bar{T}_1^p \cdot \bar{C}_1)_{\bar{p}_1}) \cdot p_2 \\ &= (2 + 1) \cdot p_2 \\ &= 3 \cdot p_2. \end{aligned}$$

Additionally, note that we have affected the point s_2 with this elementary transformation. On \bar{X}_1 , assuming \bar{s}_1 has a tangent \bar{T} and then blowing down \bar{L}_1 , we calculate the intersection multiplicity of the tangent T^{s_2} to C_2 at s_2 and C_2 ,

$$\begin{aligned} (T^{s_2} \cdot C_2)_{s_2} &= ((\bar{T} \cdot \bar{C}_1)_{\bar{s}_1} + (\bar{L}_1 \cdot \bar{C}_1)_{\bar{s}_1}) \\ &= (2 + 1) \\ &= 3. \end{aligned}$$

Hence, s_2 is an inflection point of C_2 .

 Blowing down E_2


Blowing down E_2 gives a curve C' with one cusp p' and two inflection points r' and s' . Because of the intersection $E_2 \cdot C_2 = 3 \cdot p_2$, the cusp p' has multiplicity sequence (3).

To see that C' is a quartic, observe that

$$\begin{aligned} d' &= 2 \cdot d - m_p - m_{\hat{p}} - m_{\hat{q}} \\ &= 2 \cdot 3 - 2 \\ &= 4. \end{aligned}$$

We may construct this curve explicitly by using the Cremona transformation ψ_2 to transform the cubic C ,

$$C = \mathcal{V}(yz^2 - x^2z + x^3).$$

We get the desired quartic curve C' with one E_6 -cusp and two inflection points of type 1,

$$C' = \mathcal{V}(x^3y - z^3x + z^4).$$

5.5 A note on inflection points

We observe that if two curves have the same cuspidal configuration, but a different number of inflection points, then they can not be projectively equivalent. We are therefore interested in the configuration of inflection points of a curve, in addition to the cuspidal configuration. In this section we give examples on how the configuration of inflection points can be determined by other properties of the curve. Furthermore, we give examples on how inflection points behave unpredictably under Cremona transformations.

Configuration of inflection points

The ovoid quartic curves are examples of curves which are not projectively equivalent, even though they have the same cuspidal configuration. The most prominent difference between the two curves is the configuration of inflection points.

Fortunately, when C is a curve of degree d with a given cuspidal configuration, we can often determine which of the possible configurations of inflection points actually exist.

Example 5.5.1. Consider a cuspidal quartic curve C with cusps p and q with multiplicity sequences $\overline{m}_p = (2_2)$ and $\overline{m}_q = (2)$. Since C is a quartic, the intersection multiplicity of the curve and the tangents at the cusps must be $r_p = 4$ and $r_q = 3$. A quartic curve with this cuspidal configuration must, by Theorem 2.3.3, have precisely one inflection point of type 1.

$$\begin{aligned} s &= 3 \cdot 4 \cdot (4 - 2) - 6 \cdot (2 + 1) - (2 + 4 - 3) - (2 + 3 - 3) \\ &= 1. \end{aligned}$$

Example 5.5.2. Consider a quartic curve with one cusp p with multiplicity sequence (2_3) . Since C is a quartic, the intersection multiplicity of the curve and the tangent at the cusp is $r_p = 4$. We want to determine the configuration of inflection points of this curve.

The curve must have three inflection points, counted properly.

$$\begin{aligned} s &= 3 \cdot 4 \cdot (4 - 2) - 6 \cdot 3 - (2 + 4 - 3) \\ &= 3. \end{aligned}$$

We show that the only existing curve with this cuspidal configuration has three inflection points of type 1. We will do this by excluding all other configurations of inflection points and checking that the mentioned configuration does not violate any restrictions.

The dual curve C^* has degree

$$\begin{aligned} d^* &= 2 \cdot d - 2 - (m_p - 1) \\ &= 2 \cdot 4 - 2 - 1 \\ &= 5. \end{aligned}$$

The curve C can at the cusp p be given by the Puiseux parametrization

$$(C, p) = (t^2 : c_4 t^4 + c_7 t^7 + \dots : 1).$$

The dual curve C^* can at the dual point p^* be given by the Puiseux parametrization

$$(C^*, p^*) = (a^* t^2 + \dots : 1 : c_4^* t^4 + c_7^* t^7 \dots).$$

Hence, p^* is a cusp, $\overline{m}_p^* = (2_3)$, and $r_p^* = 4$.

Assume that C only has one inflection point q of type 3. Then the curve must locally around q be parametrized by

$$(C, q) = (t : c_5 t^5 + \dots : 1).$$

In this parametrization of the quartic we have that $r_q = 5$, but that is a violation of Bézout's theorem since $r_q = 5 > 4 = d$. Hence, C can not have one inflection point of type 3.

Assume that C has two inflection points q and r of type 1 and 2, respectively. Then we have

$$\begin{aligned} (C, q) &= (t : c_3 t^3 + \dots : 1), \\ (C, r) &= (t : c_4 t^4 + \dots : 1). \end{aligned}$$

Moreover,

$$\begin{aligned} (C^*, q^*) &= (a^* t^2 + \dots : 1 : c_3^* t^3 + \dots), \\ (C^*, r^*) &= (a^* t^3 + \dots : 1 : c_4^* t^4 + \dots), \end{aligned}$$

implying that q^* and r^* are cusps with multiplicity sequences (2) and (3). Then the dual curve C^* has three singularities, and $\sum_{j=p^*, q^*, r^*} \delta_j = 7$. This contradicts the genus formula (2.2.2). Hence, C can not have two inflection points.

Assume that C has three inflection points q , r and u of type 1. Then the dual quintic curve C^* has four cusps p^* , q^* , r^* and u^* with multiplicity sequences (2_3) , (2) , (2) and (2) , respectively. Indeed, C^* does not contradict the genus formula,

$$\sum_{j=p^*, q^*, r^*, u^*} \delta_j = 6 = \frac{(5-1)(5-2)}{2}.$$

Additionally, we do not get any contradiction when we calculate the number of inflection points on C^* .

$$\begin{aligned} s^* &= 3 \cdot 5 \cdot (5-2) - 6 \cdot 6 - (2+4-3) - 3 \cdot (2+3-3) \\ &= 0. \end{aligned}$$

We shall later see that C^* is a remarkable curve.

Inflection points and Cremona transformations

Constructing inflection points with Cremona transformations is a bit more subtle than constructing cusps. The constructions of the cuspidal quartics give examples of this subtlety.

Example 5.5.3. To construct the ovoid quartic with one inflection point on page 55, we use a cuspidal cubic with one inflection point of type 1. In the transformation we transform the inflection point of the cubic into the cusp of the quartic. Furthermore, the cusp of the cubic is transformed into the inflection point of type 2 of the quartic. Moreover, the construction of the inflection point is directly visible in the action of the Cremona transformation.

Example 5.5.4. To construct the ovoid quartic with two inflection points on page 57, we also use a cuspidal cubic with one inflection point of type 1. The cusp of the cubic is transformed into the cusp of the quartic. The inflection point on the cubic is unaffected by the Cremona transformation. The construction of the second inflection point, however, is not directly visible in the pictures of the Cremona transformation. We carefully argued for the construction of this inflection point based on elementary properties of monoidal transformations.

Example 5.5.5. To construct the bicuspidal quartic on page 50, we transform a conic. We make the intriguing observation that there is no apparent reason why the Cremona transformation of the conic should give this curve an inflection point. We have, however, seen that the curve must have an inflection point earlier in this section.

The three examples clearly show the unpredictable behavior of the inflection points in the construction of curves by Cremona transformations. This is of course of little relevance when it comes to the construction of a particular cuspidal configuration of a curve of given degree. However, it is essential to note this unpredictable affair in the construction of all, up to projective equivalence, rational cuspidal curves of a given degree.

5.6 The Coolidge–Nagata problem

If a rational curve can be transformed into a line by successive Cremona transformations, then we call the curve *rectifiable*. The following problem was introduced by Coolidge in [4, pp.396–399], but because of [24] it also has the name of Nagata.

The Coolidge–Nagata problem. *Which rational curves can birationally be transformed into a line? In particular, which rational cuspidal curves have this property?*

Coolidge noted that most rational curves of low degree are rectifiable. There exist, however, rational curves which are *not* rectifiable. An example of such a curve is the sextic with ten singularities, each having two distinct tangents.

There are actually no known rational *cuspidal* curves which are *not* rectifiable. In particular, all rational cuspidal curves we will encounter in this thesis are rectifiable by construction. This claim can easily be verified for the curves we have encountered this far. All these curves are somehow constructed from an irreducible conic. Hence, applying the inverse transformation to any of the cuspidal curves will produce the conic. Moreover, any irreducible conic can be transformed into a line by applying a quadratic Cremona transformation with three base points, just choose the base points on the conic.

The complement of a rational cuspidal curve in \mathbb{P}^2 is an open surface. For an open surface $\mathbb{P}^2 \setminus C$, where C is a rational cuspidal curve, there exists assumptions with which it is possible to prove that C is rectifiable. For a detailed discussion of these results, see [9, pp.418–419].

Matsuoka and Sakai observed that if a curve is transformable to a line by Cremona transformations, then the Matsuoka–Sakai inequality on page 19 is a consequence of one of Coolidge’s results. Since they were able to independently prove that the inequality is valid for all rational cuspidal curves, they proposed the following conjecture [21, p.234].

Conjecture 5.6.1. *Every rational cuspidal curve can be transformed into a line by a Cremona transformation.*

Chapter 6

Rational cuspidal quintics

In this chapter we will describe the rational cuspidal quintic curves. Using the method provided by Flenner and Zaidenberg in [12] and methods described in Chapter 2, we will obtain a list of possible cuspidal configurations for a rational quintic. We will construct and describe examples of all these curves.

6.1 The cuspidal configurations

We want to find all possible cuspidal configurations for rational cuspidal quintics. The idea is the same as for lower degrees, only now getting the results requires more theoretical background.

Flenner and Zaidenberg find in [12, pp.104–105] all possible cuspidal configurations for quintics with three or more cusps. Unfortunately, there are some incomplete explanations and one minor error in their work. In the following we will generalize the ideas of Flenner and Zaidenberg's proof. We will obtain a list of cuspidal configurations for all cuspidal quintics by using general restrictions and Flenner and Zaidenberg's ideas to exclude the nonexistent ones. Included in this work are Flenner and Zaidenberg's results, with complementary additions and appropriate corrections.

6.1.1 General restrictions

Assume that we have a rational cuspidal curve of degree $d = 5$ with a finite number of cusps p, q, r, \dots . Let the cusp p have multiplicity sequence $\overline{m}_p = (m_p, m_1, \dots, m_{n_p})$ and delta invariant δ_p . Define the same invariants for the other cusps. By Theorem 2.2.2 the cusps of C satisfy

$$\frac{(5-1)(5-2)}{2} = 6 = \sum_{p \in \text{Sing } C} \sum_{i=0}^{n_p} \frac{m_i(m_i-1)}{2}.$$

We sort the cusps by their multiplicity such that $m_p \geq m_q \geq m_r \geq \dots$. Then the largest multiplicity of the cusps on the curve is $\mu = m_p$. By Bézout's theorem we immediately get

$$\mu \leq 4.$$

If there are two or more cusps on the curve, then we can improve this restriction. Let L be a line which intersects the rational cuspidal curve C in two of its cusps. Then the sum of the intersection multiplicities of L and C in these two points is less than or equal to the degree of the curve. For any pair of cusps, in particular the largest cusps p and q ,

$$(C \cdot L)_p + (C \cdot L)_q \leq 5.$$

Since the minimal intersection multiplicity between a line and any cusp is equal to the minimal multiplicity of any cusp, i.e., 2, m_p can not be greater than 3. Hence, for curves with two or more cusps, we have the restriction

$$\mu \leq 3.$$

Further restrictions on the multiplicities can be found using the results in Chapter 2, and we will refer to these in the following.

6.1.2 One cusp

Assuming we only have one cusp p , the above restrictions leave us with four cuspidal configurations, of which two can be excluded.

Maximal multiplicity 4

Let $\mu = 4$. Then $\delta = 6$. Hence, (4) is the only possible multiplicity sequence. Curves with this multiplicity sequence exist.

Maximal multiplicity 3

Let $\mu = 3$. By elementary properties of the multiplicity sequence, $m_1 \leq 3$. Furthermore, the only multiplicity sequences satisfying the genus formula are (3_2) and $(3, 2_3)$. Neither of these multiplicity sequences are possible on a rational cuspidal quintic curve. The sequence (3_2) violates $m_0 + m_1 \leq 5$ from (2.3) on page 12. The sequence $(3, 2_3)$ does not satisfy the property of the multiplicity sequence given in Proposition 2.2.1 on page 8.

Maximal multiplicity 2

Let $\mu = 2$. Then the only possible multiplicity sequence is (2_6) . Curves with this multiplicity sequence exist.

Conclusion

We have two possible cuspidal configurations for unicuspidal quintics.

Curve	Cuspidal configuration
C_1	(4)
C_2	(2 ₆)

6.1.3 Two cusps

Assuming we have two cusps p and q , the above restrictions leave us with six cuspidal configurations, of which three can be excluded.

Maximal multiplicity 3

Let $\mu = m_p = 3$. Then $m_q = 2$, and we have three cuspidal configurations satisfying the genus formula,

$$[(3, 2_2), (2)] \quad [(3, 2), (2_2)] \quad [(3), (2_3)].$$

The configuration $[(3, 2_2), (2)]$ violates properties of the multiplicity sequence given in Proposition 2.2.1. The two remaining configurations actually exist.

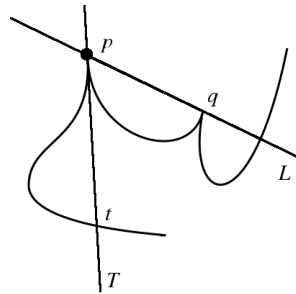
Maximal multiplicity 2

Let $\mu = m_p = 2$. Then $m_q = 2$, and we have three cuspidal configurations satisfying the genus formula,

$$[(2_5), (2)] \quad [(2_4), (2_2)] \quad [(2_3), (2_3)].$$

The first and last configurations can be excluded by a similar argument involving Cremona transformations.

Curve – $[(2_5), (2)]$



Assume that there exists a rational cuspidal quintic C with two cusps p and q with multiplicity sequences $\overline{m}_p = (2_5)$ and $\overline{m}_q = (2)$. Let T denote the tangent line of p and let L be the line between the two cusps.

Since C is a quintic and p has multiplicity sequence (2_5) , Lemma 2.2.4 implies that

$$(C \cdot T)_p = 4.$$

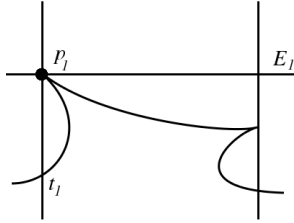
By Bézout's theorem, T must intersect C transversally in a smooth point t ,

$$C \cdot T = 4 \cdot p + 1 \cdot t.$$

Applying the Cremona transformation $\psi(p, T, -)$ to C gives a quartic curve. We shall obtain a contradiction by observing that this particular quartic curve does not exist. This implies that the quintic curve C can not exist either.

Note that the Cremona transformation does not affect the A_2 -cusp q , and we will therefore keep this point out of the discussion.

Blowing up at p

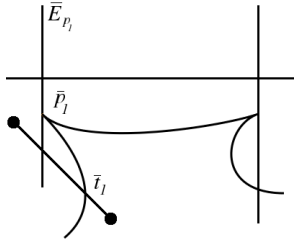


Blowing up at p , we get the ruled surface X_1 , where the transform p_1 of p is a cusp with multiplicity sequence (2_4) . We also have the intersections

$$\begin{aligned} C_1 \cdot E_1 &= m_p \cdot p_1 \\ &= 2 \cdot p_1, \end{aligned}$$

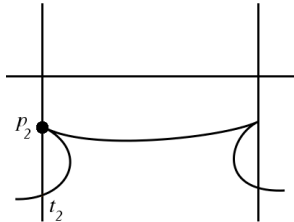
$$\begin{aligned} C_1 \cdot T_1 &= ((C \cdot T)_p - m_p) \cdot p_1 + 1 \cdot t_1 \\ &= 2 \cdot p_1 + 1 \cdot t_1. \end{aligned}$$

Elementary transformation in p_1



Blowing up at p_1 gives the cusp \bar{p}_1 with multiplicity sequence (2_3) on the surface \bar{X}_1 . The exceptional line \bar{E}_{p_1} separates E_1 and T_1 . We get the intersections

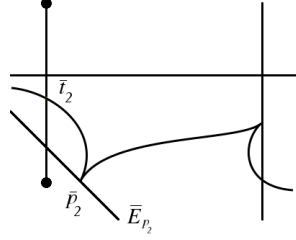
$$\begin{aligned} \bar{E}_1 \cap \bar{C}_1 &= \emptyset, \\ \bar{E}_{p_1} \cdot \bar{C}_1 &= m_{p_1} \cdot \bar{p}_1 \\ &= 2 \cdot \bar{p}_1, \\ \bar{T}_1 \cdot \bar{C}_1 &= 1 \cdot \bar{t}_1, \\ \bar{T}_1 \cap \bar{E}_1 &= \emptyset. \end{aligned}$$



Blowing down \bar{T}_1 gives the surface X_2 . The transform T_2 of \bar{E}_{p_1} intersects C_2 in the A_6 -cusp p_2 and the smooth point t_2 . In particular, $t_2 \notin E_2$ because of the last intersection above. We have the intersection

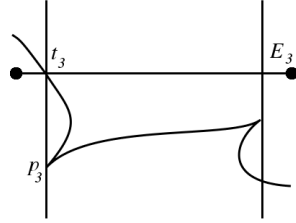
$$T_2 \cdot C_2 = 2 \cdot p_2 + 1 \cdot t_2.$$

Elementary transformation in p_2



Blowing up at p_2 gives the cusp \bar{p}_2 with multiplicity sequence (2_2) on the surface \bar{X}_2 . Note that the exceptional line \bar{E}_{p_2} does not separate E_2 and T_2 , but we have the intersections

$$\begin{aligned}\bar{E}_{p_2} \cdot \bar{C}_2 &= 2 \cdot \bar{p}_2, \\ \bar{T}_2 \cdot \bar{C}_2 &= 1 \cdot \bar{t}_2.\end{aligned}$$

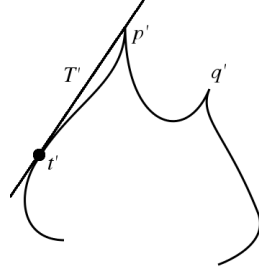


Blowing down \bar{T}_2 gives the surface X_3 with the A_4 -cusp p_3 , and the intersections

$$\begin{aligned}E_3 \cdot C_3 &= 1 \cdot t_3, \\ T_3 \cdot C_3 &= 1 \cdot t_3 + 2 \cdot p_3.\end{aligned}$$

Note that both E_3 and T_3 intersect C_3 transversally at t_3 .

Blowing down E_3



Since neither of the cusps p_3 and q_3 lie on E_3 , blowing down E_3 gives a curve C' with one A_4 -cusp p' and one A_2 -cusp q' . Since both E_3 and T_3 intersect C_3 transversally in the point t_3 , this point is transformed into a smooth point $t' \in C'$, with the property that the tangent line at t' will be the transform T' of T_3 . This is a result of the intersection multiplicity

$$\begin{aligned}(T' \cdot C')_{t'} &= (T_3 \cdot C_3)_{t_3} + (E_3 \cdot C_3)_{t_3} \\ &= 2.\end{aligned}$$

Note that by the intersection $T_3 \cdot C_3$ above, T' must intersect C' at the A_4 -cusp p' . We have

$$T' \cdot C' = 2 \cdot t' + 2 \cdot p'.$$

Observe that C' is a quartic since

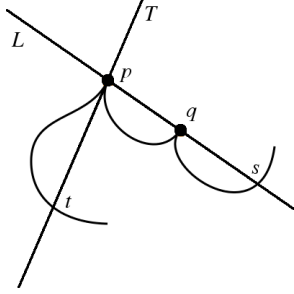
$$\begin{aligned}d' &= 2 \cdot d - m_p - m_{p_1} - m_{p_2} \\ &= 2 \cdot 5 - 2 - 2 - 2 \\ &= 4.\end{aligned}$$

Up to projective equivalence, there is only one quartic curve D with cuspidal configuration $[(2_2), (2)]$. Let D be the zero set of $F = z^4 - xz^3 - 2xyz^2 + x^2y^2$. D has an A_4 -cusp at $p = (0 : 1 : 0)$. The polar of C at p is given by

$$P_p C = \mathcal{V}(-2xz^2 + 2x^2y).$$

Calculations in *Maple* reveal that the polar of D at p does not intersect D at any smooth point. This implies that there is *no* point $t \in D$ such that the tangent $T_t D$ intersects D at p . Hence, neither C' nor the quintic curve with multiplicity sequence $[(2_5), (2)]$ exist.

Curve – $[(2_3), (2_3)]$



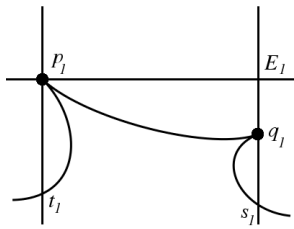
Assume that there exists a rational cuspidal quintic C with two cusps p and q with multiplicity sequences $\bar{m}_p = (2_3)$ and $\bar{m}_q = (2_3)$. Let $T = T^p$ be the tangent line of p and let $L = L^{pq}$ be the line between the two cusps. Let s and t be smooth points of C . Then we have

$$C \cdot T = 4 \cdot p + 1 \cdot t,$$

$$C \cdot L = 2 \cdot p + 2 \cdot q + 1 \cdot s.$$

We proceed with the Cremona transformation $\psi_2(q, p, T)$. This will lead to a contradiction.

Blowing up at p



Blowing up at the cusp p with multiplicity sequence (2_3) gives a ruled surface X_1 with exceptional line E_1 . The transform p_1 of p is a cusp with multiplicity sequence (2_2) . We have

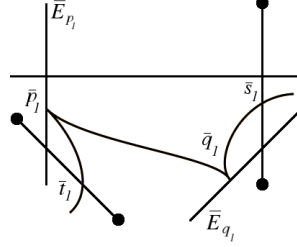
$$\begin{aligned} (C_1 \cdot T_1)_{p_1} &= (C \cdot T)_p - m_p \\ &= 4 - 2 \\ &= 2, \end{aligned}$$

$$\begin{aligned} E_1 \cdot C_1 &= m_p \cdot p_1 \\ &= 2 \cdot p_1. \end{aligned}$$

Additionally, with q_1 still an A_6 -cusp, we have the intersections

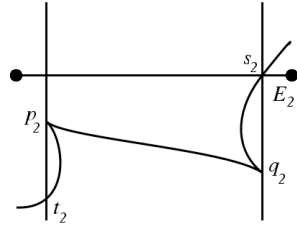
$$C_1 \cdot T_1 = 2 \cdot p_1 + 1 \cdot t_1,$$

$$C_1 \cdot L_1 = 2 \cdot q_1 + 1 \cdot s_1.$$

Elementary transformations in p_1 and q_1


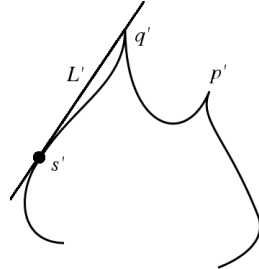
Blowing up at p_1 and q_1 , we get on the surface \bar{X}_1 the exceptional lines \bar{E}_{p_1} and \bar{E}_{q_1} . We get one A_2 -cusp \bar{p}_1 and one A_4 -cusp \bar{q}_1 . Additionally, \bar{E}_{p_1} separates T_1 and E_1 , while \bar{E}_{q_1} does not separate L_1 and E_1 . We have the intersections

$$\begin{aligned}\bar{E}_1 \cap \bar{C}_1 &= \emptyset, \\ \bar{T}_1 \cap \bar{E}_1 &= \emptyset, \\ \bar{L}_1 \cap \bar{E}_1 &\neq \emptyset, \\ \bar{E}_{p_1} \cdot \bar{C}_1 &= 2 \cdot \bar{p}_1, \\ \bar{T}_1 \cdot \bar{C}_1 &= 1 \cdot \bar{t}_1, \\ \bar{E}_{q_1} \cdot \bar{C}_1 &= 2 \cdot \bar{q}_1, \\ \bar{L}_1 \cdot \bar{C}_1 &= 1 \cdot \bar{s}_1.\end{aligned}$$



Blowing down the lines \bar{T}_1 and \bar{L}_1 , we get the surface X_2 with horizontal section E_2 , where $E_2^2 = -1$. We let T_2 denote the transform of \bar{E}_{p_1} , and L_2 the transform of \bar{E}_{q_1} on X_2 . The curve C_2 has two cusps p_2 and q_2 , of type A_2 and A_4 respectively. We have

$$\begin{aligned}T_2 \cdot C_2 &= 2 \cdot p_2 + 1 \cdot t_2, \\ L_2 \cdot C_2 &= 2 \cdot q_2 + 1 \cdot s_2, \\ E_2 \cdot C_2 &= 1 \cdot s_2.\end{aligned}$$

 Blowing down E_2


The curve C' has two cusps, p' of type A_2 and q' of type A_4 . Since both E_2 and L_2 intersect C_2 transversally in the point s_2 , this point is transformed into a smooth point $s' \in C'$ with the property that the tangent line at s' will be the transform L' of L_2 . This is a result of the intersection multiplicity

$$\begin{aligned}(L' \cdot C')_{s'} &= (L_2 \cdot C_2)_{s_2} + (E_2 \cdot C_2)_{s_2} \\ &= 2.\end{aligned}$$

Note that by the intersection $L_2 \cdot C_2$ above, L' must also intersect C' at the A_4 -cusp q' . We have

$$L' \cdot C' = 2 \cdot s' + 2 \cdot q'.$$

We observe that C' is a quartic curve,

$$\begin{aligned} d' &= 2 \cdot d - m_p - m_{p_1} - m_{q_1} \\ &= 2 \cdot 5 - 2 - 2 - 2 \\ &= 4. \end{aligned}$$

As in the previous case, the quartic curve with this cuspidal configuration does not have a point like s' . Hence, the quintic curve C does not exist.

Conclusion

We have three possible cuspidal configurations for bicuspidal quintics.

Curve	Cuspidal configuration
C_3	$(3, 2), (2_2)$
C_4	$(3), (2_3)$
C_5	$(2_4), (2_2)$

6.1.4 Three or more cusps

Assuming we have three or more cusps p, q, r, \dots , the general restrictions leave us with seven cuspidal configurations, of which four can be excluded.

Maximal multiplicity 3

Assuming that $\mu = 3$ and letting p be the cusp where $\mu = m_p = 3$, Lemma 2.4.2 on page 18 gives a maximum of two ramification points in the projection of C from p . Thus, C can have up to three cusps. By the assumption that C has at least three cusps, C must have exactly three cusps. By Bézout's theorem, the only possible configuration of cusps for such a curve is

$$[(3), (2_a), (2_b)], \quad a, b \in \mathbb{N}.$$

Theorem 2.2.2 gives the necessary restriction on a and b . In this situation, the formula can be reduced to $6 = 3 + a + b$. Therefore, assuming $a \geq b > 0$, we must have $a = 2$ and $b = 1$. Hence, the only possible cuspidal configuration is

$$[(3), (2_2), (2)].$$

This curve will be constructed by Cremona transformations at the end of this chapter.

Maximal multiplicity 2

If $\mu = 2$, then all the cusps must have multiplicity $m = 2$. Let $p, q, r \dots$ denote the cusps of C with multiplicity sequences $(2_p), (2_q), (2_r) \dots$ respectively, $p, q, r \dots \in \mathbb{N}$. Although this notation is ambiguous, the nature of the objects we refer to by $p, q, r \dots$ should be clear from the context.

In this situation, Theorem 2.2.2 leads to the sum $p + q + r + \dots = 6$. By reordering the cusps, we may assume that $p \geq q \geq r \geq \dots$. Projecting C from the cusp p gives, by Lemma 2.4.2, four ramification points. Hence, C has at most five cusps, and we have the following cuspidal configurations.

- 1) C has 3 cusps, $p = (2_2), q = (2_2), r = (2_2)$.
- 2) C has 3 cusps, $p = (2_4), q = (2), r = (2)$.
- 3) C has 3 cusps, $p = (2_3), q = (2_2), r = (2)$.
- 4) C has 4 cusps, $p = (2_3), q = (2), r = (2), s = (2)$.
- 5) C has 4 cusps, $p = (2_2), q = (2_2), r = (2), s = (2)$.
- 6) C has 5 cusps, $p = (2_2), q = (2), r = (2), s = (2), t = (2)$.

Only cases 1) and 4) exist. These curves will later be constructed and described. We will now exclude curves 2), 3), 5) and 6) from the list of possible cuspidal configurations.

Curve 6) – $[(2_2), (2), (2), (2), (2)]$

The curve with 5 cusps is easily excluded from the above list because it contradicts Lemma 2.4.2.

$$\sum_{j=q,r,s,t} (m_j - 1) + (m_{p,1} - 1) \leq 2(d - m_p - 1)$$

is contradicted by

$$5 = 4 \cdot (2 - 1) + (2 - 1) \not\leq 2 \cdot (5 - 2 - 1) = 4.$$

Curve 5) – $[(2_2), (2_2), (2), (2)]$

An argument involving the dual curve contradicts the existence of this curve. Theorem 2.3.1 implies that the dual curve of C has degree

$$\begin{aligned} d^* &= 2d - 2 - \sum_{j=p,q,r,s} (m_j - 1) \\ &= 2 \cdot 5 - 2 - 4 \\ &= 4. \end{aligned}$$

Remark 6.1.1. Flenner and Zaidenberg claim that the dual curve has degree $d^* = 3$.

The degree of the dual curve does not give a contradiction in itself. A contradiction additionally requires a local calculation of the corresponding configuration of singularities on the dual curve.

We first analyze the simple cusps r and s . By Lemma 2.2.4, $(C \cdot T_r)_r = 3$. We then have a Puiseux parametrization for the germ (C, r) ,

$$(C, r) = (t^2 : c_3 t^3 + \dots : 1).$$

The dual germ (C^*, r^*) consequently has Puiseux parametrization

$$(C^*, r^*) = (a^* t + \dots : 1 : c_3^* t^3 + \dots).$$

Hence, r^* is an inflection point of type 1 on C^* . The same is true for s^* .

The fate of the A_4 -cusps p and q , with multiplicity sequences (2_2) , can be determined in the same way. Since C is a quintic, the upper and lower bound on $(C \cdot T_p)_p$ are given by identity (2.3) on page 12,

$$4 \leq (C \cdot T_p)_p \leq 5.$$

Thus, we have two possibilities for the intersection multiplicity and the Puiseux parametrization.

- If $(C \cdot T_p)_p = 5$, then the cusp p has local Puiseux parametrization

$$(C, p) = (t^2 : c_5 t^5 + \dots : 1).$$

The dual point on the dual germ has Puiseux parametrization

$$(C^*, p^*) = (a^* t^3 + \dots : 1 : c_5^* t^5 + \dots).$$

This implies that p^* is a cusp with multiplicity sequence $(3, 2)$.

- If $(C \cdot T_p)_p = 4$, then the cusp p has local Puiseux parametrization

$$(C, p) = (t^2 : c_4 t^4 + c_5 t^5 + \dots : 1).$$

The dual point on the dual germ has Puiseux parametrization

$$(C^*, p^*) = (a^* t^2 + \dots : 1 : c_4^* t^4 + c_5^* t^5 + \dots).$$

This implies that p^* is a cusp of the same kind as p . They are both A_4 -cusps with multiplicity sequence (2_2) .

Hence, the two cusps p^* and q^* on the dual curve C^* , corresponding to p and q on C , may be the following pairs.

- i) Both p^* and q^* have multiplicity sequence (2_2) .
- ii) Both p^* and q^* have multiplicity sequence $(3, 2)$.
- iii) One cusp, p^* , has multiplicity sequence (2_2) , and the other cusp, q^* , has multiplicity sequence $(3, 2)$.

Case i) implies that the curve C^* has genus $g \leq -1$, so it is not irreducible. Hence, C is not a rational cuspidal quintic curve.

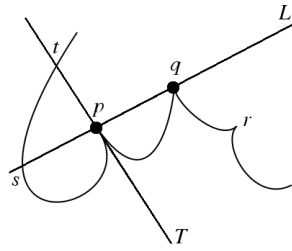
To exclude case ii), observe that the line $L^* = L_{p^*q^*}^*$ between the two cusps p^* and q^* intersects the curve with multiplicity at least

$$\sum_{j=p^*, q^*} (C^* \cdot L^*)_j \geq m_{p^*}^* + m_{q^*}^* = 6.$$

But $6 > d^* = 4$, which contradicts Bézout's theorem. Hence, C^* and C can not exist. A nearly identical argument rules out case iii).

We may conclude that no rational cuspidal quintic curve with cuspidal configuration $[(2_2), (2_2), (2), (2)]$ can exist.

Curve 3) – $[(2_3), (2_2), (2)]$

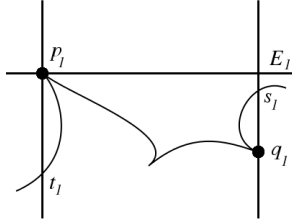


Assume that the curve C with three cusps, p , q and r , exists. The cusps have multiplicity sequences (2_3) , (2_2) and (2) respectively. Let $T = T_p$ denote the tangent line at the cusp p , and let $L = L^{pq}$ denote the line between the cusps p and q . By Lemma 2.2.4, we have the intersections

$$\begin{aligned} C \cdot T &= 4 \cdot p + 1 \cdot t, \\ C \cdot L &= 2 \cdot p + 2 \cdot q + 1 \cdot s. \end{aligned}$$

We proceed with the Cremona transformation $\psi_2(q, p, T)$. This will give a contradiction. The A_2 -cusp r will not be altered by this process, and it will therefore only be mentioned at the end of the discussion.

Blowing up at p



Blowing up at the cusp p with multiplicity sequence (2_3) gives a ruled surface X_1 with the exceptional line E_1 . The transform p_1 of p is a cusp with multiplicity sequence (2_2) . We have the intersection multiplicity and intersection

$$\begin{aligned} (C_1 \cdot T_1)_{p_1} &= (C \cdot T)_p - m_p \\ &= 4 - 2 \\ &= 2, \\ E_1 \cdot C_1 &= m_p \cdot p_1 \\ &= 2 \cdot p_1. \end{aligned}$$

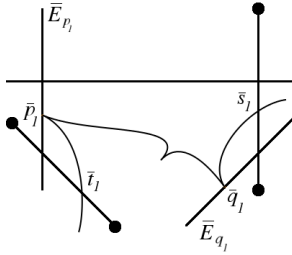
Furthermore, we also have the intersection

$$C_1 \cdot T_1 = 2 \cdot p_1 + 1 \cdot t_1.$$

For the A_4 -cusp q the situation is unaltered for the transform of the point, q_1 , but the blowing up process gives the intersection

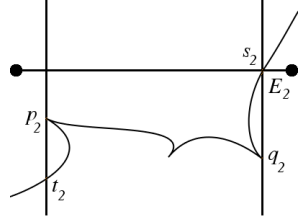
$$C_1 \cdot L_1 = 2 \cdot q_1 + 1 \cdot s_1.$$

Elementary transformations in p_1 and q_1



Blowing up at p_1 and q_1 , we get on the surface \bar{X}_1 the exceptional lines \bar{E}_{p_1} and \bar{E}_{q_1} . We get two A_2 -cusps \bar{p}_1 and \bar{q}_1 . Additionally, \bar{E}_{p_1} separates T_1 and E_1 , while \bar{E}_{q_1} does not separate L_1 and E_1 . Besides, we have the intersections

$$\begin{aligned} \bar{E}_1 \cap \bar{C}_1 &= \emptyset, \\ \bar{E}_{p_1} \cdot \bar{C}_1 &= 2 \cdot \bar{p}_1, \\ \bar{T}_1 \cdot \bar{C}_1 &= 1 \cdot \bar{t}_1, \\ \bar{E}_{q_1} \cdot \bar{C}_1 &= 2 \cdot \bar{q}_1, \\ \bar{L}_1 \cdot \bar{C}_1 &= 1 \cdot \bar{s}_1. \end{aligned}$$



Blowing down the lines \bar{T}_1 and \bar{L}_1 , we get a surface X_2 with horizontal section E_2 . We let T_2 denote the transform of \bar{E}_{p_1} , and L_2 the transform of \bar{E}_{q_1} on X_2 . C_2 has three A_2 -cusps, p_2 , q_2 and r_2 . We have

$$T_2 \cdot C_2 = 2 \cdot p_2 + 1 \cdot t_2,$$

$$L_2 \cdot C_2 = 2 \cdot q_2 + 1 \cdot s_2,$$

$$E_2 \cdot C_2 = 1 \cdot s_2.$$

Blowing down E_2

Since neither of the A_2 -cusps p_2 , q_2 or r_2 lie on E_2 , blowing down E_2 does not alter these. Hence, the curve C' has three A_2 -cusps p' , q' and r' . However, since both E_2 and L_2 intersect C_2 transversally in the point s_2 , this point is transformed into a point $s' \in C'$, with the property that the tangent line at s' will be the transform L' of L_2 . This happens because

$$\begin{aligned} (L' \cdot C')_{s'} &= (L_2 \cdot C_2)_{s_2} + (E_2 \cdot C_2)_{s_2} \\ &= 2. \end{aligned}$$

By the above intersection $L_2 \cdot C_2 = 2 \cdot q_2 + 1 \cdot s_2$, we have

$$L' \cdot C' = 2 \cdot s' + 2 \cdot q'.$$

Before we go on with the exclusion, we observe that C' is a quartic curve,

$$\begin{aligned} d' &= 2 \cdot d - m_p - m_{p_1} - m_{q_1} \\ &= 2 \cdot 5 - 2 - 2 - 2 \\ &= 4. \end{aligned}$$

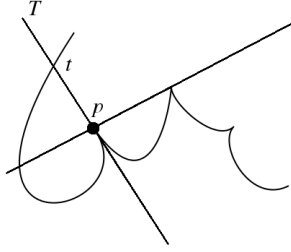
Up to projective equivalence, there exists only one rational cuspidal quartic with three cusps, the tricuspidal quartic. By investigating the defining polynomial of this explicitly given curve directly, it can easily be proved that a smooth point like s' does not exist.

Let $F = x^2y^2 + y^2z^2 + x^2z^2 - 2xyz(x + y + z)$ be the defining polynomial of the tricuspidal quartic D with cusps p , q and r . By symmetry, these cusps must have similar properties. Hence, it is enough to investigate one of them. Let q be the cusp in $(1 : 0 : 0)$. The polar of D at q is given by

$$P_q D = \mathcal{V}(2xy^2 + 2xz^2 - 4xyz - 2y^2z - 2yz^2).$$

A calculation in *Maple* reveals that the only intersection points of the curves $P_q D$ and D are the cusps. A point s with tangent T_s that intersects D in any of the cusps, does therefore not exist on this curve. Hence, neither the curve C' nor the quintic curve C with cuspidal configuration $[(2_3), (2_2), (2)]$ exist.

Curve 2) – $[(2_4), (2), (2)]$



Assume that C is a quintic with three cusps, p , q and r , with multiplicity sequences (2_4) , (2) and (2) respectively. Let $T = T_p$ denote the tangent line at the A_8 -cusp p . Since C is a quintic and because of Lemma 2.2.4, we have

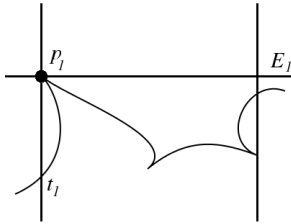
$$C \cdot T = 4 \cdot p + 1 \cdot t,$$

for a smooth point $t \in C$.

The application of the Cremona transformation $\psi(p, T, -)$ will give a quartic curve similar to the one described above, and will therefore rule out this quintic curve.

The Cremona transformation will not affect the two A_2 -cusps q and r , and they will therefore only be mentioned at the end of the discussion.

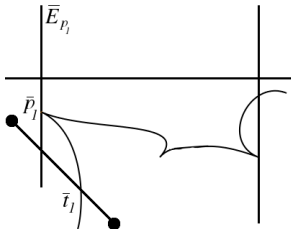
Blowing up at p



Blowing up at p , we get the ruled surface X_1 , where the transform p_1 of p is a cusp with multiplicity sequence (2_3) . By elementary properties of the blowing up process, we have the intersections

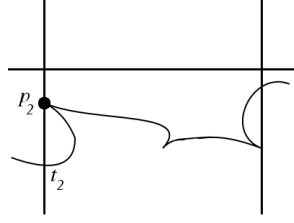
$$\begin{aligned} C_1 \cdot E_1 &= m_p \cdot p_1 \\ &= 2 \cdot p_1, \\ C_1 \cdot T_1 &= 2 \cdot p_1 + 1 \cdot t_1. \end{aligned}$$

Elementary transformation in p_1



Blowing up at p_1 gives the cusp \bar{p}_1 with multiplicity sequence (2_2) on the surface \bar{X}_1 . The exceptional line \bar{E}_{p_1} separates E_1 and T_1 . Additionally, we get the intersections

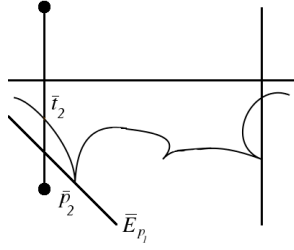
$$\begin{aligned} \bar{E}_{p_1} \cdot \bar{C}_1 &= m_{p_1} \cdot \bar{p}_1 \\ &= 2 \cdot \bar{p}_1, \\ \bar{T}_1 \cdot \bar{C}_1 &= 1 \cdot \bar{t}_1. \end{aligned}$$



Blowing down \bar{T}_1 gives the surface X_2 . The transform T_2 of \bar{E}_{p_1} intersects C_2 in the A_4 -cusp p_2 and a smooth point t_2 . In particular, since E_1 and T_1 were separated by \bar{E}_{p_1} , $t_2 \notin E_2$. We have the intersection

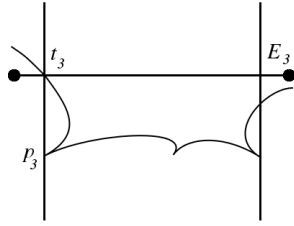
$$T_2 \cdot C_2 = 2 \cdot p_2 + 1 \cdot t_2.$$

Elementary transformation in p_2



Blowing up at p_2 gives the A_2 -cusp \bar{p}_2 with multiplicity sequence (2) on the surface \bar{X}_2 . Note that the exceptional line \bar{E}_{p_2} does not separate E_2 and T_2 . We have the intersections

$$\begin{aligned} \bar{E}_{p_2} \cdot \bar{C}_2 &= 2 \cdot \bar{p}_2, \\ \bar{T}_2 \cdot \bar{C}_2 &= 1 \cdot \bar{t}_2. \end{aligned}$$



Blowing down \bar{T}_2 gives the surface X_3 with the A_2 -cusp p_3 and the intersections

$$\begin{aligned} T_3 \cdot C_3 &= 2 \cdot p_3 + 1 \cdot t_3, \\ E_3 \cdot C_3 &= 1 \cdot t_3. \end{aligned}$$

Blowing down E_3

Since neither of the cusps p_3 , q_3 or r_3 lie on E_3 , blowing down E_3 gives a curve C' with three A_2 -cusps p' , q' and r' . However, because both E_3 and T_3 intersect C_3 transversally at t_3 , the curve C' also has a point t' such that the tangent $T'_{t'}$ intersects C' at the cusp p' .

Observe that C' is a quartic since

$$\begin{aligned} d' &= 2 \cdot d - m_p - m_{p_1} - m_{p_2} \\ &= 2 \cdot 5 - 2 - 2 - 2 \\ &= 4. \end{aligned}$$

Apart from some notation, the exact same argument is used in the exclusion of this curve as for the exclusion of curve number 3). Hence, curve number 2) can also be excluded from the list of possible rational cuspidal quintics.

Conclusion

We have three possible cuspidal configurations with three or more cusps.

Curve	Cuspidal configuration
C_6	$(3), (2_2), (2)$
C_7	$(2_2), (2_2), (2_2)$
C_8	$(2_3), (2), (2), (2)$

6.2 Possible cuspidal configurations

We now have eight possible cuspidal configurations for a rational cuspidal quintic curve. There exist curves with all these cuspidal configurations. Up to projective equivalence, there are a few more curves. We will not go into details concerning this classification here.

Up to projective equivalence, all rational cuspidal quintic curves were described and found by Namba in [25, Thm. 2.3.10., pp.179–182]. An overview of the curves is presented in Table 6.1.

# Cusps	Curve	Cuspidal configuration	# Curves
1	C_1	(4)	3 – ABC
	C_2	(2_6)	1
2	C_3	$(3, 2), (2_2)$	2 – AB
	C_4	$(3), (2_3)$	1
	C_5	$(2_4), (2_2)$	1
3	C_6	$(3), (2_2), (2)$	1
	C_7	$(2_2), (2_2), (2_2)$	1
4	C_8	$(2_3), (2), (2), (2)$	1

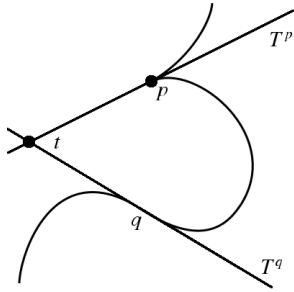
Table 6.1: Rational cuspidal quintic curves.

6.3 Rational cuspidal quintics

We will now briefly describe how the rational cuspidal quintics can be constructed. Additionally, we will list some of the most important properties of each curve.

Curve $C_{1A} - [(4)]$

A cuspidal quintic with one cusp with multiplicity sequence (4) and one inflection point of type 3 can be constructed using a Cremona transformation with two proper base points.



Let C be the cuspidal quartic with cusp p , $\overline{m}_p = 3$, and one inflection point, q , of type 2. The tangent T^p intersects C at p , and the tangent T^q intersects C at q . Both lines intersect C with intersection multiplicity 4 in the respective points. Hence, the tangents do not intersect C at any other points. Denote by t the intersection point of T^p and T^q .

The Cremona transformation $\psi_2(p, t, T^q)$ transforms this curve into a unicuspidal quintic with cuspidal configuration $[(4)]$ and one inflection point of type 3.

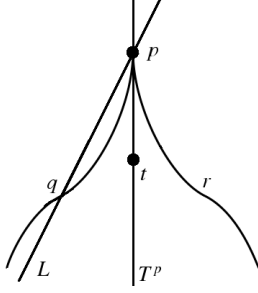
The curve C_{1A} is given by the below parametrization, and it has the following properties.

$$(s^5 : st^4 : t^5)$$

# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(4)	5	42
# Inflection points = 1		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	5	3

Curve $C_{1B} - [(4)]$

A cuspidal quintic with one cusp with multiplicity sequence (4) and two inflection points of type 1 and 2 respectively, can be constructed using a Cremona transformation with two proper base points.



Let C be the cuspidal quartic with a cusp p , $\overline{m}_p = 3$, and two inflection points, q and r , of type 1. Let T^p be the tangent to C at p . Choose a point t on T^p . Let $L = L^{pq}$ denote the line between p and the inflection point q . By Bézout's theorem, L and C do not intersect in any other points. We have the intersections

$$T^p \cdot C = 4 \cdot p,$$

$$L \cdot C = 3 \cdot p + 1 \cdot q.$$

The Cremona transformation $\psi_2(t, p, L)$ transforms this curve into a unicuspidal quintic with cuspidal configuration $[(4)]$ and two inflection points of type 1 and 2.

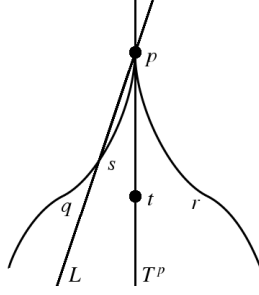
The curve C_{1B} is given by the below parametrization, and it has the following properties.

$$(s^5 - s^4t : st^4 : t^5)$$

# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(4)	5	42
# Inflection points = 2		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	4	2
q_2	3	1

Curve $C_{1C} - [(4)]$

A cuspidal quintic with one cusp with multiplicity sequence (4) and three inflection points of type 1, can be constructed using a Cremona transformation with two base points.



Let C be the cuspidal quartic with a cusp p , $\overline{m}_p = 3$ and two inflection points q and r of type 1. Let T^p denote the tangent line at p . Choose a point t on T^p . Furthermore, choose a point $s \in C$ different from the above mentioned points. Let $L = L^{ps}$ denote the line between the cusp p and the point s . We have the intersections

$$T^p \cdot C = 4 \cdot p,$$

$$L \cdot C = 3 \cdot p + 1 \cdot s.$$

The Cremona transformation $\psi_2(t, p, L)$ transforms this quartic curve into a unicuspidal quintic with cuspidal configuration $[(4)]$ and three inflection points of type 1.

The curve C_{1C} is given by the below parametrization, and it has the following properties.

$$(s^5 + as^4t - (1+a)s^2t^2 : st^4 : t^5), \quad a \in \mathbb{C}, a \neq -1.$$

# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(4)	5	42
# Inflection points = 1		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1
q_2	3	1
q_3	3	1

Curve $C_2 - [(2_6)]$

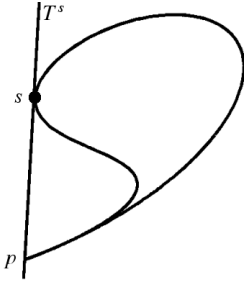
The rational cuspidal quintic with cuspidal configuration $[(2_6)]$ can be constructed by transforming the unicuspidal ramphoid quartic using a Cremona transformation with one base point.

Since the action of a Cremona transformation with one base point is hard to analyze in \mathbb{P}^2 , we will construct this curve explicitly with a transformation that is known to work. Let C be given by

$$C = \mathcal{V}((yx - z^2)^2 - x^3z).$$

Then the Cremona transformation ψ_1 transforms this curve into

$$C_2 = \mathcal{V}(xy^4 - 2x^2y^2z + x^3z^2 - 2y^3z^2 + 2xyz^3 + z^5).$$



The implicit construction can be explained adequately. Let C be the quartic with one cusp p with multiplicity sequence (2_3) . The polar of C at the cusp p intersects C in a smooth point s . Denote by T^s the tangent line at s , which intersects C in two points,

$$C \cdot T = 2 \cdot p + 2 \cdot s.$$

The appropriate Cremona transformation in this situation consists of blowing up s , performing elementary transformations in the two successive infinitely near points s_1 and s_2 on the strict transforms of C , and then blowing down the horizontal section.

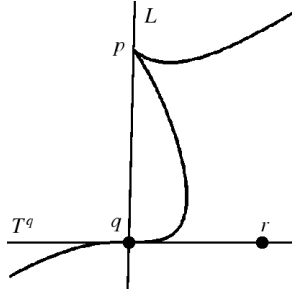
The curve C_2 is given by the below parametrization, and it has the following properties.

$$(s^4t : s^2t^3 - s^5 : t^5 - 2s^3t^2)$$

# Cusps = 1		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2_6)	4	39
# Inflection points = 6		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
$q_j, j = 1, \dots, 6$	3	1

Curve $C_{3A} - [(3, 2), (2_2)]$

A cuspidal quintic with cuspidal configuration $[(3, 2), (2_2)]$ and no inflection points can be constructed using a Cremona transformation with two base points.



Let C be the cuspidal cubic with a cusp p , $\overline{m}_p = (2)$, and one inflection point, q , of type 1. Let T^q be the tangent line at q . Let $L = L^{pq}$ be the line between p and q , which by Bézout's theorem does not intersect C in any other point. Choose an arbitrary point $r \in T^q$. We have the intersections

$$T^q \cdot C = 3 \cdot q,$$

$$L \cdot C = 2 \cdot p + 1 \cdot q.$$

The Cremona transformation $\psi_2(r, q, L)$ transforms this cubic into the bi-cuspidal quintic with cuspidal configuration $[(3, 2), (2_2)]$.

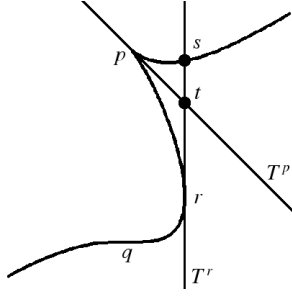
The curve C_{3A} is given by the below parametrization, and it has the following properties.

$$(s^5 : s^3 t^2 : t^5)$$

<hr/> # Cusps = 2 <hr/>			
Cusp	p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
$(3, 2)$		5	29
(2_2)		5	16
<hr/> # Inflection points = 0 <hr/>			

Curve $C_{3B} - [(3, 2), (2_2)]$

A cuspidal quintic with cuspidal configuration $[(3, 2), (2_2)]$ and one inflection point of type 1 can be constructed using a Cremona transformation with two base points.



Let C be the cuspidal cubic with a cusp p , $\overline{m}_p = (2)$, and one inflection point, q , of type 1. Choose an arbitrary smooth point $r \in C$, $r \neq q$ and let T^r denote the tangent line at this point. By Bézout's theorem,

$$T^r \cdot C = 2 \cdot r + 1 \cdot s,$$

for another smooth point s . Make sure $s \neq q$. Furthermore, denote by T^p the tangent line at the cusp p . The tangents T^r and T^p intersect at a point $t \notin C$.

The Cremona transformation $\psi_2(s, t, T^p)$ transforms this cubic into the bi-cuspidal quintic with cuspidal configuration $[(3, 2), (2_2)]$ and one inflection point of type 1.

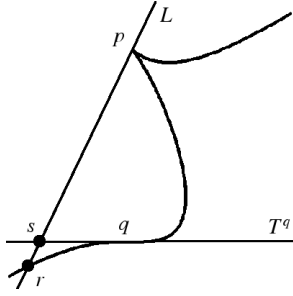
The curve C_{3B} is given by the below parametrization, and it has the following properties.

$$(s^5 : s^3 t^2 : st^4 + t^5)$$

# Cusps = 2		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
$(3, 2)$	5	29
(2_2)	5	15
# Inflection points = 1		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1

Curve $C_4 = [(3), (2_3)]$

A cuspidal quintic with cuspidal configuration $[(3), (2_3)]$ and two inflection points of type 1 can be constructed by a Cremona transformation with two base points.



Let C be the cuspidal cubic with a cusp p , $\overline{m}_p = (2)$, and one inflection point, q , of type 1. Choose an arbitrary smooth point $r \in C$, $r \neq q$ and let $L = L^{pr}$ denote the line through p and r . Furthermore, denote by T^q the tangent line at the inflection point q . The lines T^q and L intersect at a point $s \notin C$. We have the intersections

$$\begin{aligned} L \cdot C &= 2 \cdot p + 1 \cdot r, \\ T^q \cdot C &= 3 \cdot q. \end{aligned}$$

The Cremona transformation $\psi_2(r, s, T^q)$ transforms the cubic into the bicuspidal quintic with cuspidal configuration $[(3), (2_3)]$ and two inflection points of type 1.

The curve C_4 is given by the below parametrization, and it has the following properties.

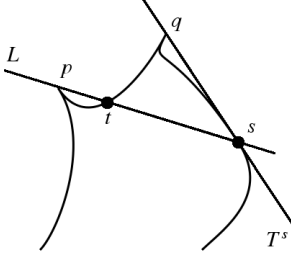
$$(s^4t - \frac{1}{2}s^5 : s^3t^2 : \frac{1}{2}st^4 + t^5)$$

# Cusps = 2		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(3)	4	22
(2 ₃)	4	21

# Inflection points = 2		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
q_1	3	1
q_2	3	1

Curve $C_5 - [(2_4), (2_2)]$

A cuspidal quintic with cuspidal configuration $[(2_4), (2_2)]$ and three inflection points of type 1 can be constructed by a Cremona transformation with two base points.



Let C be the bicuspidal quartic with two cusps, p and q , with $\overline{m}_p = (2_2)$ and $\overline{m}_q = (2)$, and one inflection point, r , of type 1. The polar of C at the cusp q intersects C in a smooth point s . Denote by T^s the tangent line at s , which has the property

$$T^s \cdot C = 2 \cdot q + 2 \cdot s.$$

Let $L = L^{ps}$ be the line through the cusp p and the smooth point s . Since $T^p \cdot C = 4 \cdot p$, we have that $L \neq T^p$. By Bézout's theorem, L must intersect C in yet another smooth point t . We have the intersection

$$L \cdot C = 2 \cdot p + 1 \cdot s + 1 \cdot t.$$

The Cremona transformation $\psi_2(t, s, T^s)$ transforms the quartic into the bicuspidal quintic with cuspidal configuration $[(2_4), (2_2)]$ and three inflection points of type 1.

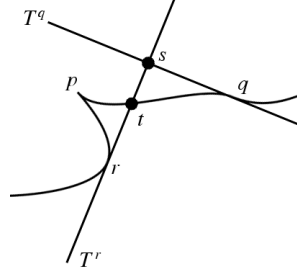
The curve C_5 is given by the below parametrization, and it has the following properties.

$$(s^4t - s^5 : s^2t^3 - \frac{5}{32}s^5 : -\frac{47}{128}s^5 + \frac{11}{16}s^3t^2 + st^4 + t^5)$$

# Cusps = 2		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2_4)	4	27
(2_2)	4	15
# Inflection points = 3		
Inflection point q_j	$(C \cdot T_{q_j})_{q_j}$	$(C \cdot H_C)_{q_j}$
$q_j, j = 1, 2, 3$	3	1

Curve $C_6 = [(3), (2_2), (2)]$

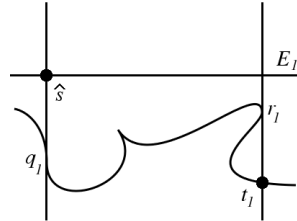
A cuspidal quintic with cuspidal configuration $[(3), (2_2), (2)]$ and no inflection points can be constructed using a Cremona transformation with two proper base points.



Let C be the cuspidal cubic with a cusp p , where $\overline{m}_p = (2)$, and one inflection point, q , of type 1. Denote by T^q the tangent line at q . Choose an arbitrary smooth point $r \in C$, $r \neq q$. Then the tangent line at r , T^r , intersects T^q in a point $s \notin C$. Furthermore, T^r intersects C in another smooth point t . We have the intersection

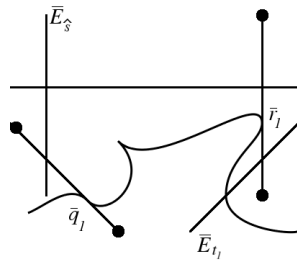
$$T^r \cdot C = 2 \cdot r + 1 \cdot t.$$

The Cremona transformation $\psi_2(t, s, T^q)$ transforms the cubic into the tri-cuspidal quintic with cuspidal configuration $[(3), (2_2), (2)]$.

Blowing up at s


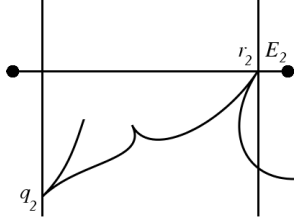
Blowing up at s , we get the ruled surface X_1 with horizontal section E_1 and the transformed curve C_1 . We have the intersections

$$\begin{aligned} E_1 \cap C_1 &= \emptyset, \\ T_1^q \cdot C_1 &= 3 \cdot q_1, \\ T_1^r \cdot C_1 &= 2 \cdot r_1 + 1 \cdot t_1. \end{aligned}$$

Elementary transformations in t_1 and \hat{s}


Blowing up at t_1 and \hat{s} gives two exceptional lines \bar{E}_{t_1} and $\bar{E}_{\hat{s}}$. We have the intersections

$$\begin{aligned} \bar{E}_1 \cap \bar{C}_1 &= \emptyset, \\ \bar{E}_{\hat{s}} \cap \bar{C}_1 &= \emptyset, \\ \bar{T}_1^q \cdot \bar{C}_1 &= 3 \cdot \bar{q}_1, \\ \bar{E}_{t_1} \cdot \bar{C}_1 &= 1 \cdot \bar{t}_1, \\ \bar{T}_1^r \cdot \bar{C}_1 &= 2 \cdot \bar{r}_1. \end{aligned}$$



Blowing down \bar{T}_1^q and \bar{T}_1^r gives the surface X_2 . On this surface we have T_2^q , the strict transform of $\bar{E}_{\hat{s}}$, and T_2^r , the strict transform of \bar{E}_{t_1} .

Because of the intersection multiplicities above, q_2 is a cusp with $\bar{m}_{q_2} = (3)$, and r_2 is a cusp with $\bar{m}_{r_2} = (2)$. Note that $r_2 \in E_2$. We have the important intersection

$$E_2 \cdot C_2 = 2 \cdot r_2.$$

Blowing down E_2

Blowing down E_2 gives a curve C' with three cusps p' , q' and r' , where $\bar{m}_{p'} = (2)$, $\bar{m}_{q'} = (3)$ and $\bar{m}_{r'} = (2_2)$.

To see that C' is a quintic, note that

$$\begin{aligned} d' &= 2 \cdot d - m_s - m_{\hat{s}} - m_{t_1} \\ &= 2 \cdot 4 - 1 - 1 - 1 \\ &= 5. \end{aligned}$$

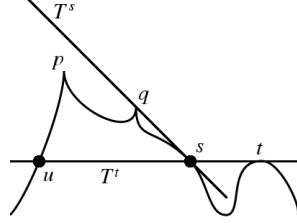
The curve C_6 is given by the below parametrization, and it has the following properties.

$$(s^4t - \frac{1}{2}s^5 : s^3t^2 : -\frac{3}{2}st^4 + t^5)$$

# Cusps = 3		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(3)	4	22
(2 ₂)	4	15
(2)	3	8
# Inflection points = 0		

Curve $C_7 = [(2_2), (2_2), (2_2)]$

A cuspidal quintic with cuspidal configuration $[(2_2), (2_2), (2_2)]$ and no inflection points can be constructed using a Cremona transformation with two base points.



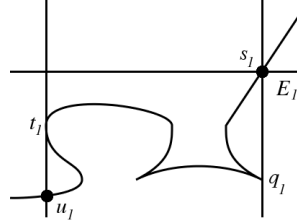
Let C be the bicuspidal quartic with two cusps p and q , with $\bar{m}_p = (2_2)$ and $\bar{m}_q = (2)$, and one inflection point, r , of type 1. The polar of C at the point q intersects C in a smooth point s . Denote by T^s the tangent line at s , which has the property $T^s \cdot C = 2 \cdot q + 2 \cdot s$.

The polar of C at the point s intersects C in a smooth point t , $t \neq r$. Denote by T^t the tangent line at t , which by Bézout's theorem intersects C in a smooth point u . We have the intersection

$$T^t \cdot C = 2 \cdot t + 1 \cdot s + 1 \cdot u.$$

The Cremona transformation $\psi_2(u, s, T^s)$ transforms the bicuspidal quartic into the tricuspidal quintic with cuspidal configuration $[(2_2), (2_2), (2_2)]$.

Blowing up at s



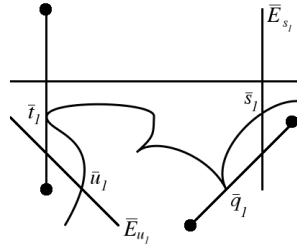
Blowing up at s , we get the ruled surface X_1 with horizontal section E_1 and the transformed curve C_1 . We have the intersections

$$E_1 \cdot C_1 = 1 \cdot s_1,$$

$$T_1^t \cdot C_1 = 1 \cdot u_1 + 2 \cdot t_1,$$

$$T_1^s \cdot C_1 = 1 \cdot s_1 + 2 \cdot q_1.$$

Elementary transformations in u_1 and s_1



Blowing up at u_1 and s_1 gives on \bar{X}_1 two exceptional lines \bar{E}_{u_1} and \bar{E}_{s_1} . We then have the intersections

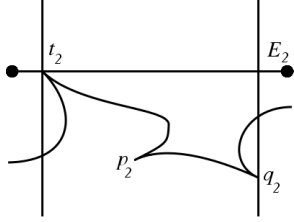
$$\bar{E}_1 \cap \bar{C}_1 = \emptyset,$$

$$\bar{E}_{u_1} \cdot \bar{C}_1 = 1 \cdot \bar{u}_1,$$

$$\bar{T}_1^t \cdot \bar{C}_1 = 2 \cdot \bar{t}_1,$$

$$\bar{E}_{s_1} \cdot \bar{C}_1 = 1 \cdot \bar{s}_1,$$

$$\bar{T}_1^s \cdot \bar{C}_1 = 2 \cdot \bar{q}_1.$$



Blowing down \bar{T}_1^t and \bar{T}_1^s gives the surface X_2 . On this surface we have T_2^t , the strict transform of \bar{E}_{u_1} , and T_2^s , the strict transform of \bar{E}_{s_1} .

Because of the intersection multiplicities above, q_2 is a cusp with $\bar{m}_{q_2} = (2_2)$, and t_2 is a cusp with $\bar{m}_{t_2} = (2)$. Note that $t_2 \in E_2$.

We have the important intersection

$$E_2 \cdot C_2 = 2 \cdot t_2.$$

Blowing down E_2

Blowing down E_2 gives a curve C' with three cusps p' , q' and t' . All these cusps have multiplicity sequence (2_2) .

To see that C' is a quintic, note that

$$\begin{aligned} d' &= 2 \cdot d - m_s - m_{s_1} - m_{u_1} \\ &= 2 \cdot 4 - 1 - 1 - 1 \\ &= 5. \end{aligned}$$

The curve C_7 is given by the below parametrization, and it has the following properties.

$$(s^4t - s^5 : s^2t^3 - \frac{5}{32}s^5 : -\frac{125}{128}s^5 - \frac{25}{16}s^3t^2 - 5st^4 + t^5)$$

# Cusps = 3		
Cusp p_j	$(C \cdot T_{p_j})_{p_j}$	$(C \cdot H_C)_{p_j}$
(2_2)	4	15
(2_2)	4	15
(2_2)	4	15
# Inflection points = 0		

Curve C_8 - $[(2_3), (2), (2), (2)]$

The rational cuspidal quintic with four cusps is the dual curve of the unicuspidal ramphoid quartic curve C . C has a cusp p of type A_6 and three inflection points of type 1. The explicit calculation can be done in the following way.

We find the defining polynomial of the unicuspidal ramphoid quartic by using the parametrization given in chapter 3 and eliminating s and t with *Singular*.

```
ring R=0, (x,y,z,a,b,c,d,e,s,t), dp;
ideal A6=x-(a-d),y-(c),z-(e);
ideal ST=a-s^4,b-s^3t,c-s^2t^2,d-st^3,e-t^4;
ideal A6ST=A6,ST;
short=0;
eliminate(std(A6ST),abcdst);
_[1]=y^4-2*x*y^2*z+x^2*z^2-y*z^3
```

We find the defining polynomial of the dual curve C_8 in *Singular*.

```
ring r=0,(x,y,z,s,t,u),dp;
poly f=y^4-2*x*y^2*z+x^2*z^2-y*z^3;
ideal I=f,s-diff(f,x),t-diff(f,y),u-diff(f,z);
short=0;
eliminate(std(I),xyz);
_[1]=27*s^5+4*s^2*t^3-144*s^3*t*u-16*t^4*u+128*s*t^2*u^2-256*s^2*u^3
```

The above output is a polynomial in s, t, u defining the rational cuspidal quintic with four cusps. This can be verified by the following code in *Maple*.

```
with(algcurves):
f := 27*s^5+4*s^2*t^3-144*s^3*t*u-16*t^4*u+128*s*t^2*u^2-256*s^2*u^3;
u := 1;
singularities(f, s, t);
```

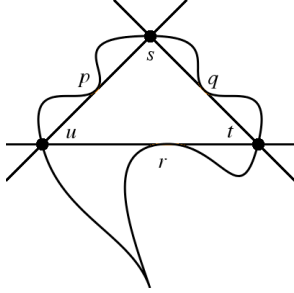
$[[x, y, z], m_p, \delta_p, \# \text{Branches}],$

$[[-\frac{16}{3}, 8, 1], 2, 1, 1],$

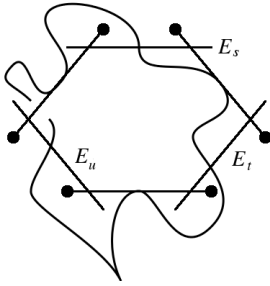
$[[\text{RootOf}(9_Z^2 - 48_Z + 256), -8 + \frac{3}{2} \text{RootOf}(9_Z^2 - 48_Z + 256), 1], 2, 1, 1],$

$[[0, 0, 1], 2, 3, 1].$

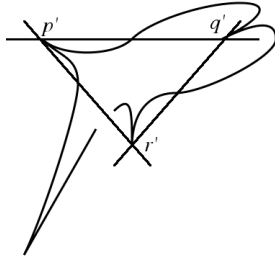
Although the above construction is by far the most elegant one, it is also possible to get C_8 by using a Cremona transformation to transform the unicuspidal ramphoid quartic. The easiest way of showing this is to apply the standard Cremona transformation with three proper base points to C_8 rotated such that the three simple cusps are placed in $(1 : 0 : 0)$, $(0 : 1 : 0)$ and $(0 : 0 : 1)$. This gives a rational quartic with one single A_6 -cusp and three inflection points. The inverse transformation gives the quintic. We show the latter transformation implicitly.



Let C be the unicuspidal ramphoid quartic. Then rotate this curve such that we have the specific arrangement shown on the left. We have three lines T^p , T^q and T^r which are tangent lines to C at three points p , q and r . The three lines additionally intersect in three points s , t and $u \in C$.



Using the Cremona transformation $\psi_3(s, t, u)$, we get three exceptional lines E_s , E_t and E_u , replacing s , t and u . Furthermore, the transforms of T^p , T^q and T^r intersect the transform of C with multiplicity 2 in the transforms of the points p , q and r .



Blowing down the transforms of the tangent lines results in points p' , q' and r' on C' , which by elementary properties of the blowing-down process have multiplicity

$$m_{p'} = m_{q'} = m_{r'} = 2.$$

Since the points originally were smooth points on C , p' , q' and r' are cusps with multiplicity sequence (2) . Notice that the cusp of C is unaffected by the Cremona transformation.

The degree of C' is

$$\begin{aligned} d' &= 2 \cdot d - m_s - m_t - m_q \\ &= 2 \cdot 4 - 1 - 1 - 1 \\ &= 5. \end{aligned}$$

Thus, we have constructed C_8 , a rational cuspidal quintic with cuspidal configuration $[(2_3), (2), (2), (2)]$.

6.3. RATIONAL CUSPIDAL QUINTICS

The curve C_8 is given by the below parametrization, and it has the following properties.

$$(s^4t : s^2t^3 - s^5 : t^5 + 2s^3t^2)$$

<hr/> # Cusps = 4 <hr/>		
Cusp	p_j	$(C \cdot T_{p_j})_{p_j} \quad (C \cdot H_C)_{p_j}$
(2 ₃)	4	21
(2)	3	8
(2)	3	8
(2)	3	8
<hr/>		
<hr/> # Inflection points = 0 <hr/>		

Chapter 7

More cuspidal curves

The rational cuspidal cubics, quartics and quintics presented in this thesis, have been known for a while because of Namba's classification of curves in [25]. For some time there were only a few known examples of rational cuspidal curves of degree $d \geq 6$. In recent years this has changed. In this chapter we will present more cuspidal curves.

7.1 Binomial cuspidal curves

Amongst the most simple cuspidal curves are curves which are given as the zero set of a binomial, a homogeneous polynomial with two terms,

$$F_{(mn)} = z^{n-m}y^m - x^n, \quad n > m \geq 1, \gcd(m, n) = 1.$$

These curves are cuspidal and are called *binomial curves*. They are unicuspidal if $m = 1$ or symmetrically $n - m = 1$, and they are bicuspidal if $m \geq 2$. In the first case, the curves have one cusp and one inflection point of type $n - 2$, which can be verified by direct calculation. In the latter case, the curves have one cusp in $p = (0 : 0 : 1)$ with multiplicity $m_p = m$, one cusp in $q = (0 : 1 : 0)$ with multiplicity $m_q = n - m$, and no inflection points. The two cusps have different multiplicity sequences, but are quite similar in the sense that they can be investigated by identical methods and arguments. Note that since m and n are relatively prime, so are $n - m$ and n . The observation that a general bicuspidal binomial curve does not have inflection points can be verified by a direct calculation of the Hessian curve and the intersection multiplicity of the Hessian curve and the curve at the cusps. We will come back to this in Section 9.2.

A binomial curve can be parametrized by

$$(s^{n-m}t^m : t^n : s^n).$$

The projection center V , by which it can be projected from the rational normal curve in \mathbb{P}^n , is given by

$$V = \mathcal{V}(x_0, x_m, x_n),$$

$$A_V = \begin{bmatrix} 1 & 0 & \dots & 0 & \dots & 0 \\ 0 & 0 & \dots & 1 & \dots & 0 \\ 0 & 0 & \dots & 0 & \dots & 1 \end{bmatrix}.$$

We want to investigate a cusp on a binomial curve. Because of the symmetry of the unicuspidal binomial curves we may always assume that the binomial curve C has a cusp in $p = (0 : 0 : 1)$. C can then be represented around p by the affine curve given by f ,

$$f = y^m - x^n.$$

The cusp has multiplicity m , and the multiplicity sequence can be calculated by the algorithm given in Theorem 2.2.6 on page 14. The cusp has tangent $\mathcal{V}(y)$, which intersects the curve with multiplicity $(T_p \cdot C)_p = n$. Hence, the curve can locally around the cusp be parametrized by

$$(C, p) = (t^m : t^n : 1).$$

Fibonacci curves

We define the *Fibonacci numbers* φ_k recursively. For $k \in \mathbb{N}$ we define

$$\begin{aligned} \varphi_0 &= 0, \\ \varphi_1 &= 1, \\ \varphi_{k+1} &= \varphi_k + \varphi_{k-1}. \end{aligned}$$

With φ_k as above we define a subseries of binomial cuspidal curves called the *Fibonacci curves* [2]. The k th Fibonacci curve is defined as

$$C_k = \mathcal{V}(y^{\varphi_k} - x^{\varphi_{k-1}} z^{\varphi_{k-2}}), \quad \text{for all } k \geq 2.$$

This curve has degree φ_k . For $k = 2$ we have a line. For $k = 3$ we have an irreducible conic. For $k = 4$ the curve is a cuspidal cubic with a simple cusp in $(0 : 0 : 1)$. For all $k \geq 5$ the curve C_k has two cusps. The two cusps are located in $(1 : 0 : 0)$ and $(0 : 0 : 1)$. They have multiplicity sequences $(\varphi_{k-2}, \{\varphi_i\}_{i=1}^{k-2})$ and $(\{\varphi_i\}_{i=1}^{k-1})$ respectively. The curves are bicuspidal since any two successive Fibonacci numbers are relatively prime integers. If they did have a common factor $\neq 1$, so would their sum and their difference, which is the next and the previous number in the series. This indicates that all Fibonacci numbers have a common factor $\neq 1$, but that is certainly not true.

These curves will be revisited in Section 9.3.

Semi-binomial curves

Carefully adding a few terms to the defining polynomial of the binomial curves produces series of curves strongly related to the binomial curves. Adding terms can be done such that it simply corresponds to a linear change of coordinates. These curves provide nothing new. However, it is possible to add terms in such a way that the resulting curves have precisely the same cuspidal configuration as the original binomial curve, but such that they are not projectively equivalent. This can happen because the introduction of new terms in the defining polynomial sometimes leads to inflection points. Because they are strongly related to the binomial curves, we choose to call these curves *semi-binomial curves*.

We have presented several examples of this phenomenon in this thesis. For example, the ovoid cuspidal quartic with one inflection point is binomial,

$$C_{4A} = \mathcal{V}(z^4 - xy^3).$$

The ovoid cuspidal quartic with two inflection point is semi-binomial,

$$C_{4B} = \mathcal{V}(x^3y - z^3x + z^4).$$

7.2 Orevkov curves

In [26], Orevkov gave a proof of the existence of certain unicuspidal rational curves, which from here on will be referred to as *Orevkov curves*. The proof explains a way of constructing series of such curves explicitly by applying a product of Cremona transformations to simple, nonsingular algebraic curves of low degree. In the following we will describe the series of Orevkov curves and their construction.

Let φ_k denote the k th Fibonacci number. An algebraic curve C_k of degree $d_k = \varphi_{k+2}$ with a single cusp of multiplicity $m_k = \varphi_k$ is called an *Orevkov curve*. Moreover, an algebraic curve C_k^* of degree $d_k^* = 2\varphi_{k+2}$ with a single cusp of multiplicity $m_k^* = 2\varphi_k$ is also called an *Orevkov curve*.

Let N be a rational cubic curve with a singularity with two distinct tangents. The singularity is commonly referred to as a node, and the curve itself is called a nodal cubic. Furthermore, let C_{-3} and C_{-1} be the tangents to the branches of N at the singular point, and let C_0 be the inflectional tangent. Each of the three lines intersects N in only one point. Additionally, let C_0^* be a conic which intersects N in one smooth point. Then a Cremona transformation ψ which is biregular on $\mathbb{P}^2 \setminus N$ will transform the mentioned nonsingular curves into unicuspidal Orevkov curves.

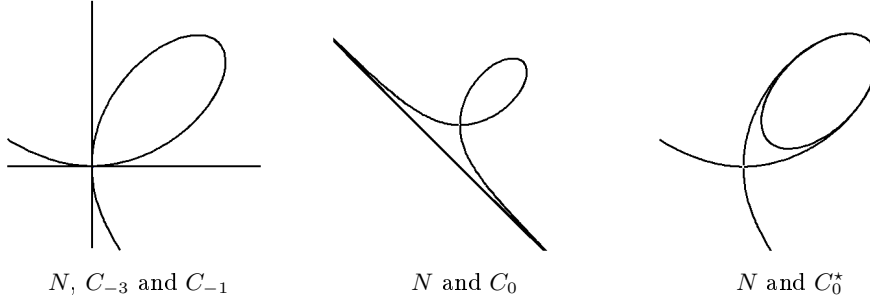


Figure 7.1: Initial curves in the series of Orevkov curves, $z = 1$.

Note that in Figure 7.1, N and C_0 intersect in the point $(1 : 1 : 0)$, which is not in the chosen affine covering.

We define recursively,

$$\begin{aligned} C_k &= \psi(C_{k-4}), & k \geq 3, & & k \not\equiv 2 \pmod{4}, \\ C_k^* &= \psi(C_{k-4}^*), & k > 0, & & k \equiv 0 \pmod{4}. \end{aligned}$$

Theorem 7.2.1. [26, p.658] *The following series of Orevkov curves exist.*

Orevkov I – C_k for any $k > 1$, $k \equiv 1 \pmod{4}$,

Orevkov II – C_k for any $k > 0$, $k \equiv 3 \pmod{4}$,

Orevkov III – C_k for any $k > 0$, $k \equiv 0 \pmod{4}$,

Orevkov IV – C_k^* for any $k > 0$, $k \equiv 0 \pmod{4}$.

Choose

$$N = \mathcal{V}(xyz - x^3 - y^3).$$

Then the Orevkov curves can explicitly be constructed by the Cremona transformation

$$\psi = \sigma_5 \circ \sigma_4 \circ \sigma_3 \circ \sigma_2 \circ \sigma_1.$$

σ_1	σ_2	σ_3	σ_4	σ_5
$x_1 = x^2$	$x_2 = x_1 z_1$	$x_3 = y_2 z_2$	$x_4 = x_3 z_3 + y_3^2$	$x_5 = x_4 y_4$
$y_1 = xy$	$y_2 = y_1 z_1 - x_1^2$	$y_3 = x_2 z_2$	$y_4 = y_3 z_3$	$y_5 = y_4^2$
$z_1 = xz - y^2$	$z_2 = z_1^2$	$z_3 = x_2 y_2$	$z_4 = z_3^2$	$z_5 = y_4 z_4 + x_4^2$

Note that the five above Cremona transformations are not their own inverses; they are compositions of linear changes of coordinates and the quadratic Cremona transformations given in Chapter 5. Therefore, in applying the

above transformations to defining polynomials, we must perform the necessary substitutions with the inverse transformations. The fact that the transformations are not on standard form complicates finding the strict transform. Although this causes problems in the direct calculations given below, we will not discuss this problem here.

σ_1^{-1}	σ_2^{-1}	σ_3^{-1}	σ_4^{-1}	σ_5^{-1}
$x = x_1^2$	$x_1 = x_2 z_2$	$x_2 = y_3 z_3$	$x_3 = x_4 z_4 - y_4^2$	$x_4 = x_5 y_5$
$y = x_1 y_1$	$y_1 = y_2 z_2 + x_2^2$	$y_2 = x_3 z_3$	$y_3 = y_4 z_4$	$y_4 = y_5^2$
$z = x_1 z_1 + y_1^2$	$z_1 = z_2^2$	$z_2 = x_3 y_3$	$z_3 = z_4^2$	$z_4 = y_5 z_5 - x_5^2$

Orevkov I. Choose the tangent of one branch of the nodal cubic at the node. It can be given by $C_{-3} = \mathcal{V}(F_{-3})$, where $F_{-3} = x$. Applying ψ to this curve results in the curve $C_1 = \mathcal{V}(F_1)$, where $F_1 = yz - x^2$. This curve is nonsingular, hence not an Orevkov curve. Another application of ψ gives the Orevkov curve C_5 , a rational cuspidal curve of degree $d_5 = \varphi_7 = 13$, with a single cusp of multiplicity $m_5 = \varphi_5 = 5$. Successive applications of ψ produce the series of Orevkov I curves.

Orevkov II. To produce the second series of Orevkov curves, choose the tangent to the other branch of the nodal cubic at the node. It can be given by $C_{-1} = \mathcal{V}(F_{-1})$, where $F_{-1} = y$. Applying ψ to this curve gives the Orevkov curve C_3 , a rational cuspidal curve of degree $d_3 = \varphi_5 = 5$, with a single cusp of multiplicity $m_3 = \varphi_3 = 2$. Successive applications of ψ produce the series of Orevkov II curves.

Orevkov III. Produce the third series of Orevkov curves by choosing an inflectional tangent of the nodal cubic N . We let the curve $C_0 = \mathcal{V}(F_0)$, where $F_0 = 3x + 3y + z$, be this inflectional tangent. Applying ψ to this curve gives the Orevkov curve C_4 , a rational cuspidal curve of degree $d_4 = \varphi_6 = 8$, with a single cusp of multiplicity $m_4 = \varphi_4 = 3$. Successive applications of ψ produce the series of Orevkov III curves.

The cusp of an Orevkov curve C_k of any of these three series has multiplicity sequence

$$\overline{m}_k = (\varphi_k, S_k, S_{k-4}, \dots, S_\nu),$$

where $\nu = 3, 4, 5$ is determined by $k = 4j + \nu$, $j \in \mathbb{N}_0$, and S_i denotes the subsequence

$$S_i = ((\varphi_i)_5, \varphi_i - \varphi_{i-4}).$$

Orevkov IV. To get the fourth series of Orevkov curves, choose a conic $C_0^* = \mathcal{V}(F_0^*)$, where $F_0^* = 21x^2 - 22xy + 21y^2 - 6xz - 6yz + z^2$. This particular conic intersects the nodal cubic in exactly one smooth point. Applying ψ to this curve gives the Orevkov curve C_4^* , a rational cuspidal curve of degree $d_4^* = 2\varphi_6 = 16$, with a single cusp of multiplicity $m_4^* = 2\varphi_4 = 6$. Successive applications of ψ produce the series of Orevkov IV curves.

The cusp of an Orevkov curve C_k^* has almost the same multiplicity sequence as the cusp of the curve C_k . The only difference is that every multiplicity is multiplied by 2.

Remark 7.2.2. Note that the birationality of the Cremona transformations allows the application of the inverse transformation ψ^{-1} to all Orevkov curves. This immediately implies that all Orevkov curves of type I, II and III can be transformed into lines by a sequence of Cremona transformations. This is also the case for Orevkov curves of type IV. These curves can be transformed into an irreducible conic, and any such curve can in turn be transformed into a line. Hence, all Orevkov curves are rectifiable.

7.3 Other uni- and bicuspidal curves

The search for more cuspidal curves led Fenske in [7] to the discovery of essentially eight different series of rational uni- and bicuspidal curves. The curves were found using suitable Cremona transformations to transform the following binomial and semi-binomial cuspidal curves of degree d ,

$$\mathcal{V}(xy^{d-1} - z^d) \quad \text{and} \quad \mathcal{V}(xy^{d-1} - z^d - yz^{d-1}).$$

An overview is given in Table 7.1. Note that since the curves C_i are strict transforms of the above curves, the degree of C_i is given as a function of d .

Curve	deg $C_{a,d}$	Cuspidal configuration
C_1	$da + d$	$(da, d_{a+b}, d-1), (d_{a-b})$
C_{1a}	$da + d$	$(da, d_{2a}, d-1)$
C_2	$da + d$	$(da, d_{a+b}), (d_{a-b}, d-1)$
C_{2a}	$da + d$	$(da, d_{2a}), (d-1)$
C_3	$da + d + 1$	$(da + 1, d_a), (d_{a+1})$
C_4	$da + d + 1$	$(da, d_{a+1}), ((d+1)_a)$
C_5	$da + d + 1$	$(da, d_a), ((d+1)_a, d)$
C_6	$da + d + 2$	$(da + 1, d_a), ((d+a)_{a+1})$
C_7	$da + 2d - 1$	$(da + d - 1, d_a, d-1), (d_{a+1}, d-1)$
C_8	$a + 2$	$(a), (2a)$

$d \geq 2$ and $0 \leq b < a$ integers.

Table 7.1: Fenske's uni- and bicuspidal curves [7, Thm. 1.1., p.310].

7.4 Tricuspidal curves

Curves with three or more cusps are quite rare. We have three series of tricuspidal curves, which were found by Flenner, Zaidenberg and Fenske in [11] [12] [8]. The series of curves can be produced from simple cuspidal curves of low degree using successive quadratic Cremona transformations.

7.4.1 Curves with $\mu = d - 2$

In [11], Flenner and Zaidenberg constructed a series of tricuspidal curves where the maximal multiplicity of any cusp of the curves was $\mu = d - 2$. They found that for any degree $d \geq 4$, $a \geq b \geq 1$, with $a + b = d - 2$, there is a unique, up to projective equivalence, rational cuspidal curve $C = C_{d,a} \subset \mathbb{P}^2$ of degree d with three cusps. The curve $C_{d,a}$ has cuspidal configuration

$$[(d - 2), (2_a), (2_b)].$$

The restrictions on a and b imply that for every d there are exactly $\lfloor \frac{d-2}{2} \rfloor$ tricuspidal curves of this kind. Furthermore, Flenner and Zaidenberg proved that any rational cuspidal curve with one cusp with multiplicity $d - 2$ has at most three cusps. Additionally, they proved that if there are three cusps on the curve, then it has the given cuspidal configuration.

The parametrization

In [11], the tricuspidal curves with $\mu = d - 2$ were painstakingly constructed by parametrization methods. They started out with a general parametrization. Then they imposed restrictions on the parametrization in order to get the desired type of cusps. They found that the curve is parametrized by

$$((s - t)^{d-2}s^2 : (s - t)^{d-2}t^2 : s^2t^2 \cdot q(s, t))$$

where the polynomial $q(1, t)$, and thus the homogeneous polynomial $q(s, t)$, can be found by feeding *Maple* the below code.

```
c[k] := (product(2*a-2*i+1, i = 1 .. k))/2^k;
f(t) := expand(1+ sum((c[k])/(k!)*(t^(2)-1)^(k), k=1..(d-3)));
q[d,a](t) := sort((factor(f(t)+t^((2-a-1))))/((1+t)^(d-2)));
```

The parametrization enables us to find and investigate the projection center for every such curve. Further investigating these projection centers could be interesting, but goes beyond the scope of this thesis.

Construction by Cremona transformations

In [12], Flenner and Zaidenberg presented an elegant way of constructing the tricuspidal curves using Cremona transformations.

Let C be a curve with cusps q , p and r , with multiplicity sequences $\bar{m}_q = (d-2)$, $\bar{m}_p = (2_a)$ and $\bar{m}_r = (2_b)$. Then let L^{pq} denote the line through p and q , L^{qr} the line through q and r , and T^q the tangent line at q .

By Bézout's theorem and Lemma 2.2.4, the tangent line T^q intersects C with multiplicity $d-1$ at q . Hence, T^q intersects C transversally in a smooth point s . Applying the Cremona transformation $\psi_2(s, q, L^{pq})$ to C results in a curve C' of degree $d+1$ with three cusps with multiplicity sequences $(d-1)$, (2_{a+1}) and (2_b) .

We may also perform the Cremona transformation $\psi_2(p, q, L^{qr})$ to C , this time getting a tricuspidal curve of degree d and multiplicity sequences $(d-2)$, (2_{a+1}) and (2_{b-1}) .

With these transformations, Flenner and Zaidenberg proved that all tricuspidal curves of degree d with $\mu = d-2$ can be constructed from the tricuspidal quartic curve. This implies that all these curves are rectifiable.

7.4.2 Curves with $\mu = d-3$

The work on the tricuspidal curves with maximal multiplicity $\mu = d-2$ was soon followed by a parallel result for tricuspidal curves with a cusp with $\mu = d-3$. In [12], Flenner and Zaidenberg proved that for a tricuspidal curve of degree $d = 2a+3$ with a cusp with maximal multiplicity $\mu = d-3$, the only possible cuspidal configuration is

$$[(2a, 2_a), (3_a), (2)].$$

Furthermore, they proved that for each $a \geq 1$ there exists such a rational cuspidal curve. The existence was proved performing a sequence of Cremona transformations to the rational cuspidal cubic and constructing the curves inductively. The construction proves that the curves are rectifiable. It was also proved that the curves are unique up to projective equivalence.

Remark 7.4.1. Observe that when $a = 1$, then $d = 5$. Then the curve has the same cuspidal configuration as curves in this series, but the maximal multiplicity of the cusps is $\mu = 3 = 5-2$. Hence, this curve does by definition not belong to this series. Rather, it is an example of a curve from the series of curves with $\mu = d-2$.

7.4.3 Curves with $\mu = d - 4$

The last series of tricuspidal curves was presented by Fenske in [8]. In this article, Fenske found that for every $d = 3a + 4$, $a \geq 1$, there exists a tricuspidal curve with cuspidal configuration

$$[(3a, 3a), (4a, 2_2), (2)].$$

The existence was proved by induction and performing a sequence of Cremona transformation to the bicuspidal quartic with one inflection point. Fenske also found that these curves are unique up to projective equivalence and that they are rectifiable.

Fenske was *not* able to generally prove that if a curve of degree d has three cusps and $\mu = d - 4$, then the curve has this cuspidal configuration. However, he was able to prove this result under the condition that $\chi(\Theta_V\langle D \rangle) \leq 0$, where $\chi(\Theta_V\langle D \rangle)$ is the *Euler characteristic* and D is the divisor with simple normal crossings of the minimal embedded resolution of the singularities of C .

By [11, Cor. 2.5., p.446], we can compute the Euler characteristic $\chi(\Theta_V\langle D \rangle)$ of a rational cuspidal curve using the degree and the multiplicity sequences of the cusps of the curve.

$$\chi(\Theta_V\langle D \rangle) = -3(d - 3) + \sum_{p \in \text{Sing } C} \bar{M}_p,$$

where $\bar{M}_p = \eta_p + \omega_p - 1$,

$$\eta_p = \sum_{i=0}^{n_p} (m_{p,i} - 1) \quad \text{and} \quad \omega_p = \sum_{i=1}^{n_p} \left(\left\lceil \frac{m_{p,i-1}}{m_{p,i}} \right\rceil - 1 \right).$$

There are, so far, no known rational cuspidal curves with $\chi(\Theta_V\langle D \rangle) > 0$. Moreover, $\chi(\Theta_V\langle D \rangle) \leq 0$ is a consequence of a conjecture given by Fernández de Bobadilla et al. in [9, Conj. 4.1., pp.420–424].

Conjecture 7.4.2. *Let C be a rational cuspidal curve with \bar{M}_p and d as above. Furthermore, let $\dim \text{Stab}_{PGL(3)}(C)$ denote the dimension of the group of transformations in $PGL_3(\mathbb{C})$ which do not move C . Then*

$$\sum_{p \in \text{Sing } C} \bar{M}_p \leq 3d - 9 + \dim \text{Stab}_{PGL(3)}(C).$$

Remark 7.4.3. Observe that when $a = 1$, then $d = 7$. Then the curve has the same cuspidal configuration as the curves in this series, but the maximal multiplicity of the cusps is $\mu = 4 = 7 - 3$. Hence, this curve does by definition not belong to this series. Rather, it is an example of a curve from the series of curves with $\mu = d - 3$.

7.4.4 Overview

We have three series of rational tricuspidal curves. For curves with degree $d \geq 6$, assuming $\chi(\Theta_V\langle D \rangle) \leq 0$, these are actually the only tricuspidal curves with these cuspidal configurations, up to projective equivalence.

Series	d	\tilde{m}_p	\tilde{m}_q	\tilde{m}_r	Valid for	
I	d	$(d-2)$	(2_a)	(2_{d-2-a})	$d \geq 4$	$d-3 \geq a \geq 1$
II	$2a+3$	$(d-3, 2_a)$	(3_a)	(2)	$d \geq 5$	$a \geq 1$
III	$3a+4$	$(d-4, 3_a)$	$(4a, 2_2)$	(2)	$d \geq 7$	$a \geq 1$

Table 7.2: Tricuspidal curves.

These results allow us to count the number N of tricuspidal curves for each degree $d \geq 4$, up to projective equivalence. For degrees $d = 4$ and $d = 5$, we have seen that $N = 1$ and $N = 2$. For degree $d = 6$ we see in Table 7.2 that we know $N = 2$ tricuspidal curves, and for $d = 7$ we know $N = 3$ tricuspidal curves. For any degree $d \geq 8$, $d \equiv k \pmod{6}$ the number N of known tricuspidal curves is given in Table 7.3. To simplify notation we write $N_0 = \lfloor \frac{d-2}{2} \rfloor$, so that N_0 is the number of curves in series I for each d .

k	#	Tricuspidal curves N	Series represented
0, 2		N_0	I
1		$N_0 + 2$	I, II, III
3, 5		$N_0 + 1$	I, II
4		$N_0 + 1$	I, III

Table 7.3: The number of known tricuspidal curves for $d \geq 8$, $d \equiv k \pmod{6}$.

7.5 Rational cuspidal sextics

The search for rational cuspidal curves of a given degree is made slightly easier with all the above results. With the results it was possible for Fenske in [7, Cor. 1.5., p.312] to present a list of all existing cuspidal configurations of rational cuspidal sextics. He also gave explicit parametrizations of all rational cuspidal sextic curves with one and two cusps, up to projective equivalence [7, pp.327–328]. For the rational cuspidal sextic curves with three cusps, the explicit parametrizations of the curves are given by Flenner and Zaidenberg in [11, Thm. 3.5., p.448].

7.5. RATIONAL CUSPIDAL SEXTICS

# Cusps	Curve	Cuspidal configuration	# Curves
1	C_1	(5)	4 – ABCD
	C_2	(4, 2 ₄)	2 – AB
	C_3	(3 ₃ , 2)	3 – ABC
2	C_4	(3 ₃), (2)	2 – AB
	C_5	(3 ₂ , 2), (3)	1
	C_6	(3 ₂), (3, 2)	1
	C_7	(4, 2 ₃), (2)	1
	C_8	(4, 2 ₂), (2 ₂)	1
	C_9	(4), (2 ₄)	1
3	C_{10}	(4), (2 ₃), (2)	1
	C_{11}	(4), (2 ₂), (2 ₂)	1

Table 7.4: Rational cuspidal sextic curves [7, Cor. 1.5., p.312].

Chapter 8

On the number of cusps

All the examples of rational cuspidal curves presented in this thesis are interesting in themselves. Some are, however, more intriguing than the others in the search for an upper bound on the number of cusps on a rational cuspidal curve. The particularly interesting curves are the curves with three or more cusps. Indeed, we so far only know one rational cuspidal curve with four cusps, the quintic with cuspidal configuration $[(2_3), (2), (2), (2)]$. Furthermore, we only know one tricuspidal curve not contained in table 7.2, the quintic with cuspidal configuration $[(2_2), (2_2), (2_2)]$.

8.1 A conjecture

The above observation was made by Piontkowski, and in 2007 he proposed the following conjecture after investigating almost all cuspidal curves of degree ≤ 20 [28, Conj. 1.4., p.252].

Conjecture 8.1.1 (On the number of cusps of rational cuspidal curves). *A rational cuspidal plane curve of degree $d \geq 6$ has at most three cusps. The curves of degree $d \geq 6$ with precisely three cusps occur in the three series in Table 7.2 on page 104.*

8.2 An upper bound

The general research on rational cuspidal curves has not only circled around constructing curves and series of curves. The most recent progress was made in 2005, when Tono lowered the upper bound for the number of cusps on a cuspidal plane curve of genus g . Thus, he also found a new upper bound for the number of cusps on a rational cuspidal plane curve [31, Thm. 1.1., p.216].

Theorem 8.2.1 (An upper bound). *A cuspidal plane curve of genus g has no more than $\frac{21g+17}{2}$ cusps.*

Corollary 8.2.2 (An upper bound for rational curves). *A rational cuspidal plane curve has no more than 8 cusps.*

8.3 Particularly interesting curves

Noting Tono's theorem and keeping Piontkowski's conjecture in mind, we are naturally led to the investigation of the two particular quintic curves in Table 8.1.

Curve	# Cusps	Cuspidal configuration	Degree d
C_Δ	3	$[(2_2), (2_2), (2_2)]$	$d = 5$
C_\square	4	$[(2_3), (2), (2), (2)]$	$d = 5$

Table 8.1: Particularly interesting cuspidal curves.

8.3.1 All about C_Δ

The quintic curve with cuspidal configuration $[(2_2), (2_2), (2_2)]$ is special since it is the only tricuspidal curve which is not found in any of the three series of tricuspidal curves in Table 7.2. Apart from that, however, it is hard to find ways in which this curve stands out. In Chapter 6 we saw that this curve can be constructed by a Cremona transformation of the bicuspidal quartic, and there was nothing worth noting in this construction. Next we will see that projection and dualization do not reveal any secrets of this curve either.

Projection

The parametrization given in [25, Thm. 2.3.10., pp. 179–182] gives us the projection center V .

$$V = \mathcal{V}(-x_0 + x_1, -\frac{5}{32}x_0 + x_3, -\frac{125}{128}x_0 - \frac{25}{16}x_2 - 5x_4 + x_5),$$

$$A_V = \begin{bmatrix} -1 & 1 & 0 & 0 & 0 & 0 \\ -\frac{5}{32} & 0 & 0 & 1 & 0 & 0 \\ -\frac{125}{128} & 0 & -\frac{25}{16} & 0 & -5 & 1 \end{bmatrix}, \quad K_V = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & \frac{25}{16} \\ \frac{32}{5} & \frac{32}{5} & 0 & 1 & 0 & \frac{25}{4} \\ 0 & 0 & 0 & 0 & 1 & 5 \end{bmatrix}.$$

With this information on the projection center we observe that the curve C_Δ has three cusps p_i with $(T_{p_i} \cdot C)_{p_i} = 4$.

- $V \cap C_5 = \emptyset$.
- $V \cap T_5 = \{p_1, p_2, p_3\}$ for

$$(s : t) = \{(0 : 1), (\frac{-10+6\sqrt{5}}{5} : 1), (\frac{-10-6\sqrt{5}}{5} : 1)\}.$$

- $V \cap O^2(s, t) = \{p_1, p_2, p_3\}$ for
$$(s : t) = \{(0 : 1), (\frac{-10+6\sqrt{5}}{5} : 1), (\frac{-10-6\sqrt{5}}{5} : 1)\}.$$
- $V \not\subset O^2(s, t)$ for any $(s : t)$.
- $V \subset O^3(s, t) = \{p_1, p_2, p_3\}$ for
$$(s : t) = \{(0 : 1), (\frac{-10+6\sqrt{5}}{5} : 1), (\frac{-10-6\sqrt{5}}{5} : 1)\}.$$
- $V \not\subset O^4(s, t)$ for any $(s : t)$.

The dual curve

Using the parametrization, we find, with the help of *Singular*, that the curve C_Δ can be given by the following defining polynomial.

```
ring R=0, (x,y,z,a,b,c,d,e,f,s,t), dp;
ideal C=x-(b-a),y-(d-5/32*a),z-(-125/128*a-25/16*c-5e+f);
ideal ST=a-s5,b-s4t,c-s3t2,d-s2t3,e-st4,f-t5;
ideal CS=C,ST;
short=0;
eliminate(std(CS),abcdefst);
_[1]=709375*x^5+4800000*x^4*y+54560000*x^3*y^2-199424000*x^2*y^3+265420800*x*y^4
-8126464*y^5-3360000*x^4*z-17664000*x^3*y*z+18022400*x^2*y^2*z+49807360*x*y^3*z
-1048576*y^4*z+4915200*x^3*z^2-3932160*x^2*y*z^2+2097152*x*y^2*z^2-1048576*x^2*z^3
```

Calculating the dual curve with *Singular* gives C_Δ^* .

```
ring R=0,(x,y,z,s,t,u),dp;
poly f=709375*x^5+4800000*x^4*y+54560000*x^3*y^2-199424000*x^2*y^3+265420800*x*y^4
-8126464*y^5-3360000*x^4*z-17664000*x^3*y*z+18022400*x^2*y^2*z+49807360*x*y^3*z
-1048576*y^4*z+4915200*x^3*z^2-3932160*x^2*y*z^2+2097152*x*y^2*z^2-1048576*x^2*z^3;
ideal I=f,s-diff(f,x),t-diff(f,y),u-diff(f,z);
short=0;
eliminate(std(I),xyz);
_[1]=65536*s*t^4+12288*t^5-524288*s^2*t^2*u-163840*s*t^3*u+83200*t^4*u+1048576*s^3*u^2
+19333120*s^2*t*u^2+972800*s*t^2*u^2-256000*t^3*u^2-101888000*s^2*u^3+2560000*s*t*u^3
-2900000*t^2*u^3-152400000*s*u^4-17250000*t*u^4-66796875*u^5
```

With *Maple* we find that C_Δ^* has exactly the same cuspidal configuration as C_Δ .

```
with(algcurves);
f := 65536*s*t^4+12288*t^5-524288*s^2*t^2*u-163840*s*t^3*u+83200*t^4*u+1048576*s^3*u^2
+19333120*s^2*t*u^2+972800*s*t^2*u^2-256000*t^3*u^2-101888000*s^2*u^3+2560000*s*t*u^3
-2900000*t^2*u^3-152400000*s*u^4-17250000*t*u^4-66796875*u^5
u := 1
singularities(f, s, t);
```

$[1, 0, 0], [2, 2, 1],$

$[[\text{RootOf}(65536_Z^2 + 416000_Z + 90625), -\frac{32}{15}\text{RootOf}(65536_Z^2 + 416000_Z + 90625) - \frac{125}{24}, 1], [2, 2, 1].$

8.3.2 All about C_\square

The curve C_\square is truly unique since it is the only known rational cuspidal curve with more than three cusps. We have already seen that this curve is the dual curve of the unicuspidal ramphoid quartic, and that it can be constructed from this curve by a Cremona transformation with three proper base points. Unfortunately, there are no surprising properties to be found when analyzing the curve from the perspective of projection.

Projection

The parametrization given in [25, Thm. 2.3.10., pp. 179–182] gives us the projection center V .

$$V = \mathcal{V}(x_1, -x_0 + x_3, 2x_2 + x_5),$$

$$A_V = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 & 1 \end{bmatrix}, \quad K_V = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & -2 \end{bmatrix}.$$

With this information on the projection center we observe that the curve C_\square has four cusps p_1, p_2, p_3 and p_4 . For the three cusps p_2, p_3 and p_4 , with multiplicity sequences (2), $(T_{p_i} \cdot C)_{p_i} = 3$. For the ramphoid cusp p_1 , $(T_{p_1} \cdot C)_{p_1} = 4$.

$$- V \cap C_5 = \emptyset.$$

$$- V \cap T_5 = \{p_1, p_2, p_3, p_4\} \text{ for}$$

$$(s : t) = \{(0 : 1), (-4^{-\frac{1}{3}} : 1), (4^{-\frac{1}{3}}e^{\frac{\pi i}{3}} : 1), (4^{-\frac{1}{3}}e^{\frac{5\pi i}{3}} : 1)\}.$$

$$- V \cap O^2(s, t) = \{p_1, p_2, p_3, p_4\} \text{ for}$$

$$(s : t) = \{(0 : 1), (-4^{-\frac{1}{3}} : 1), (4^{-\frac{1}{3}}e^{\frac{\pi i}{3}} : 1), (4^{-\frac{1}{3}}e^{\frac{5\pi i}{3}} : 1)\}.$$

$$- V \not\subset O^2(s, t) \text{ for any } (s : t).$$

$$- V \subset O^3(s, t) = \{p_1\} \text{ for } (s : t) = \{(0 : 1)\}.$$

$$- V \not\subset O^4(s, t) \text{ for any } (s : t).$$

The dual curve

Using the parametrization, we find, with the help of *Singular*, that C_{\square} can be given by the following defining polynomial.

```
ring R=0, (x,y,z,a,b,c,d,e,f,s,t), dp;
ideal C=x-(b),y-(d-a),z-(f+2c);
ideal ST=a-s5,b-s4t,c-s3t2,d-s2t3,e-st4,f-t5;
ideal CS=C,ST;
short=0;
eliminate(std(CS),abcdefst);
_[1]=27*x^5-2*x^2*y^3+18*x^3*y*z-y^4*z+2*x*y^2*z^2-x^2*z^3
```

Calculating the dual curve with *Singular* gives C_{\square}^* .

```
ring R=0,(x,y,z,s,t,u),dp;
poly f=27*x^5-2*x^2*y^3+18*x^3*y*z-y^4*z+2*x*y^2*z^2-x^2*z^3;
ideal I=f,s-diff(f,x),t-diff(f,y),u-diff(f,z);
short=0;
eliminate(std(I),xyz);
t^4-8*s*t^2*u+16*s^2*u^2+128*t*u^3
```

With *Maple* we find that C_{\square}^* is the unicuspidal ramphoid quartic with three inflection points.

```
with(algcurves);
f := t^4-8*s*t^2*u+16*s^2*u^2+128*t*u^3;
singularities(f, s, t);
```

[[1, 0, 0], 2, 3, 1]

8.4 Projections and possibilities

In the search for an upper bound on the number of cusps of a rational cuspidal curve in \mathbb{P}^2 , we are led to investigate the problem from \mathbb{P}^n with the language of projections. If we could find a way to estimate the maximal number of intersections of a $n - 3$ -dimensional projection center V of a cuspidal projection and the tangent developable T_n of the rational normal curve, then we would have an upper bound on the number of cusps of a rational curve of degree $d = n$.

We give the projection center V as the intersection of the zero set of three linearly independent linear polynomials, three hyperplanes. The hyperplanes are often represented by the coefficient matrix A_V .

$$V = \mathcal{V}\left(\sum_{k=0}^n a_{0k}x_k, \sum_{k=0}^n a_{1k}x_k, \sum_{k=0}^n a_{2k}x_k\right),$$

$$A_V = \begin{bmatrix} a_{00} & a_{01} & a_{02} & \dots & a_{0n} \\ a_{10} & a_{11} & a_{12} & \dots & a_{1n} \\ a_{20} & a_{21} & a_{22} & \dots & a_{2n} \end{bmatrix}.$$

Since we for any n can find the tangent developable T_n on polynomial form by elimination, it should be possible to intersect it with the three hyperplanes of V . In theory this sounds promising. Unfortunately, the number of variables and constants soon gets out of hand. And additionally, a general result is hard to extract, since we have to deal with each degree separately.

Although it does not solve the above problems, we are able to slightly improve this result. We know that the tangent developable T_n of the rational normal curve C_n has similar properties for all values $(s : t)$ by the homogeneity of C_n . Therefore, we may freely fix one of the intersection points of the projection center and T_n . Let this point be $(0 : 1 : 0 : \dots : 0)$. Then the projection center V_1 of this projection can be represented by the coefficient matrix A_{V_1} ,

$$A_{V_1} = \begin{bmatrix} a_{00} & 0 & a_{02} & \dots & a_{0n} \\ a_{10} & 0 & a_{12} & \dots & a_{1n} \\ a_{20} & 0 & a_{22} & \dots & a_{2n} \end{bmatrix}.$$

Keeping Piontkowski's conjecture in mind, we propose the following conjecture concerning the number of intersection points of a projection center and the tangent developable.

Conjecture 8.4.1. *Let V be a projection center of a cuspidal projection from \mathbb{P}^n to \mathbb{P}^2 such that V intersects neither the rational normal curve C_n nor the secant variety S_n outside the tangent developable T_n . Then V intersects the tangent developable T_n in maximally three points for all $n \geq 4$, $n \neq 5$. For $n = 5$, the maximal number of intersection points is four.*

Notice that we, assuming Piontkowski's conjecture and recalling the information given in Table 7.3 on page 104, additionally know how many different kinds of intersections are possible for each n .

Chapter 9

Miscellaneous related results

9.1 Cusps with real coordinates

An interesting question concerning rational cuspidal curves is whether or not all the cusps of a cuspidal curve can have real coordinates.

For all cuspidal curves with three cusps or less it is elementary that we, by a linear change of coordinates, can assign real coordinates to all the cusps.

For C_\square , the only known curve with more than three cusps, an answer to the above question is much harder to find. Although C_\square in Chapter 8 was presented with two cusps with real and two cusps with complex coordinates, we can not a priori exclude the possibility that there might exist a linear change of coordinates that will give us a curve where all four cusps have real coordinates. However, we can prove a partial result.

We call a curve $C = \mathcal{V}(F)$ *real* if $F[x, y, z] \in \mathbb{R}[x, y, z]$. The real image of C , denoted by $C(\mathbb{R})$, is defined as $C(\mathbb{R}) = \mathcal{V}(F) \cap \mathbb{P}_{\mathbb{R}}^2$.

We will prove that if C_\square is a real curve, then all the cusps can not have real coordinates. Let C_\square be a real curve.

For real algebraic curves the Klein–Schuh theorem holds [23, Thm. 3.2.2., p.23].

Theorem 9.1.1 (Klein–Schuh). *Let C be a real algebraic curve of degree d with real singularities $p_j \in C(\mathbb{R})$, $j = 1, \dots, s_p$. Let m_j denote the multiplicity of p_j and let b_j be the number of real branches of C at p_j . Let C^* be the dual curve of C with degree d^* and real singularities q_i , $i = 1, \dots, s_q$. Let m_i denote the multiplicity of q_i , and let b_i denote the number of real branches of C^* at q_i . For every real algebraic curve $C \subset \mathbb{P}^2$ with dual curve $C^* \subset \mathbb{P}^{2*}$ we have*

$$d - \sum_{p_j \in \text{Sing } C(\mathbb{R})} (m_j - b_j) = d^* - \sum_{q_i \in \text{Sing } C^*(\mathbb{R})} (m_i - b_i).$$

We know that C_{\square} is a quintic with cuspidal configuration $[(2_3), (2), (2), (2)]$. We also know that C_{\square}^* is a quartic with cuspidal configuration $[(2_3)]$. Assume that all cusps on the curve C_{\square} has real coordinates. Since C_{\square} is a real curve, the cusp on C_{\square}^* has real coordinates. Then C_{\square} contradicts the Klein–Schuh theorem,

$$\begin{aligned} 5 - (2 - 1) - 3 \cdot (2 - 1) &\neq 4 - (2 - 1), \\ 2 &\neq 4. \end{aligned}$$

Hence, all cusps on C_{\square} can not be real.

9.2 Intersecting a curve and its Hessian curve

Calculating the intersection points and intersection multiplicities of a curve C and its Hessian curve H_C is a classical problem. Nevertheless, if we wish to calculate the intersection multiplicity of a curve and its Hessian curve at a point, we are often overwhelmed by the complexity of the expressions we need to deal with.

We can simplify the calculations by using an affine form of the Hessian curve when we search for intersection multiplicities at the point $(0 : 0 : 1)$. Let C be given by a defining polynomial $F(x, y, z)$. Setting $z = 1$, we have $F(x, y, 1) = f(x, y)$. We can then derive the following matrix from the expression of the Hessian matrix on page 16 using Euler’s identity [10, p.66].

$$\mathcal{H} = \begin{bmatrix} df & f_x & f_y \\ (d-1)f_x & f_{xx} & f_{yx} \\ (d-1)f_y & f_{xy} & f_{yy} \end{bmatrix}.$$

This radically simplifies the defining polynomial for the affine part of H_C .

$$\begin{aligned} H_f &= df(f_{xx}f_{yy} - f_{xy}^2) - (d-1)f_x^2f_{yy} \\ &\quad + 2(d-1)f_xf_yf_{xy} - (d-1)f_y^2f_{xx}. \end{aligned}$$

Following Fulton [13, pp.74–75] we can now calculate $(C \cdot H_C)_{(0:0:1)}$ directly. We introduce the notation $(C \cdot H_C)_{(0:0:1)} = I(f, H_f)$. For $p = (0 : 0 : 1)$ we can, using properties given by Fulton, simplify $I(f, H_f)$ to

$$I(f, 2f_xf_yf_{xy} - f_x^2f_{yy} - f_y^2f_{xx}).$$

This is rarely enough simplification to find the intersection multiplicity.

To further simplify the calculation of $(C \cdot H_C)_p$, observe that Corollary 2.3.4 on page 18 hints to the following conjecture proposed by Ragni Piene, concerning the intersection multiplicity of C and H_C at a cusp or an inflection point p .

Conjecture 9.2.1 (Intersection multiplicity). *The intersection multiplicity $(C \cdot H_C)_p$ of a rational cuspidal curve C and its Hessian curve H_C in a cusp or an inflection point p is given by*

$$\begin{aligned} (C \cdot H_C)_p &= 6\delta_p + 2(m_p - 1) + m_p^* - 1 \\ &= 6\delta_p + m_p + r_p - 3, \end{aligned}$$

where m_p and m_p^* denotes the multiplicity of p and the dual point p^* , δ_p denotes the delta invariant of p , and r_p denotes the intersection multiplicity $(T_p \cdot C)_p$.

Although all our examples imply that the conjecture holds, we have not been able to give a local proof. For specific curves and cusps, however, the result can be verified.

Theorem 9.2.2 (Intersection multiplicity for binomial curves). *Let p be a cusp on a binomial cuspidal curve C given by a defining polynomial F ,*

$$F = z^{n-m}y^m - x^n, \quad \gcd(m, n) = 1.$$

Then Conjecture 9.2.1 holds.

Proof. The proof consists of calculating the expressions on each side of the equation in the conjecture, and subsequently observe that they coincide. The intersection multiplicity on the left hand side of the equation will be calculated by the method presented by Fischer in [10, p.156]. The right hand side will be calculated directly.

Let C be a binomial cuspidal curve. The proof of Theorem 9.2.2 is identical for any cusp on this curve. We let p be a cusp on C with coordinates $(0 : 0 : 1)$. Then the affine part of C around p can be given by $f = y^m - x^n$, and we calculate the expressions in the conjecture.

Left – $(C \cdot H_C)_p$

The polynomial f has partial derivatives and double derivatives

$$\begin{aligned} f_x &= -nx^{n-1}, \\ f_y &= my^{m-1}, \\ f_{xx} &= -n(n-1)x^{n-2}, \\ f_{xy} &= 0, \\ f_{yy} &= m(m-1)y^{m-2}. \end{aligned}$$

This leads to the following polynomial defining the Hessian curve,

$$\begin{aligned} H_f &= nff_{xx}f_{yy} - nff_{xy}^2 - (n-1)f_x^2f_{yy} \\ &\quad + 2(n-1)f_xf_yf_{xy} - (n-1)f_y^2f_{xx}, \\ H_f(x, y) &= -m(m-1)n^2(n-1)x^{n-2}y^{2m-2} \\ &\quad + m(m-1)n^2(n-1)x^{2n-2}y^{m-2} \\ &\quad - m(m-1)n^2(n-1)x^{2n-2}y^{m-2} \\ &\quad + m^2n(n-1)^2x^{n-2}y^{2m-2}. \end{aligned}$$

The curve has a Puiseux parametrization around p given by

$$(C, p) = (t^m : t^n : 1).$$

Substituting, we get

$$\begin{aligned} H_f(t) &= -m(m-1)n^2(n-1)t^{m(n-2)+n(2m-2)} \\ &\quad + m(m-1)n^2(n-1)t^{m(2n-2)+n(m-2)} \\ &\quad - m(m-1)n^2(n-1)t^{m(2n-2)+n(m-2)} \\ &\quad + m^2n(n-1)^2t^{m(n-2)+n(2m-2)}. \end{aligned}$$

All terms in this polynomial in t has degree $3mn - 2m - 2n$. Hence, this is the value of the intersection multiplicity,

$$(C \cdot H_C)_p = 3mn - 2m - 2n.$$

Right $- 6\delta_p + 2(\mathbf{m}_p - 1) + \mathbf{m}_p^* - 1$

By Fischer [10, pp.207,214], we have that, for this particular point and curve,

$$\delta_p = \frac{(n-1)(m-1)}{2}.$$

We know that $m_p = m$. We may also find m_p^* by using the Puiseux parametrization of p and finding the Puiseux parametrization of the dual point p^* ,

$$(C^*, p^*) = (t^{n-m} : 1 : t^n).$$

We find that $m_p^* = n - m$.

The calculation is henceforth straightforward,

$$\begin{aligned} 6\delta_p + 2(m_p - 1) + m_p^* - 1 &= 3(n-1)(m-1) + 2(m-1) + (n-m) - 1 \\ &= 3mn - 3m - 3n + 3 + 2m - 2 + n - m - 1 \\ &= 3mn - 2m - 2n, \end{aligned}$$

which is exactly what we wanted.

□

9.3 Reducible toric polar Cremona transformations

Cremona transformations are phenomena which have been carefully studied and thoroughly described [18] [4] [1] [6]. There are, however, still unanswered questions concerning birational maps. A discussion with Professor Kristian Ranestad concerning Fibonacci curves resulted in an example of a Cremona transformation of a particular kind.

Let F_x , F_y and F_z denote the partial derivatives of a homogeneous polynomial $F(x, y, z) \in \mathbb{C}[x, y, z]$. Then a Cremona transformation ϕ which can be written on the form

$$\phi : (x : y : z) \longmapsto (xF_x : yF_y : zF_z)$$

is called a *toric polar Cremona transformation*. The polynomial F is called a *toric polar Cremona polynomial*. Note that F can be irreducible or reducible. The zero set $C = \mathcal{V}(F)$ of the polynomial F is called a *toric polar Cremona curve*.

In this section we will give an example of a series of reducible toric polar Cremona curves, where each irreducible component actually is a cuspidal curve. Additionally, we will give a constructive proof of this claim.

The k th Fibonacci curve was defined as $C_k = \mathcal{V}(y^{\varphi_k} - x^{\varphi_{k-1}}z^{\varphi_{k-2}})$ for all $k \geq 2$ on page 96. Additionally, define $C_1 = \mathcal{V}(y - z)$. C_k is then a curve of degree φ_k for all $k \geq 1$.

Proposition 9.3.1 (The Fibonacci example). *The curve $C_k \cup C_{k-1}$ with defining polynomial*

$$\begin{aligned} F(k+1) &= (y^{\varphi_k} - x^{\varphi_{k-1}}z^{\varphi_{k-2}})(y^{\varphi_{k-1}} - x^{\varphi_{k-2}}z^{\varphi_{k-3}}) \\ &= y^{\varphi_{k+1}} + x^{\varphi_k}z^{\varphi_{k-1}} - x^{\varphi_{k-2}}y^{\varphi_k}z^{\varphi_{k-3}} - x^{\varphi_{k-1}}y^{\varphi_{k-1}}z^{\varphi_{k-2}}. \end{aligned}$$

is a reducible toric polar Cremona curve for every $k \geq 3$.

To simplify notation we will henceforth write $F = F(k+1)$. With F as above, the proposition has the consequence that the map

$$\phi : (x : y : z) \longmapsto (xF_x : yF_y : zF_z)$$

is a Cremona transformation for $k \geq 3$,

$$\begin{aligned} xF_x &= \varphi_k x^{\varphi_k} z^{\varphi_{k-1}} - \varphi_{k-2} x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} - \varphi_{k-1} x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}, \\ yF_y &= \varphi_{k+1} y^{\varphi_{k+1}} - \varphi_k x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} - \varphi_{k-1} x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}, \\ zF_z &= \varphi_{k-1} x^{\varphi_k} z^{\varphi_{k-1}} - \varphi_{k-3} x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} - \varphi_{k-2} x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}. \end{aligned}$$

Proof. The proposition will be proved by construction. First we construct three polynomials G_{100} , G_{010} and G_{001} which define a Cremona transformation. They will define a Cremona transformation since they will be constructed by a sequence of linear changes of coordinates and standard Cremona transformations. Furthermore, we will show that there exists a linear change of coordinates which sends xF_x , yF_y and zF_z to the three polynomials. Then xF_x , yF_y and zF_z also define a Cremona transformation, and F is a toric polar Cremona polynomial.

Let $L_{abc} = ax + by + cz$ be linear polynomials in $\mathbb{C}[x, y, z]$. Polynomials $G_{a'b'c'}$ of degree φ_{k+1} may be constructed from these polynomials using successive linear changes of coordinates and standard Cremona transformations.

To the polynomials $ax + by + cz$, first apply a standard Cremona transformation. After removing linear factors, apply a linear transformation τ to the resulting polynomials. The transformation τ is given by the matrix \mathcal{T} ,

$$\mathcal{T} = \begin{bmatrix} -1 & 1 & 0 \\ -1 & 1 & -1 \\ 0 & 1 & -1 \end{bmatrix}.$$

Repeat this process another $k - 4$ times. A total of $k - 3$ repetitions are required in order to eventually achieve the appropriate degree.

To the resulting polynomials apply another standard Cremona transformation. Follow this transformation by removing linear factors and then apply a linear transformation τ_1 to the polynomials. Let τ_1 be given by the matrix \mathcal{T}_1 ,

$$\mathcal{T}_1 = \begin{bmatrix} 1 & -1 & 1 \\ 1 & -1 & 0 \\ 0 & 1 & 0 \end{bmatrix}.$$

Last, apply another standard Cremona transformation and remove linear factors in the resulting polynomials. Then apply a linear transformation τ_0 . Let τ_0 be given by the matrix \mathcal{T}_0 ,

$$\mathcal{T}_0 = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 1 \\ 0 & 0 & -1 \end{bmatrix}.$$

After applying the sequence of Cremona transformations, we get polynomials $G_{abc}(k + 1)$ of degree φ_{k+1} . G_{abc} can be given explicitly, and it has a nearly identical form for all $k \geq 3$. The only difference occurring is that the coefficients c and a switch places.

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For $k + 1$ odd we have

$$G_{abc} = -cy^{\varphi_{k+1}} + ax^{\varphi_k} z^{\varphi_{k-1}} + (b-a)x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} + (c-b)x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}.$$

For $k + 1$ even we have

$$G_{abc} = -ay^{\varphi_{k+1}} + cx^{\varphi_k} z^{\varphi_{k-1}} + (b-c)x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} + (a-b)x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}.$$

In either case we get polynomials of degree φ_{k+1} on the form $G_{a'b'c'}$,

$$\begin{aligned} G_{a'b'c'} &= a'y^{\varphi_{k+1}} + c'x^{\varphi_k} z^{\varphi_{k-1}} + (b' - c')x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} - (a' + b')x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}} \\ &= a'(y^{\varphi_{k+1}} - x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}) \\ &\quad + b'(x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}} - x^{\varphi_{k-1}} y^{\varphi_{k-1}} z^{\varphi_{k-2}}) \\ &\quad + c'(x^{\varphi_k} z^{\varphi_{k-1}} - x^{\varphi_{k-2}} y^{\varphi_k} z^{\varphi_{k-3}}). \end{aligned}$$

By construction, the three polynomials G_{100} , G_{010} and G_{001} define a Cremona transformation.

Observe that the polynomials F , xF_x , yF_y and zF_z have the same form as $G_{a'b'c'}$.

$$\begin{aligned} F &= G_{101}, \\ xF_x &= G_{0(\varphi_{k-1})(\varphi_k)}, \\ yF_y &= G_{(\varphi_{k+1})(-\varphi_k)0}, \\ zF_z &= G_{0(\varphi_{k-2})(\varphi_{k-1})}. \end{aligned}$$

The Fibonacci numbers have the property that for any $k \geq 2$, $\varphi_{k-1}^2 - \varphi_k \varphi_{k-2} = (-1)^k$ [26, p.667]. Using this property, an inspection of the coefficient matrix \mathcal{C} of the three polynomials xF_x , yF_y and zF_z reveals that the determinant is nonzero. Hence, the polynomials are linearly independent.

$$\begin{aligned} \det(\mathcal{C}) &= \det \begin{bmatrix} 0 & \varphi_{k-1} & \varphi_k \\ \varphi_{k+1} & -\varphi_k & 0 \\ 0 & \varphi_{k-2} & \varphi_{k-1} \end{bmatrix} \\ &= -\varphi_{k+1}(\varphi_{k-1}^2 - \varphi_{k-2}\varphi_k) \\ &= (-1)^{k+1}\varphi_{k+1} \\ &\neq 0 \text{ for all } k. \end{aligned}$$

Furthermore, the matrix \mathcal{C} defines a linear transformation τ_p which sends xF_x , yF_y and zF_z to G_{100} , G_{010} and G_{001} . Then τ_p^{-1} composed with the sequence of Cremona transformations described earlier in this section is a Cremona transformation. Hence, F is a toric polar Cremona polynomial. □

Remark 9.3.2. Looking at the defining polynomials of the two curves C_k and C_{k-1} , we see that they intersect in three points $(1 : 1 : 1)$, $(1 : 0 : 0)$ and $(0 : 0 : 1)$. Hence, the union of curves $C_k \cup C_{k-1}$ has three singularities with these coordinates. Using *Maple* we find that there is a nodal singularity in $(1 : 1 : 1)$. Furthermore, we have more complex singularities in $(1 : 0 : 0)$ and $(0 : 0 : 1)$. These two singularities are multiple points with two branches, but they have the same multiplicity and delta invariant as the corresponding cusps of the curve C_{k+1} .

Remark 9.3.3. The Cremona transformation used in the construction of $G_{a'b'c'}$ of degree φ_{k+1} was originally found in order to transform the ordinary Fibonacci curves C_k to lines. In particular, the inverse of the transformation used in the proof will transform any C_{k+1} to the line given by the defining polynomial L_{111} . Hence, it is possible to show that all Fibonacci curves are rectifiable. In the process of finding this suitable transformation, only one choice was made. The smooth point $(1 : 1 : 1)$ of C_{k+1} was moved to $(0 : 1 : 0)$, which is a base point of the first standard Cremona transformation.

Remark 9.3.4. The examples of reducible toric polar Cremona polynomials given by $F = G_{101}$ corresponds to the line given by $x - z$. Performing the described Cremona transformation ψ on this single line breaks down immediately because one only considers the strict transform. By regarding the total transform instead, we get the following remarkable result when applying ψ .

$$\mathcal{V}(\psi(x - z)) = C_k \cup C_{k-1} \bigcup_{i=0}^{k-3} (C_{k-2-i})^{2^i}.$$

Note that the union of the two curves of highest degree is precisely the reducible toric polar Cremona curve.

Remark 9.3.5. The above results suggest further remarkable relations between the Fibonacci numbers. These results can also be proved by induction.

1. We have the relation

$$2^{k-1} = \varphi_k + \varphi_{k-1} + \sum_{i=0}^{k-3} 2^i \varphi_{k-2-i}. \quad (9.1)$$

Proof. This result comes from comparing the degree of the total transform in Remark 9.3.4 with the predicted degree after $k - 1$ quadratic Cremona transformations. For $k = 2$ this obviously holds,

$$2^1 = 1 + 1 = \varphi_2 + \varphi_1.$$

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The same is true for $k = 3$,

$$2^2 = 2 + 1 + 1 = \varphi_3 + \varphi_2 + \varphi_1.$$

Now assume that (9.1) holds for $k - 1$. We then have

$$2^{k-2} = \varphi_{k-1} + \varphi_{k-2} + \sum_{i=0}^{k-4} 2^i \varphi_{k-3-i}.$$

Multiplying with 2 on either side of the equation gives

$$\begin{aligned} 2^{k-1} &= 2(\varphi_{k-1} + \varphi_{k-2}) + 2 \sum_{i=0}^{k-4} 2^i \varphi_{k-3-i} \\ &= \varphi_k + \varphi_{k-1} + \varphi_{k-2} + \sum_{i=0}^{k-4} 2^{i+1} \varphi_{k-3-i} \\ &= \varphi_k + \varphi_{k-1} + \sum_{i=0}^{k-3} 2^i \varphi_{k-2-i}. \end{aligned}$$

□

2. We have the relation

$$\varphi_k \varphi_{k-1} = \sum_{i=1}^{k-1} \varphi_i^2. \quad (9.2)$$

Proof. By Bézout's theorem and Remark 9.3.2 above, we are led to search for a connection between Fibonacci numbers on the form

$$\varphi_k \varphi_{k-1} = \sum_{i=1}^3 (C_k \cdot C_{k-1})_{p_i},$$

where $(C_k \cdot C_{k-1})_{p_i}$ denotes the intersection multiplicity of C_k and C_{k-1} in the three respective intersection points p_i .

Since the intersection point $(1 : 1 : 1)$ is a node, we can conclude that

$$(C_k \cdot C_{k-1})_{(1:1:1)} = 1.$$

The other two intersection multiplicities can be found by direct calculation.

$$\begin{aligned} (C_k \cdot C_{k-1})_{(0:0:1)} &= I(y^{\varphi_k} - x^{\varphi_{k-1}}, y^{\varphi_{k-1}} - x^{\varphi_{k-2}}) \\ &= I(y^{\varphi_k} - x^{\varphi_{k-1}} - y^{\varphi_{k-2}}(y^{\varphi_{k-1}} - x^{\varphi_{k-2}}), y^{\varphi_{k-1}} - x^{\varphi_{k-2}}) \\ &= I(x^{\varphi_{k-2}}, y^{\varphi_{k-1}} - x^{\varphi_{k-2}}) + I(y^{\varphi_{k-1}} - x^{\varphi_{k-2}}, y^{\varphi_{k-2}} - x^{\varphi_{k-3}}) \\ &= \dots \\ &= \varphi_{k-1} \varphi_{k-2} + \varphi_{k-2} \varphi_{k-3} + \dots + \varphi_2 \varphi_1 \\ &= \sum_{i=2}^{k-1} \varphi_i \varphi_{i-1}. \end{aligned}$$

$$\begin{aligned}
(C_k \cdot C_{k-1})_{(1:0:0)} &= I(y^{\varphi_k} - z^{\varphi_{k-2}}, y^{\varphi_{k-1}} - z^{\varphi_{k-3}}) \\
&= I(y^{\varphi_k} - z^{\varphi_{k-2}} - y^{\varphi_{k-2}}(y^{\varphi_{k-1}} - z^{\varphi_{k-3}}), y^{\varphi_{k-1}} - z^{\varphi_{k-3}}) \\
&= I(z^{\varphi_{k-3}}, y^{\varphi_{k-1}} - z^{\varphi_{k-3}}) + I(y^{\varphi_{k-1}} - z^{\varphi_{k-3}}, y^{\varphi_{k-2}} - x^{\varphi_{k-4}}) \\
&= \dots \\
&= \varphi_{k-1}\varphi_{k-3} + \varphi_{k-2}\varphi_{k-4} + \dots + \varphi_3\varphi_1 \\
&= \sum_{i=2}^{k-1} \varphi_i\varphi_{i-2}.
\end{aligned}$$

We get the equality

$$\begin{aligned}
\varphi_k\varphi_{k-1} &= 1 + \sum_{i=2}^{k-1} \varphi_i(\varphi_{i-1} + \varphi_{i-2}) \\
&= 1 + \sum_{i=2}^{k-1} \varphi_i^2 \\
&= \sum_{i=1}^{k-1} \varphi_i^2.
\end{aligned}$$

This equality is easily proved by induction. It obviously holds for $k = 2$,

$$\begin{aligned}
\varphi_2\varphi_1 &= \varphi_1^2 \\
1 &= 1.
\end{aligned}$$

Assume that (9.2) holds for $k - 1$,

$$\varphi_{k-1}\varphi_{k-2} = \sum_{i=1}^{k-2} \varphi_i^2.$$

Then adding φ_{k-1}^2 to each side of the equation gives

$$\begin{aligned}
\varphi_{k-1}^2 + \varphi_{k-1}\varphi_{k-2} &= \varphi_{k-1}^2 + \sum_{i=1}^{k-2} \varphi_i^2 \\
\varphi_k\varphi_{k-1} &= \sum_{i=1}^{k-1} \varphi_i^2.
\end{aligned}$$

□

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Remark 9.3.6. The toric polar Cremona curves are not strongly linked to cuspidal curves. According to Ranestad, however, there is at least one cuspidal curve which is also a toric polar Cremona curve. The tricuspidal quartic curve given by $C = \mathcal{V}(F)$ is a toric polar Cremona curve,

$$F = x^2y^2 + x^2z^2 + y^2z^2 - 2xyz(x + y + z).$$

We have the Cremona transformation

$$\psi : (x : y : z) \longmapsto (xF_x : yF_y : zF_z).$$

Appendix A

Calculations and code

Using the programs *Maple* [33] and *Singular* [15], a lot of properties of a curve can be found. In this appendix we will show some useful codes.

Note that *Maple* and *Singular* require that we load packages before we execute the commands.

- Packages in *Maple*.

```
with(algcurves):  
with(LinearAlgebra):  
with(VectorCalculus):
```

- Packages in *Singular*.

```
LIB "all.lib";
```

A.1 General calculations

Multiplicity sequence

The multiplicity sequence of a cusp p in $(0 : 0 : 1)$ can be found with *Singular*.

```
ring r=0,(x,y),dp;  
poly f=f(x,y);  
displayMultsequence(f);
```

Intersection multiplicity

Calculating the intersection multiplicity of two curves $C = \mathcal{V}(F)$ and $D = \mathcal{V}(G)$ at a point p can be done directly as described in chapter 2. We can also use the parametrization method described by Fischer in [10, pp.147–169] or the polynomial tangent comparison algorithm given in Fulton [13, pp.74–75]. Anyhow, the calculations quickly turn messy. The following code in *Singular* gives the intersection multiplicity of two curves in $(0 : 0 : 1)$.

```
ring r=0, (x,y), ls;
poly f=f(x,y);
poly g=g(x,y);
ideal I=f,g;
vdim(std(I));
```

Defining polynomial

Given a parametrization of a curve $C = \mathcal{V}(F)$ on the form

$$(x(s, t) : y(s, t) : z(s, t)),$$

we find the defining polynomial F of C by eliminating s and t . This can easily be done by feeding *Singular*

```
ring R=0, (x,y,z,s,t), dp;
ideal C'=x-x(s,t),y-y(s,t),z-z(s,t);
eliminate(std(C'),st);
```

Example A.1.1. The cuspidal cubic is given by the parametrization

$$(s^3 : st^2 : t^3).$$

The defining polynomial can be found by

```
ring R=0, (x,y,z,s,t), dp;
ideal C'=x-(s3),y-(st2),z-(t3);
eliminate(std(C'),st);
_[1]=y3-xz2
```

Dual curve

To find the dual curve of a curve C given by a polynomial $F(x, y, z)$, use *Singular* and the code

```
ring R=0,(x,y,z,s,t,u),dp;
poly F=F(x,y,z);
ideal I=F,s-diff(F,x),t-diff(F,y),u-diff(F,z);
short=0;
eliminate(std(I),xyz);
```

Hessian curve

To find the Hessian curve of a curve C given by a polynomial $F(x, y, z)$, use *Maple* and the code

```
F := F(x,y,z);
H := Hessian(F, [x, y, z]);
HC := Determinant(H);
```

Singularities of a curve

To find the singularities of a curve C given by a polynomial $F(x, y, z)$, use *Maple* and the code

```
F := F(x,y,z);
singularities(F,x,y);
```

For every singularity p of C , the output is on the form

$$[[x, y, z], m_p, \delta_p, \# \text{Branches}].$$

Intersection points of curves

Intersection points of curves $C = \mathcal{V}(F(x, y, z))$ and $D = \mathcal{V}(G(x, y, z))$ can be found using *Maple* and the code

```
F := F(x,y,z);
G := G(x,y,z);
singularities(F*G,x,y);
```

The output will give the coordinates of the intersections.

A.2 Projections**The tangent developable**

Defining polynomials for the tangent developable can be found in every degree with the help of *Singular*.

Example A.2.1. For degree $d = 4$ the tangent developable T_4 can be found by feeding *Singular*

```
ring r=0, (x0,x1,x2,x3,x4,a,b,t,s), dp;
ideal T=(x0-4as3,x1-(3as2t+bs3), x2-(2ast2+2bs2t), x3-(at3+3bst2), x4-4bt3);
ideal TD=eliminate(std(T), abst);
std(TD);
_[1]=3*x2^2-4*x1*x3+x0*x4
_[2]=2*x1*x2*x3-3*x0*x3^2-3*x1^2*x4+4*x0*x2*x4
_[3]=8*x1^2*x3^2-9*x0*x2*x3^2-9*x1^2*x2*x4+14*x0*x1*x3*x4-4*x0^2*x4^2
```

A.2.1 Code for analysis of projections

The analysis of projection centers presented in Section 4.5, was done with *Maple* and *Singular*. The calculations are equivalent for all curves, just substitute for the line L .

Bicuspidal quartic – $[(2_2), (2)]$

The cuspidal quartic curve with two cusps, one A_4 -cusp and one A_2 -cusp, and one inflection point of type 1 is given by the parametrization

$$(s^4 + s^3t : s^2t^2 : t^4).$$

The projection center L can be presented as the intersection of three linear hyperplanes simply by reading off the parametrization,

$$L = \mathcal{V}(x_0 + x_1, x_2, x_4),$$

$$A_L = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

The basis vectors of the kernel of the projection, which are the components of K_L , can be found using *Maple*,

```
with(linalg):
A := Matrix([[1, 1, 0, 0, 0], [0, 0, 1, 0, 0], [0, 0, 0, 0, 1]]);
K := kernel(A);
{[0 0 0 1 0], [-1 1 0 0 0]}
```

Below we present the code used in *Singular* to verify each claim in the analysis.

Initial code in *Singular* is

```
LIB "all.lib";
ring r=0,(s,t),dp;
```

$$- L \cap C_4 = \emptyset.$$

```
matrix C[3][5]=s4,s3t,s2t2,st3,t4,-1,1,0,0,0,0,0,0,1,0;
ideal I=(minor(C,3));
solve(std(I));
[1]:
  [1]:
    0
  [2]:
    0
```

$$- L \cap T_4 = \{p_1, p_2\} \text{ for } (s : t) = \{(1 : 0), (0 : 1)\}.$$

```
matrix T[4][5]=4s3,3s2t,2st2,t3,0,0,s3,2s2t,3st2,4t3,-1,1,0,0,0,0,0,0,1,0;
ideal I=minor(T,4);
ideal Is=I,s-1;
ideal It=I,t-1;
solve(std(Is));
[1]:
  [1]:
```



```

      1
[2]:
      0
solve(std(It));
[1]:
[1]:
      0
[2]:
      1

```

- $L \cap O^2(s, t) = \{p_1, p_2, p_3\}$ for $(s : t) = \{(1 : 0), (0 : 1), (1 : -\frac{8}{3})\}$.
Observe that $(1 : -\frac{8}{3}) = (-\frac{3}{8} : 1)$ in \mathbb{P}^1 .

```

matrix 0_2[5][5]=6s2,3st,t2,0,0,0,3s2,4st,3t2,0,0,0,s2,3st,6t2,-1,1,0,0,0,0,0,0,1,0;
ideal I=det(0_2);
ideal Is=I,s-1;
ideal It=I,t-1;
solve(std(Is));
[1]:
[1]:
      1
[2]:
      -2.66666667
[2]:
[1]:
      1
[2]:
      0
solve(std(It));
[1]:
[1]:
      -0.375
[2]:
      1
[2]:
[1]:
      0
[2]:
      1

```

- $L \not\subset O^2(s, t)$.

```

matrix 0_2[5][5]=6s2,3st,t2,0,0,0,3s2,4st,3t2,0,0,0,s2,3st,6t2,-1,1,0,0,0,0,0,0,1,0;
ideal I=minor(0_2,4);
ideal Is=I,s-1;
ideal It=I,t-1;
solve(std(Is));
? ideal not zero-dimensional
solve(std(It));
? ideal not zero-dimensional

```

- $L \subset O^3(s, t)$ for $(s : t) = \{(1 : 0)\}$.

```

matrix 0_3[6][5]=0,3s,2t,0,0,0,0,2s,3t,0,4s,t,0,0,0,0,0,0,s,4t,-1,1,0,0,0,0,0,0,1,0;
ideal I=minor(0_3,5);
ideal Is=I,s-1;
ideal It=I,t-1;

```

APPENDIX A. CALCULATIONS AND CODE

```
solve(std(Is));  
[1]:  
  [1]:  
    1  
  [2]:  
    0  
solve(std(It));  
? ideal not zero-dimensional
```

Bibliography

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